

05-30-2002



Form PTO-1595

(Rev. 03/01)

OFFICE OF RECORDATION

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DEPARTMENT OF COMMERCE

Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

102106884

Tab settings ⇌ ⇌ ⇌

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Zachariah Cobrinik

Edward Logan

Andrew Walter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Goldman Sachs & Co.Internal Address: 85 Broad StreetStreet Address: 85 Broad StreetCity: New York State: NY Zip: 10004Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: May 7, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/850,589

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry J. SchindlerInternal Address: 499 Park AvenueStreet Address: 499 Park Avenue20th Fl.City: New York State: NY Zip: 100226. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry J. Schindler

Name of Person Signing

Signature

Date

05/29/2002 DBYRNE 00000042 09850589 Total number of pages including cover sheet, attachments, and documents: 5

Main documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01 FC:581

PATENT
REEL: 012927 FRAME: 0576

ASSIGNMENT

WHEREAS, I, Zachariah Cobrinik hereinafter referred to as ASSIGNOR, whose post office address is set forth below, have invented and own a certain invention entitled:

Methods and Systems for Managing Investments for Complex Financial Investments

for which an application for Letters Patent of the United States was filed on May 7, 2001, as application Serial No. 09/850,589.

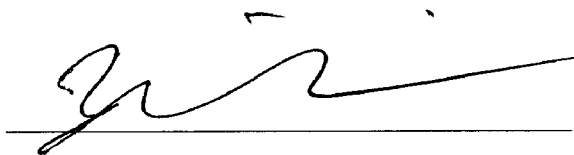
WHEREAS, Goldman Sachs & Co. , a New York limited partnership, organized and existing under the laws of the State of New York, and having its principal place of business at 85 Broad Street, New York, New York 10004 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for good and valuable consideration, I hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I HEREBY COVENANT that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale; and

I FURTHER COVENANT that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to us and I will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Signature:



Name Printed:

Zachariah Cobrinik

Post Office Address:

239 East 48th Street
New York, NY 10017

Citizenship:

USA

ASSIGNMENT

WHEREAS, I, Edward Logan hereinafter referred to as ASSIGNOR, whose post office address is set forth below, have invented and own a certain invention entitled:

Methods and Systems for Managing Investments for Complex Financial Investments

for which an application for Letters Patent of the United States was filed on May 7, 2001, as application Serial No. 09/850,589.

WHEREAS, Goldman Sachs & Co. , a New York limited partnership, organized and existing under the laws of the State of New York, and having its principal place of business at 85 Broad Street, New York, New York 10004 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

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I HEREBY COVENANT that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale; and

I FURTHER COVENANT that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to us and I will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Signature: Edward L Logan

Name Printed: Edward Logan

Post Office Address: 1 LIBERTY PLAZA, 33RD
NYC 10006

Citizenship: USA

ASSIGNMENT

WHEREAS, I, Andrew Walter hereinafter referred to as ASSIGNOR, whose post office address is set forth below, have invented and own a certain invention entitled:

Methods and Systems for Managing Investments for Complex Financial Investments

for which an application for Letters Patent of the United States was filed on May 7, 2001, as application Serial No. 09/850,589.

WHEREAS, Goldman Sachs & Co., a New York limited partnership, organized and existing under the laws of the State of New York, and having its principal place of business at 85 Broad Street, New York, New York 10004 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for good and valuable consideration, I hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

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I FURTHER COVENANT that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to us and I will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Signature:



Name Printed:

Andrew Walter

Post Office Address:

Goldman Sachs
85 Broad Street

Citizenship:

USA