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Atty. Docket: H60-104 US

RECORDATION FORM COVER SHEET

PATENTS ONLY

To: The Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name(s) of conveying party(ies): Jurgen RAMM

5-29-02

2. Name and address of receiving party(ies): Unaxis Balzers

Aktiengesellschaft, a Liechtenstein corporation, located at FL-9496 Balzers,

Furstentum Liechtenstein

- 3. Nature of conveyance: Assignment Execution Date: November 5, 2001
- 4. Application and/or Patent No(s).:
 - (a) Patent Appln. Serial No(s):

 10/002,996

 Letters Patent No(s):
 - (b) This document is being filed together with a new application which was executed on:
- 5. Name and address of party to whom correspondence concerning document should be mailed:

NOTARO & MICHALOS P.C.

100 Dutch Hill Road Suite 110 Orangeburg, NY 10962-2100 (845) 359-7700

Customer No.: 021706

- 6. Total number of applications and/or patents involved: 1
- 7. Total Fee (37 C.F.R. 3.41/\$40.00 ea.): \$40.00 is enclosed.
- 8. The Commissioner is authorized to charge any underpayment and/or credit any overpayment to Deposit Account No.: 14-1431
- 9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter C. Michalos

Reg. No. 28,643

May 20, 2002

Date

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 4

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PATENT REEL: 012945 FRAME: 0024

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Atty. Docket: H60-104 US

RECORDATION FORM COVER SHEET

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To: The Honorable Commissioner of Patents and Trademarks:

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1. Name(s) of conveying party(ies): Jurgen RAMM

3-12-02

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NOTARO & MICHALOS P.C.

Empire State Building 350 Fifth Avenue Suite 6902 New York, New York 10118-6985

(212) 564-0200

Customer No.: 027657

- 6. Total number of applications and/or patents involved: 1
- 7. Total Fee (37 C.F.R. 3.41/\$40.00 ea.): \$40.00 is enclosed.
- 8. The Commissioner is authorized to charge any underpayment and/or credit any overpayment to Deposit Account No.: 14-1431
- 9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter C. Michalos Reg. No. 287643

Signature

March 5, 2002
Date

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 2

PATENT REEL: 012945 FRAME: 0025

Atty. Docket No.: H60-104 US

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned

Jurgen RAMM
hereby sells, assigns and transfers to
UNAXIS_BALZERS_AKTIENGESELLSCHAFT
FL-9496 Balzers, Furstentum Liechtenstein
(hereinafter called the Assignee) the entire right, title and interest in and to any and all inventions and discoveries which are disclosed in the application for United States patent entitled
METHOD FOR PRODUCING COATED SUBSTRATES
which application was:
(a) executed on the <u>5th</u> day of <u>November</u> , 2001 (b) filed on the day of , 2001, and given Serial No
including any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof and any and all United States Letters Patents granted thereon.
It is hereby authorized and requested that the Commissioner of Patents and Trademarks issue any and all said Letters Patent, when granted to said Assignee.
Further, it is agreed that, when requested by Assignee, the undersigned will execute without charge all divisional, continuing, substitute, renewal, and reissue patent applications, and execute all rightful other papers, and generally do everything possible for aiding in securing and maintaining proper patent protection.
Signed at Seveleu , this 5 day of Wasewker, 2001
Signed at Seveler, this 5 day of Neverther, 2001 Inventors: Jurgen RAMM
Witness(es): R.Y.

NOTARO & MICHALOS P.C. 100 Dutch Hill Road Suite 110 Orangeburg, New York 10962-2100 (845) 359-7700

RECORD AND RETURN TO:

RECORDED: 05/29/2002

PATENT REEL: 012945 FRAME: 0026