



102106548

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Alexander Fassler, Guido Bold, Hans-Georg
Capraro and Marc Lang
5.21.02
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: Novartis AG
Internal Address: _____
Street Address: Schwarzwaldallee 215
City: Basel State: Switzerland ZIP: 4058
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____
Execution Date: January 8, 1999, January 14, 1999,
January 18, 1999 and January 14, 1999

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____
A. Patent Application No.(s)
09/214,959
B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Thomas Hoxie
Internal Address: Novartis Corporation
Patent and Trademark Dept.
Street Address: 564 Morris Avenue
City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41) \$ 40
 Enclosed
 Authorized to be charged to deposit account and any other additional fees required.
8. Deposit account number:
19-0134 (in the name of Novartis Corporation)
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Susan Hess
Name of Person Signing
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Susan Hess
Signature
5/20/02
Date

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Total number of pages including cover sheet, attachments, and document: 2

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Documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

I / We **Alexander FÄSSLER**, In der Schappe 9, 4144 Arlesheim, Switzerland, citizen of Switzerland,
Guido BOLD, Bleumatthöhe 16, 5073 Gipf-Oberfrick, Switzerland, citizen of Switzerland,
Hans-Georg CAPRARO, Habsburgerstrasse 60, 4310 Rheinfelden, Switzerland, citizen of Switzerland,
Marc LANG, 24, rue de Valdoie, 68200 Mulhouse, France, citizen of France,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Schwarzwaldallee 215, 4058 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

ANILINOPEPTIDE DERIVATIVES

invented by me/us and described in the international application

No. **PCT/EP 97/03804** filed **July 16, 1997**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

Signed on

Alexander Fässler
Alexander FÄSSLER

8. 1. 1999

Guido Bold
Guido BOLD

14. 1. 1999

Hans-Georg Capraro
Hans-Georg CAPRARO

18. 1. 1999

Marc Lang
Marc LANG

14. 1. 1999