

RECO

06-05-2002

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102112346

To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Honeywell International Inc., a Delaware corporation,  
Honeywell Intellectual Properties, Inc., a Arizona corporation,  
Honeywell Advance Composites Inc., a Delaware corporation, and  
Honeywell Power Systems Inc., a Delaware corporation

- ☐
- Individual
- 
- ☐
- General Partnership
- 
- ☒
- Corporation-State

- ☐
- Association
- 
- ☐
- Limited Partnership
- 
- ☐
- Other -

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒
- Assignment
- 
- ☐
- Security Agreement
- 
- ☐
- Other -
- 
- ☐
- Merger
- 
- ☐
- Change of Name

Execution Date: December 14, 2001

## 2. Name and Address of receiving party(ies):

Name: Hybrid Power Generation Systems, LLC

Internal Address: 300 Garlington Road  
Greenville, SC 29602

Street Address:

City: State: Zip: Country: USA

☐ Individual(s) Citizenship: U.S.A.☐ Association:☐ General Partnership:☐ Limited Partnership:☐ Corporation-State☒ Other: Delaware Limited Liability CompanyIf assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No, the designation of domestic representative has been made with the Power of Attorney which has been filed with the Patent and Trademark Office.

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

Automatic Mode Transitions for Microturbine Generating Systems

App. No. 09/923713 Filing Date: 8/7/2001

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elisabeth A. Evert  
Internal Address: Sidley Austin Brown & Wood LLP  
Street Address: 717 North Harwood St., Suite 3400  
City: Dallas State: TX ZIP: 75201

## 6. Total number of applications and Patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 18-1260

DO NOT USE THIS SPACE

## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Elisabeth A. Evert

Name of Person Signing

Signature

2/27/02

Date

Total number of pages comprising cover sheet 5

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion


Mail documents to be recorded with required cover sheet information to:

03/18/2002 DBYRNE 00000169 181260 09923713  
01 FC:561 40.00 CHCommissioner of Patents and Trademarks  
Box Assignment  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

IN WITNESS WHEREOF Assignor and Assignee have executed this Assignment as of the date first written above.

**HONEYWELL INTERNATIONAL INC.**

By:   
Ricardo Navarro, Authorized Signatory

State of: New York }

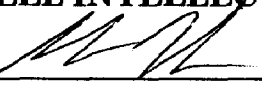
County of: New York }

On this 17<sup>th</sup> day of December, 2001, before me appeared Ricardo Navarro, personally known to me to be the same person whose name is subscribed to this instrument, appeared before me this day in person in his capacity as Authorized Signatory of Honeywell International Inc. and acknowledged that he signed, sealed and delivered this instrument as his free and voluntary act for the uses and purposes therein set forth.

  
Notary Public

SCOTT R. BARTOS  
Notary Public, State of New York  
No. 01BA6037540  
Qualified in New York County  
Commission Expires Feb. 22, 2002

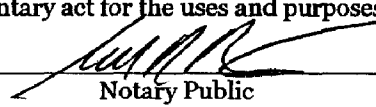
**HONEYWELL INTELLECTUAL PROPERTIES INC.**

By:   
Ricardo Navarro, Authorized Signatory

State of: New York }


County of: New York }

On this 17<sup>th</sup> day of December, 2001, before me appeared Ricardo Navarro, personally known to me to be the same person whose name is subscribed to this instrument, appeared before me this day in person in his capacity as Authorized Signatory of Honeywell Intellectual Properties Inc. and acknowledged that he signed, sealed and delivered this instrument as his free and voluntary act for the uses and purposes therein set forth.

  
Notary Public

SCOTT R. BARTOS  
Notary Public, State of New York  
No. 01BA6037540  
Qualified in New York County  
Commission Expires Feb. 22, 2002

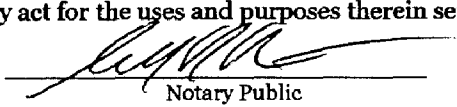
**HONEYWELL ADVANCE COMPOSITES INC.**

By:   
Ricardo Navarro, Authorized Signatory

State of: New York }

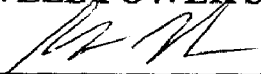
County of: New York }

On this 17<sup>th</sup> day of December, 2001, before me appeared Ricardo Navarro, personally known to me to be the same person whose name is subscribed to this instrument, appeared before me this day in person in his capacity as Authorized Signatory of Honeywell Advance Composites Inc. and acknowledged that he signed, sealed and delivered this instrument as his free and voluntary act for the uses and purposes therein set forth.

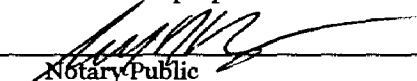
  
Notary Public

SCOTT R. BARTOS  
Notary Public, State of New York  
No. 01BA6037540  
Qualified in New York County  
Commission Expires Feb. 22, 2002

**HONEYWELL POWER SYSTEMS INC.**

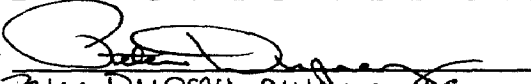
By:   
Ricardo Navarro, Authorized Signatory  
State of: New York  
County of: New York

On this 17<sup>th</sup> day of December, 2001, before me appeared Ricardo Navarro, personally known to me to be the same person whose name is subscribed to this instrument, appeared before me this day in person in his capacity as Authorized Signatory of Honeywell Power Systems Inc. and acknowledged that he signed, sealed and delivered this instrument as his free and voluntary act for the uses and purposes therein set forth.

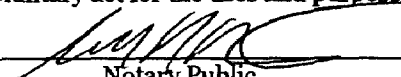
  
Notary Public

SCOTT R. BARTOS  
Notary Public, State of New York  
No. 01BA6037540  
Qualified in New York County  
Commission Expires Feb. 22, 2002

**HYBRID POWER GENERATION SYSTEMS, LLC**

By:   
Peter Duprey, Authorized Signatory  
State of: New York  
County of: New York

On this 17<sup>th</sup> day of December, 2001, before me appeared Peter Duprey, personally known to me to be the same person whose name is subscribed to this instrument, appeared before me this day in person in his capacity as Authorized Signatory of Hybrid Power Generation Systems, LLC, and acknowledged that he signed, sealed and delivered this instrument as his free and voluntary act for the uses and purposes therein set forth.

  
Notary Public

SCOTT R. BARTOS  
Notary Public, State of New York  
No. 01BA6037540  
Qualified in New York County  
Commission Expires Feb. 22, 2002

## **SCHEDULE A**

**Title: AUTOMATIC MODE TRANSITIONS FOR MICROTURBINE GENERATING  
SYSTEMS**

**Application No.: 09/923713**

**Application Date: 08/07/01**