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2. name and address of receiving party(ies)

National Research Council of Canada Internal address: Intellectual Property Services

State: Ontario

Additional name(s) & addresses attached? ☐ Yes XNo

Samsung, Advanced Institute of Technology

Street Address: P. O. Box 111, Suwon 440-600

State:

Patents and Trademarks Office File No: 11320-1

nended original documents or copy thereof

To the Honorable Commissioner of Patents and 102109528

1. Name of conveying Party(ies):

Yeong-Chan YOO, Do-Young SEUNG and Isobel DAVIDSON

Additional name(s) of conveying party(ies) attached? □Yes X No

MAY 2 9 2002

3. Nature of Conveyance

X Assignment

☐ Security Agreement Change of Name

Execution Date: February 8, 2002

4. Application number(s) or patent number(s) 09/857,701 filed August 20, 2001

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Name:

City: Kyung Ki-Do

Bldg. M-58, Room EG-12

Postal Code: K1A 0R6 Country: Canada

Street Address: Montreal Road

Postal Code: Country: Korea

City: Ottawa

Additional numbers attached? ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Wayne Anderson

Street Address: National Research Council

of Canada

Bldg. M-58, Room EG-10

City: Ottawa State: Ontario

Postal Code: K1A 0R6 Country: Canada

6. Total number of applications and patents involved

7. Total fee (37 CFR 3.41)\$40.00

Enclosed

X to be charged to MASTERCARD ACCOUNT. The Form PTO-2038 is enclosed.

8. Deposit account number: 14-0429

to be used for any deficiency of the amount above.

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing Information is true and correct and any attached copy is a true copy of the

original document.

J. Wayne Anderson

Name of Person Signing

Date

28Hay 2002

Total number of pages including cover sheet, attachments and document 4

Mail documents to be recorded with required cover sheet information to:

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Washington D.C. 20231

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PATENT REEL: 012940 FRAME: 0013

ASSIGNMENT

Whereas, we, Yeong-Chan YOO and Do-young SEUNG citizens of Korea and Isobel DAVIDSON a citizen of Canada, residing at 164 Centrepointe Drive, Nepean Ontario, K2G 5C2, Canada; Shindoing-A, Apartment 7-1007, Suchodong, Sucho-gu, Seoul, Korea and 1569 Delia Cresc., Orleans, Ontario, K4A 2X7, Canada, respectively, are joint co-inventors respectively, of an invention for which we have made application for Letters Patent of the United States of America and an International PCT Application; and whereas Samsung, Advanced Institute of Technology, situated at P.O. Box 111, Suwon 440-600, Kyung Ki-Do, Korea and National Research Council of Canada, incorporated as a company under the National Research Council Act by the Parliament of Canada, and situated in the city of Ottawa, Province of Ontario, Canada, are desirous of acquiring our entire interests therein;

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, **Yeong-Chan Yoo** and **Do-young Seung**, do sell and assign to **Samsung**, our full and exclusive rights, for the territory of Canada and the United States Of America and all other countries, in and to the said invention as described and claimed in the applications for patent entitled **Cathode Active Material For Lithium Electrochemical Cells** in the United States of America on the 8th day of October 1999, Serial Number 60/158,303, and in the PCT International Office on 8th of October, 2000, Serial Number PCT/CA00/00194, we hereby request the Commissioner of Patents and Trademarks in all countries to issue said Letters Patent, as far as our rights are concerned, to **Samsung**, as the assignee, for the sole behoof of said **Samsung**, and its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made;

And I, Isobel Davidson, do sell and assign to National Research Council of Canada, my full and exclusive right, for the territory of Canada and the United States of America and all other countries, in and to the said invention as described and claimed in the application for patent entitled Cathode Active Material For Lithium Electrochemical Cells, in the United States of America on the 8th day of October, 1999, Serial Number 60/158,303, and in the PCT International Office on 8th of October, 2000,

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PATENT REEL: 012940 FRAME: 0014 Serial Number PCT/CA00/00194, and I hereby request the Commissioner of Patents and Trademarks in all countries to issue said Letters Patent, as far as my rights are concerned, to **National Research Council of Canada**, as the assignee, for the sole behoof of said **National Research Council of Canada**, and its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed at

this 8th day of, February 2002.
Yeong-Chang YOO
Declaration by Witness
I, <u>Sylvie Dumas</u> , whose full post office address is <u>L. raie Ae Rupert, Gathneau, Our toc. 187 7KH</u> say: That I was personally present and did see Yeong-Chang Yoo, who is
personally known to me duly sign and execute the above Assignment.
this day of , Hard 2002. Do-young SEUNG
Declaration by Witness
I, Jack Leany hung - Euro Jong Jung Say: That I was personally present and did see Do-young Seung, who is personally known to me duly sign and execute the above Assignment.
- Lesso Jean

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this XH	day of, February 2002.
	madre of ledgel
	Isobel DAVIDSON

Declaration by Witness

I, <u>Sylvie Diames</u>, whose full post office address is Erge de Rigert, Gabiseau, Ouébec 187 184 say:

RECORDED: 05/29/2002

That I was personally present and did see Isobel Davidson, who is personally known to me duly sign and execute the above Assignment.

& Dumus

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PATENT REEL: 012940 FRAME: 0016