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06-05-2002

COVER SHEET

U.S. Department of Commerce
U.S. Patent and Trademark Office

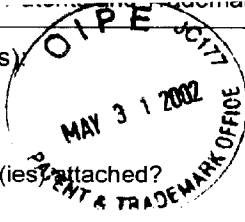


102112558

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies)
Franck SAVARD

Additional name(s) of conveying party(ies) attached?
 Yes No



2. Name and address of receiving party(ies)
Name: **SHIMANO INC.**
Address: **3-77 Oimatsu cho
Osaka, Japan**

3. Nature of Conveyance: **5-31-02**

Assignment Merger
 Security Agreement Change of Name
 Other: **Bankruptcy Purchase Agreement with translation and Delcaration of Dominique Francios. The Nature of Conveyance for resubmitting this Assignment Document is to correct the Assignee's name and address and to include 6,135,903 in the recordation. The previous recordation date was on February 8, 2002 at Reel 012562, Frame 0194.**

Execution Date: **July 11, 2000**

Additional name(s) and address(es) attached?
 Yes No

4. Application number(s) or patent number(s): _____

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s). **09/662,334**

B. Patent No(s). **6,135,903**

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer No.: 000466

YOUNG & THOMPSON

Second Floor

745 South 23rd Street

Arlington, VA 22202

Phone: 703-521-2297

6. Total number of applications and patents involved: **2**

7. Total fee (37 CFR 3.41)..... **\$80.00**

Enclosed
 Authorized to be charged to deposit account

8. Deposit Account No. **25-0120**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas W. Perkins **May 31, 2002**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: **23**

06/04/2002 DBYRNE 00000117 250120 09662334
01 FC:581 80.00 CH

July 11, 2000

RECORD OF THE ADJUDICATION OF
THE PATENT SPECIFICATIONS AND APPLICATIONS
OF THE CORPORATION "EGS"

 IN THE YEAR 2000,
 ON JULY 11,
 AT 10:00,
 IN CHATELLERAULT (Vienne), at the premises of EGS, ZI Nord-Ouest, 1 rue
 Nungesser et Coli,

Jean-Pierre BOSSÉ, partner notary of the civil professional partnership "Jean-
 Pierre BOSSÉ, Pierre DUVAL and Diane BERTHEUIL-DESFOSSÉS, associated
 notaries", owner of a notary office at said place, undersigned,

Acting with the assistance of Christophe SABOURIN, Appraiser in the matter of
 selling the invention patents of company EGS, in accordance with the decree of the
 Commercial Court mentioned below,

Has taken down the present notarized adjudication record,

UPON REQUEST OF Mrs. Marie Laetitia CAPEL, Legal Counsel of company
 "EGS" - "EFFECTIVE GEAR SYSTEM",

On the base of a decree issued by the Bankruptcy Judge before the Commercial
 Court of Poitiers dated March 13, 2000, from which in particular the following is taken in
 its literal wording

"We, Jacques BOUDY, Bankruptcy Judge for the liquidation of
 company EGS, the business premises of which is in Châtellerault Zone
 Industrielle Nord.

"With respect to the request submitted by Attorney CAPEL, in his function
 as liquidator of company EGS on the intent to decide on the sale of the
 constituents of the saleable assets and on the termination of the leasing contracts.

"Mr. Christian GAUTHIER, CEO of company EGS, and Mr. Dominique
 VINCENT, were heard in the proceedings dated March 1, 2000.

"Since a notification of cession of the production unit was filed before the
 Law Court Office on January 6, 2000 in accordance with Article 155 of the law
 dated January 25, 1985, etc. ...

"Since the invention patents are included in the assets, the protection costs
 of which are extremely expensive.

"That no funds exist which can be disposed of in the liquidation.

"Etc. ...

"Since under these circumstances, only a public auction can be ordered;

"That if a litigious matter should exist as to the property of said patents, it
 must be established that no claim has been raised within the three months'
 period provided by Article 115 of the law dated January 25, 1985, and
 which would expire on March 8, 2000.

"Etc. ...

ON THESE GROUNDS.

"We, Jacques BOUDY, Bankruptcy Judge,

"Give the order to carry out a public auction of the totality of the constituents
 of saleable assets resulting from the legal liquidation of company EGS.

"For this process, we appoint Christophe SABOURIN, Appraiser in Châtelleraut who can request assistance of the civil professional partnership BOSSÉ - DUVAL, owner of a notary office in Châtelleraut or any other notary office of his choice with respect to the sale of the invention patents.

"Etc. ...

"Established in Poitiers on March 13, 2000."

*

*

*

The Applicant has submitted to the undersigned notary the following list of patent specifications and applications which were the object of a consultation carried out by the office SORGEM, 11 rue Leroux, 75116 Paris dated December 9, 1999 upon request of the Bankruptcy Judge for the procedure of legal rectification:

LIST OF PATENT SPECIFICATIONS AND APPLICATIONS IN RE EGS – SAVARD

SPECIFICATION	TITLE	APPLICANT(S)	COUNTRY	APPLICATION DATE	APPLICATION NUMBER	STATE OF DOCUMENT
SAVARD 1	Système monocommandé de détection de vitesse	SAVARD Franck	FR	Feb. 15, 1995	95 01816	Application withdrawn
		SAVARD Franck LAHACHE Denis	EP (FR, CH, SE, GE, PT, NL, LU, IT, IE, GR, ES, DK, BE AT, DE)	Aug. 3, 1995	95450012.0	Application
		SAVARD Franck assigned to EGS	US	Feb. 9, 1996	08/598,998	Granted
		SAVARD Franck	PH	Feb. 12, 1996	52336	Application
			TW	Feb. 13, 1996	85101770	Granted
			WO	Feb. 13, 1996	PCT/FR96/00232	Publication
			TH	Feb. 14, 1996	03.0069	Publication
			IN	Feb. 14, 1996	297/DEL/96	Application
		ZA	Feb. 15, 1996	96.1216	Granted	
SAVARD 2	Dispositif de compensation d'effort	SAVARD Franck LAHACHE Denis	FR	Sept. 27, 1995	95 11579	granted
		SAVARD Franck	CN	Aug. 1, 1996	96197011.1	Application
			CA	Aug. 1, 1996	2.253.892	Application
			IN	Aug. 1, 1996	1720/DEL/96	Application
			EP (SE, PT, GB, DE, AT, BE, DK, ES, FI, FR, GR, IE, IT, LUX, MC, NL, CH)	Aug. 1, 1996	96927721.9	Granted
			JP	Aug. 1, 1996	508.166/9	Application
			WO	Aug. 1, 1996	PCT/FR96/01222	Not written opinion PCT (1)
			US	Dec. 28, 1998	09/214,122	Application
SAVARD 3	Dispositif monocommandé de manœuvre synchronisés de deux dérailleurs	SAVARD Franck	FR	July 3, 1996	96 08492	Granted
			FR	July 3, 1996	96 08493	Granted
SAVARD 5			FR	July 3, 1996	96 08493	Granted

	Dispositif de tension et de guidage d'une chaîne pour un changement de vitesses de vélo		WO	July 2, 1997	PCT/FR97/01181	Publication
			JP	July 2, 1997	504.853/10	Application
			CN	July 2, 1997	97196944.2	Publication
			CA	July 2, 1997	2.259.279	Application
			EP	July 2, 1997	97931848.2	Publication
			US	March 3, 1999	09/254.202	Granted
SAVARD 7	Agencement d'une manivelle d'un arbre de pédalier de vélo	E.G.S.	FR	Nov. 25, 1996	96 14619	granted
SAVARD 8	DISPOSITIF DE DERAILLAGE AVEC DES MOYENS DE TENSION PIVOTANTS	SAVARD Franck	FR	Feb. 26, 1997	97 02573	Granted
		E.G.S.	WO	Aug. 26, 1998	PCT/FR98/01854	Application decided
SAVARD 10	Dispositif de montage d'un moyen de roue d'un deux-roues, notamment de roue arrière, sur son pignon d'arbre	SAVARD Franck	EP (IT, FR, DE)	July 11, 1997	97450018.3	Examination
SAVARD 11	DISPOSITIF DE ROUE LIBRE, NOTAMMENT POUR MOYEU A CASSETTE DE PIGNONS DE ROUE	SAVARD Franck	FR	March 30, 1998	98 04126	Publication
SAVARD 12	Perfectionnement au dispositif de montage d'un moyeu de roue d'un deux roues	SAVARD Franck	FR	March 30, 1998	98 04195	Publication
			TW	July 10, 1998	87111194	Application
			WO	July 10, 1998	PCT/FR98/01499	Publication
			EP	July 10, 1998	9837607.4	Examination

And any document relating to non-patented technology and know-how developed relative to the inventions covered by the patents and data which are actually in the liquidator's possession.

The sale concerned was announced for this day, hour and place in the following advertisements:

1. Local publications -

- Advertisement in Nouvelle République, two editions (86 and 37) of Saturday, June 24, 2000.
- Advertisement in Nouvelle République, four editions (86, 36, 37 and 79) of Saturday, July 1, 2000.
- Advertisement in Centre Presse (86) of Saturday, July 1, 2000.

2. National publications -

- Advertisement in Moniteur des Ventes of Thursday, June 29, 2000.
- Advertisement in the magazine Vélo Vert (July issue, publication end of June 2000).
- Article in VTT magazine.

3. International Publications -

- Advertisement in the magazine Bike Europ (June, July 2000) Netherlands.
- Advertisement on the website of Bicycle Retailer USA (end of June on the sale's date).

4. Diverse publications

- Creation of a website including a description of the patents on the control sets and approximate list of stock (<http://www.aazasci.com>)
- Billboard at the Châtelleraut auction hall.

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In evidence of said declarations, the following is enclosed to the present record:

- a photocopy of the above-mentioned decree giving the sale order;
- a photocopy of the billboard notice.

SUBSEQUENT TO SAID DECLARATION, the Applicant has requested the undersigned notary to establish the fees and conditions as follows under which the sale concerned will be carried out and then to proceed to said sale, Which has been done in the following way:

FEES AND CONDITIONS

The highest bidder will be personally responsible for the use of the assets which he has bought at the auction and for the formalities imposed on him in this matter (1). He acknowledges that he all necessary information concerning said assets. He will be personally responsible for any eventually arising claim, whereas it shall be reminded that the Court had not registered any request of claims prior to March 8, 2000 according to the explanations of the above-mentioned decree dated March 12, 2000.

The highest bidder will pay any taxes and fees relative to the assets bought at the auction.

To this subject, the following must be stated in more detail:

- that certain annuities due to the INPI (National Institute for Industrial Property) have not been paid to date (a photocopy of twenty-one pages of annuity reminders issued by Cabinet Thébault, 111 cours du Médoc, 33300 Bordeaux will be enclosed to the present record after the highest bidder has been given notice thereof);

- that days of grace will be provided;

- that the non-paid annuities can be the object of an additional tax.

The highest bidder will be personally responsible for the payment of said fees.

The legal and remuneration charges for the present matter and its consequences will be charged to the highest bidder.

The highest bidder will obtain all necessary powers of attorney to perform the formalities arising as a consequence of the present cession in particular for registration and publication in the National Patent Register.

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* *

The undersigned notary has read the preceding in its totality to the highest bidder and to the persons present and has subsequently proceeded to the adjudication.

Successively offered for sale and adjudicated:

The totality of the above-mentioned assets of sale to an amount of one million six hundred and fifty thousand Francs (1,650,000.00 Francs) to the sole bidder company Shimano Inc., headquartered in Sakai Osaka (Japan) 3-77 Oimatsu cho,

represented on this day by Mr. Xavier Louis Jacques Nicolas, resident in Groote Kreek 11, 3823 JA Amersfoort (Netherlands) in his function as person responsible for the company's marketing, and Mr. Van Rooy, resident in Heeswyk-Denther, in his function as commercial director for the Dutch branch of Shimano. The highest bidder undertakes to pay the amount and fees in its entirety amounting to 1,790,250.00 Francs by SWIFT transfer to the account of the undersigned notary at the National Deposit Bank, the proof of authenticity of said bank having been submitted to the company's representatives on this day. The bank transfer will be made this day in the name of company Bossé, Duval, Bertheuil-Dufosses.

(on page 3 it is explained that in case the transfer of the patent specifications held by EGS to the I.N.P.I. was compulsory in France, the liquidator undertakes to participate in the publication costs amounting to fifty thousand Francs (50,000 Francs)).

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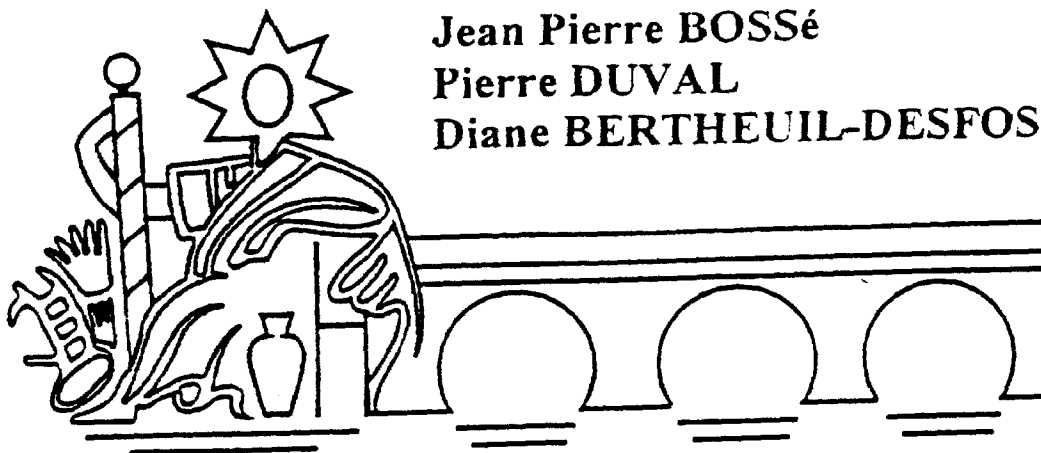
J U I L L E T

2000

PROCES VERBAL D'ADJUDICATION

BIENS DEPENDANT DE LA S.A. EGS

AU PROFIT DE LA SOCIETE SHIMANO



Jean Pierre BOSSÉ
Pierre DUVAL
Diane BERTHEUIL-DESFOSSÉS

Notaires Associés

19. bd Victor Hugo - 38. rue Gilbert - B.P. 545 - 86105 CHATELLERAULT Cedex (parking privé) - Tél. : 05 49 20 02 30 - Fax : 05 49 20 02 40
23. place Gambetta (face à l'église) - 86530 NAINTRÉ - Tél. : 05 49 90 08 90 - Fax : 05 49 90 03 62

PATENT
REEL: 012937 FRAME: 0562

" d'un office notarial à Châtelleraut ou de tout autre notaire de son choix.

" Etc.....

" Fait à Poitiers le 13 mars 2000."

*

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Le requérant a remis au notaire soussigné la liste suivante des dossiers de brevets et demandes de brevet, ayant fait l'objet d'une consultation réalisée à la demande de Monsieur le Juge Commissaire chargé de la procédure de redressement judiciaire, par le Cabinet SORGEM 11 RUE Leroux 75116 Paris en date du 9 décembre 1999 :

LISTE DES DOSSIERS DE BREVETS ET DEMANDES DE BREVET - AFFAIRE EGS - SAVARD

DOSSIER	TITRE	DEPOSANT(S)	PAYS	DATE DEPOT	N° DEPOT	ETAT DU DOSSIER
SAVARD 1	Système monocommandé de sélection de vitesse	SAVARD Franck	FR	15-FEV-1995	95 01816	Demande retirée
		SAVARD Franck LAHACHE Denis	EP (FR, CH, SE, GE, PT, NL, LU, IT, IE, GR, ES, DK, BE, AT, DE)	03-AOU-1995	95450012.0	Dépôt
		SAVARD Franck cédé à EGS	US	09-FEV-1996	08/598,998	Délivrance
		SAVARD Franck	PH	12-FEV-1996	52336	Dépôt
			TW	13-FEV-1996	85101770	Délivrance
			WO	13-FEV-1996	PCT/FR96/00232	Publication
			TH	14-FEV-1996	03.0069	Publication
			IN	14-FEV-1996	297/DEL/96	Dépôt
			ZA	15-FEV-1996	96.1216	Délivrance
				FR	27-SEP-1995	95 11579
SAVARD 2	Dispositif de compensation d'effort	SAVARD Franck LAHACHE Denis	FR	27-SEP-1995	95 11579	Délivrance
		SAVARD Franck	CN	01-AOU-1996	96197011.1	Dépôt
			CA	01-AOU-1996	2.253.892	Dépôt
			IN	01-AOU-1996	1720/DEL/96	Dépôt
			EP(SE, PT, GB, DE, AT, BE, DK, ES, FI, FR, GR, IE, IT, LUX, MC, NL, CH)	01-AOU-1996	96927721.9	Délivrance
			JP	01-AOU-1996	508.166/9	Dépôt
			WO	01-AOU-1996	PCT/FR96/01222	Not.opinion écrite PCT (1)
			US	28-DEC-1998	09/214,122	Dépôt


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DOSSIER	TITRE	DEPOSANT(S)	PAYS	DATE DEPOT	N° DEPOT	ETAT DU DOSSIER
SAVARD 3	Dispositif monocommandé de manoeuvre synchronisée de deux dérailleurs	SAVARD Franck	FR	03-JUL-1996	96 08492	Délivrance
SAVARD 5	Dispositif de tension et de guidage d'une chaîne pour un changement de vitesses de vélo	SAVARD Franck	FR	03-JUL-1996	96 08493	Délivrance
			WO	02-JUL-1997	PCT/FR97/01181	Publication
			JP	02-JUL-1997	504.853/10	Dépôt
			CN	02-JUL-1997	97196944.2	Publication
			CA	02-JUL-1997	2.259.279	Dépôt
			EP	02-JUL-1997	97931848.2	Publication
SAVARD 7	Agencement d'une manivelle d'un arbre de pédalier de vélo	E.G.S	FR	25-NOV-1996	96 14619	Délivrance
			US	03-MAR-1999	09/254,202	Dépôt
SAVARD 8	DISPOSITIF DE DERAILLAGE AVEC DES MOYENS DE TENSION PIVOTANTS	SAVARD Franck	FR	26-FEV-1997	97 02573	Délivrance
		E.G.S	WO	26-AOU-1998	PCT/FR98/01854	Dépôt décidé
SAVARD 10	Dispositif de montage d'un moyen de roue d'un deux-roues, notamment de roue arrière, sur son pignon d'arbre	SAVARD Franck	EP (IT, FR, DE)	11-JUL-1997	97450018.3	Examen

DOSSIER	TITRE	DEPOSANT(S)	PAYS	DATE DEPOT	N° DEPOT	ETAT DU DOSSIER
SAVARD 11	DISPOSITIF DE ROUE LIBRE, NOTAMMENT POUR MOYEU A CASSETTE DE PIGNONS DE ROUE	SAVARD Franck	FR	30-MAR-1998	98 04126	Publication
SAVARD 12	Perfectionnement au dispositif de montage d'un moyeu de roue d'un deux roues	SAVARD Franck	FR	30-MAR-1998	98 04195	Publication
			TW	10-JUL-1998	87111194	Dépôt
			WO	10-JUL-1998	PCT/FR98/01499	Publication
			EP	10-JUL-1998	98937607.4	Examen

Et tout document afférent à la technologie et au savoir-faire non brevetés développés à propos des inventions couvertes par les brevets et les données informatiques actuellement en possession du liquidateur.

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La vente dont il s'agit a été annoncée pour les présents jour, heure et lieu, par les publicités suivantes :

1. Locales -

- . Publicité Nouvelle République deux éditions (86 et 37) du samedi 24 juin 2000.
- . Publicité Nouvelle République 4 éditions (86, 36, 37 et 79) du samedi 1er juillet 2000.
- . Publicité Centre Presse (86) du samedi 1er juillet 2000.

2. Nationales -

- . Publicité Moniteur des Ventes du jeudi 29 juin 2000.
- . Publicité dans le magazine Vélo Vert (n° de juillet parution fin juin 2000).
- . Article dans le magazine VTT Magazine.

3. Internationales -

- . Publicité dans le magazine Bike Europ (juin, juillet 2000) Pays Bas.
- . Publicité sur site internet de Bicycle Retailer USA (fin juin à la date de la vente).

4. Divers -

- . Création d'un site internet avec descriptif des brevets des bancs de contrôle et liste approximative du stock (<http://www.aazasci.com>)
- . Placard à l'Hôtel des ventes de Châtellerault.

* * *

A l'appui de ces déclarations, sont demeuré annexées aux présentes après mention, savoir :

- une photocopie de l'ordonnance sus-énoncée ordonnant la vente.
- une photocopie du placard .

CECI EXPOSÉ, le requérant a requis le notaire soussigné d'établir comme suit les charges et conditions auxquelles aura lieu la vente dont il s'agit et de procéder ensuite à cette vente,

Ce qui a été fait de la manière suivante :

CHARGES ET CONDITIONS

L'adjudicataire fera son affaire personnelle de l'exploitation des biens à lui adjugés et des formalités qui s'imposent en la matière. Il reconnaît avoir eu toutes les informations nécessaires concernant lesdits biens. Il fera son affaire personnelle de toute revendication éventuelle, étant rappelé que le Tribunal n'a enregistré aucune requête en revendication avant le 8 mars 2000 comme il est précisé dans l'ordonnance du 12 mars 2000 sus-énoncée.

L'adjudicataire acquittera toutes les taxes et charges relatives aux biens adjugés .

A ce sujet, il est ici précisé:

- que certaines annuités dues à l'INPI n'ont pas été réglées à ce jour (une photocopie sur vingt et une pages des rappels d'annuités établis par le Cabinet Thébault 111 cours du Médoc 33300 Bordeaux , est demeurée ci-annexée après que l'adjudicataire en ait pris connaissance).

- que des délais de grâce ont été prévus .
- que les annuités non réglées peuvent faire l'objet d'une surtaxe .

L'adjudicataire devra faire son affaire personnelle du paiement de ces redevances.

Les frais droits et honoraires des présentes et de leurs suites seront supportés par l'adjudicataire .

nlc XN  ✓

Tous pouvoirs sont donnés à l'adjudicataire pour remplir les formalités consécutives à la présente cession spécialement pour l'enregistrement et la publication au Registre National des Brevets.

*
*
*

Le notaire soussigné a donné lecture de tout ce qui précède au requérant et aux personnes présentes et a ensuite procédé à l'adjudication

Il a été successivement mis en vente, crié et adjugé :

Le totalité de l'objet a été vendu et ainsi désigné
ou plus de un million six cent cinquante
mille francs (1 650 000 - franc)

à la Société SHIMANO INC., seul
enchâssé, dont le siège social est à
Sakai Osaka (Japon) 3-77 Oimatsu
cho, représenté ce jour par

Monsieur Xavier Louis Jacques NICOLAS,
demeurant à Grote Kerk 11 3823 JA
AMERSFOORT (Tops Bo), en qualité
de responsable marketing de la société

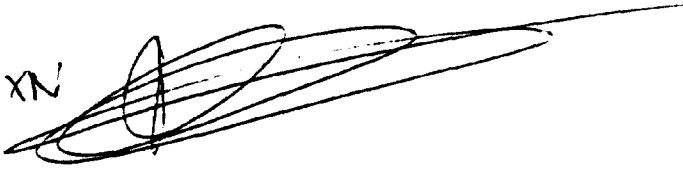
et Monsieur VAN ROOIJ Johann Cornelia
Maria demeurant à Heeswijk-Deuther-
Burg van B, en qualité de directeur
commercial de la Branche Tops Bo de
Shimano

à l'Adjudicataire obligé
ou le paiement du prix et des frais soit au
total le somme de 1.730.250 par
virement S W I F T au compte du Notaire
soussigné à la Caisse des Dépôts et Comptes
dont le relevé d'identité Bancaire a été remis
ce jour aux représentants de la société. Le virement
a été fait ce jour au nom de la SET Born Duvet
Beethoud. Dufour. ALLAN J. I

CLOTURE

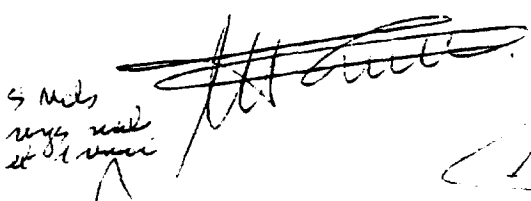
Ce fait, ne se trouvant plus rien à vendre, le présent procès-verbal rédigé sur 6 pages, a été clos et arrêté,
Au lieu sus-indiqué,
Les jour, mois et an sus-dits.
Et, après lecture, le requérant es-qualité a signé avec l'adjudicataire et le notaire.

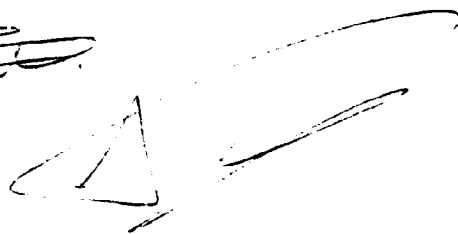
(Il paraît être convenu que dans le cas où le transfert des Brevets en nom de E G S pour le I. N. T. 1 en France serait obligatoire, le législateur s'oblige à partager au coût des publications mentionnées à l'article de convention mille francs (50.000 fr.)

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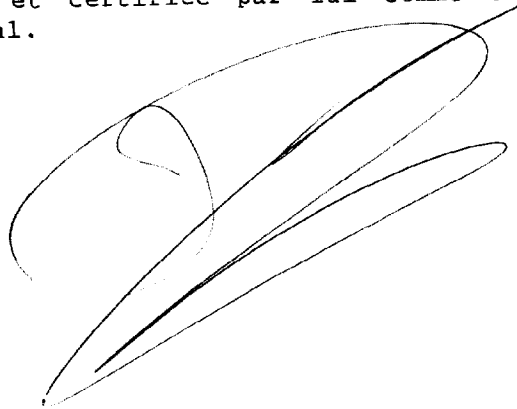
ALC

5 Mils
reçu
et d. l. notaire




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Tel. (089) 89 39 95-27
Fax (089) 89 39 95-26
e-Mail : eschuck.trialog@t-online.de

TRIALOG ÜBERSETZUNGSSERVICE

DECLARATION

I, Elisabeth Schuck, examined translator for German, English and French, herewith declare that I am fully conversant with the German, English and French languages, and that, in my opinion, the present translation of the Record of Adjudication including the "List of Patent Specifications and Applications in Re EGS – Savard" is accurate and complete.

Gauting/Buchendorf, on November 12, 2001


Elisabeth Schuck

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Franck Savard
 Serial No.: 09/763,507
 Filed: February 23, 2001
 Title: REAR DERAILLEUR DEVICE FOR A BICYCLE
 Docket No.: 33354

DECLARATION OF DOMINIQUE FRANCOIS

Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

Dominique Francois, being duly sworn, and having knowledge of the facts set forth herein, deposes and says that:

1. I am a French Intellectual Property attorney and I am qualified to practice law in the jurisdiction of France. I am practicing law in the Intellectual Property law firm Cabinet Weinstein, Paris, France.
2. I have examined the following documents which are attached hereto (along with English translations thereof) as Attachments 1, 2 and 3, respectively:
 - a. Assignment agreement between Franck Savard (assignor) and Christian Gauthier (assignee), (CONTRAT DE CESSION DE QUOTE - PART DES DEMANDES DE BREVET), dated June 12, 1998.
 - b. Contribution agreement (CONTRAT D'APPORT) between Christian Gauthier and Franck Savard (assignors) and the Company EGS, S.A., Chatellerault, France (assignee), dated June 12, 1998.
 - c. RECORD OF THE ADJUDICATION OF THE PATENTS DOCKETS AND PATENT APPLICATIONS DEPENDING ON THE COMPANY

"EGS", dated July 11, 2000, (PROCES-VERBAL D'ADJUDICATION DES DOSSIERS DES BREVETS ET DES DEMANDES DE BREVETS, DEPENDANT DE LA SA "EGS"), dated July 11, 2000.

3. Having examined the above-mentioned documents, I state the following in accordance with French law:
- a. The French patent application No. 97-02573 was filed on February 26, 1997. Subsequently, PCT Patent Application No. PCT/FR98/01854 was filed in the French receiving office on August 26, 1998, designating the United States and other countries, and drawn to the same invention as disclosed in the aforementioned French patent application. The registered inventor and PCT applicant for the territory of the U.S. is Mr. Franck Savard, 11 Lotissement de Tertres, F-22400 Planguenoual, France.
 - b. By assignment agreement between Franck Savard (assignor) and Christian Gauthier (assignee) dated June 12, 1998, prior to the filing date of the above PCT application, (see Attachment 1), Franck Savard, as the sole owner of French patent application No. 97-02573, assigned fifty percent (50%) of all the right and title in and to the said French patent application and other patent rights to Christian Gauthier.
 - c. By contribution contract between Christian Gauthier and Franck Savard (assignors) and the company EGS, S.A., Chatellerault, France, (assignee), dated June 12, 1998, again prior to the filing date of the above PCT application (see Attachment 2), Franck Savard and Christian Gauthier jointly assigned to EGS, S.A., Chatellerault, France, all their


proprietary and ownership interest in the aforementioned French patent application, together with all right, title and interest in the invention disclosed therein, including the right to acquire and hold for itself all patents drawn to the said invention in countries throughout the world.

- d. By ruling of a bankruptcy judge before the Commercial Court of Poitiers dated March 13, 2000, the Court ordered a public auction to liquidate all saleable assets of EGS, S.A., Chatellerault, France. The public auction was held in Chatellerault, France, on July 11, 2000 pursuant to the Court's order.
- e. By effect of the above-mentioned assignment agreement and contribution contract, EGS, S.A. Chatellerault, France, was the sole owner of the entire interest in and to PCT Patent Application No. PCT/FR98/01854 on the date of the public auction, July 11, 2000.
- f. At the above public auction, and pursuant to an order of the Tribunal de Grande Instance of Chatellerault of July 11, 2000, Shimano Inc., a company located at 3-77 Oimatsu cho, Osaka, Japan, purchased all patents and patent applications owned by EGS S.A., including the French patent application No. 97-02573 and the aforesaid PCT Patent Application No. PCT/FR98/01854, together with all right, title and interest in the invention disclosed therein, and the right to acquire for itself all patents and patent applications drawn thereto in countries throughout the world. Shimano, Inc. paid the purchase price of 1,790,250 French Francs by swift transfer to the account of the notary public. The notary public has confirmed receipt of the

purchase price and has carried out the transfer to Shimano, Inc. as evidenced in Attachment 3.

- g. The notary public held the public auction according to Article 4 of the Law of June 25, 1841 related to public auctions and Article 156 of the French bankruptcy law.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the present application or any patent issued thereon.



Dominique Francois



Date