

05-29-2002



Form PTO-1595

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PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) BRIAN L. HILKER
(2) LEE F. LAWLER
(3) SUSAN B. McVEY

5/21/02

(Additional name(s) of conveying party(ies) attached? ☐ yes ☐ no

2. Name and address of receiving party(ies):

CROMPTON CORPORATION
One American Lane
Greenwich, Connecticut 06831

Additional name(s) & address(es) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Execution Dates: (1) 4/1/2002 (2) 4/26/2002
(3) 4/26/2002

4. Application number(s) or patent number(s):

10/133266

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

Attorney's Docket 0124-OS

5. Name and address of party to whom correspondence concerning document should be mailed:

Michael P. Dilworth
Law Dept.
Crompton Corporation
Benson Road
Middlebury, CT 06749

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §§ 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 23-2656

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael P. Dilworth

(U.S. Reg.No.37,311)

May 21, 2002

Name of Person Signing

Signature

Date

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail to addressed to Commissioner of Patents & Trademarks, Washington, D.C. 20231 on May 21, 2002.

Signature:

Norma M. Corrigan

(Name of person mailing correspondence)

Express Mail Label No.ET-103993056-US

Attorney Docket No. 0124-OS

PATENT

REEL: 012921 FRAME: 0043

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FINANCE SECTION

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ASSIGNMENT

We, the undersigned,

BRIAN L. HILKER, a citizen of the United States of America, residing at 2 Windsong Acres, Winfield, West Virginia 25213, U.S.A. and

LEE F. LAWLER, a citizen of the United States of America, residing at 822 Edgewood Drive, Charleston, West Virginia 25302, U.S.A. and

SUSAN B. McVEY, a citizen of the United States of America, residing at 918 Ridgeway Road, Charleston, West Virginia 25314, U.S.A., respectively,

hereby sell, assign and transfer to **CROMPTON CORPORATION**, a corporation of the State of Delaware, having a place of business at One American Lane, Greenwich, Connecticut 06831, its successors, assigns and legal representatives, our entire right, title and interest, in and to any and all improvements which are disclosed in the application for United States Letters Patent which is entitled:

**“FIRE RETARDANT SILICONE SURFACTANTS FOR USE IN INERT GAS
BLOWN POLYURETHANE FOAMS”**

which

[X] was executed by me (us) on even date herewith,

[] was filed on _____ and accorded Serial No. _____

and in and to said application and the patent or patents now granted or to be granted thereon in the United States of America and in all countries foreign thereto, together with the right to claim in respect of all foreign countries the priority date of the application filed in the United States of America;

Agree said Assignees may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to, but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent application on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof, generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representative; and

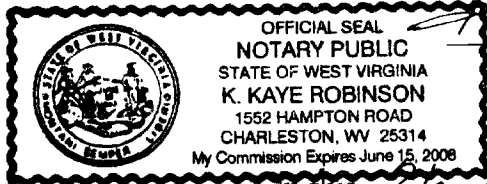
Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

WITNESS MY (OUR) SIGNATURES.

| Signature of Applicant(s) | |
|---|------------------------------|
| Signature of Brian L. Hilker <i>Brian L. Hilker</i> | Date: <i>Apr. 1 29, 2002</i> |
| Signature of Lee F. Lawler <i>Lee F. Lawler</i> | Date: <i>April 26, 2002</i> |
| Signature of Susan B. McVey <i>Susan B. McVey</i> | Date: <i>April 26, 2002</i> |

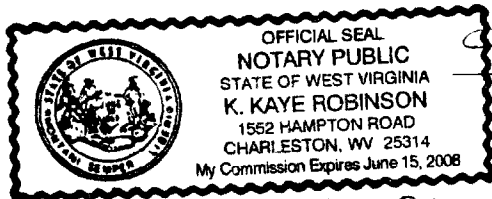
Below is for signature of Notary

On this 29 day of April, 2002, personally appeared before me, BRIAN L. HILKER, of 2 Windsong Acres, Winfield, West Virginia 25213, U.S.A., to me personally known and personally known to me to be the person described in and who executed the foregoing instrument and he/she acknowledged to me that he/she executed the same as his/her free act and deed.



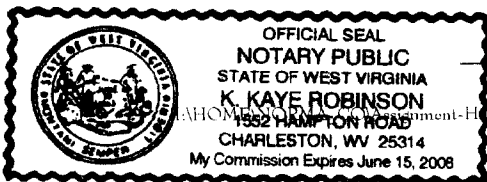
Kaye Robinson
(to be notarized)

On this 26 day of April, 2002, personally appeared before me, LEE F. LAWLER, of 822 Edgewood Drive, Charleston, West Virginia 25302, U.S.A., to me personally known and personally known to me to be the person described in and who executed the foregoing instrument and he/she acknowledged to me that he/she executed the same as his/her free act and deed.



Kaye Robinson
(to be notarized)

On this 26 day of April, 2002, personally appeared before me, SUSAN B. McVEY, of 918 Ridgeway Road, Charleston, West Virginia 25314, U.S.A., to me personally known and personally known to me to be the person described in and who executed the foregoing instrument and he/she acknowledged to me that he/she executed the same as his/her free act and deed.



Kaye Robinson
(to be notarized)

Duplicate

In re Application of:

BRIAN L. HILKER
LEE F. LAWLOR
SUSAN B. McVEY

U.S. Application No. 10/133,266

Art Unit: 1714

Filed: APRIL 26, 2002

For: FIRE RETARDANT SILICONE SURFACTANTS
FOR USE IN INERT GAS BLOWN
POLYURETHANE FOAMS

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the **United States Postal Service** on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231.

Date May 31 2002 Name Norma M. Corrigan
Name of Person Mailing Paper
Signature Norma Corrigan
Signature of Person Mailing Paper

Assistant Commissioner for Patents
U.S. PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

ASSIGNMENT BRANCH

Dear Sir:

Enclosed for recording is a copy of the Assignment, together with an Assignment Recordation Form PTO-1595 for the above-identified application. Please record the Assignment and return to Applicant's attorney at the address indicated below.

Please charge the forty dollars (\$40.00) for recording the Assignment under 37 CFR 1.21(h) to Deposit Account No. 23-2656.

Date:

Respectfully submitted,

Michael P. Dilworth
Reg. No. 37,311

CROMPTON CORPORATION
LAW DEPARTMENT
BENSON ROAD
MIDDLEBURY, CT 06749
Telephone No. 203-573-3313
Facsimile No. 203-573-2261
Docket No. **0124-OS**

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PATENT
REEL: 012921 FRAME: 0046

Examiner:

Art Unit: 1714

For: **FIRE RETARDANT SILICONE SURFACTANTS
FOR USE IN INERT GAS BLOWN
POLYURETHANE FOAMS**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231.

Date _____

May 21 2002

Norma M. Corrigan

Name of Person Making Paper _____

Signature of Person Mailing Paper _____

Assistant Commissioner for Patents
U.S. PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

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Please charge the forty dollars (\$40.00) for recording the Assignment under 37 CFR 1.21(h) to Deposit Account No. 23-2656.

Respectfully submitted,

Date:

May 21, 2002

Michael P. Dilworth
Reg. No. 37,311

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PATENT

RECORDED: 05/21/2002

REEL: 012921 FRAME: 0047