

RE 6/5/02

FORM PTO-1595

RI

(Rev. 06/93)

OMB No. 0651-0011 (Exp. 04/94)

06-10-2002



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ET

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.: SLM-00000Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ECHELLE, INC.  
385 Moffett Park Drive, Suite 115  
Sunnyvale, CA 94089-1208

2. Name of receiving party(ies):

Silicon Light Machines  
385 Moffett Park Drive, Suite 115  
Sunnyvale, CA 94089-1208

3. Nature of conveyance:

☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: July 19, 1996

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application, the execution date of the application is:☐ Patent Application Serial No.(s):☒ Patent No.(s): US Patent No. 5,661,592 Issued: August 26, 1997; US Patent No. 5,798,743 Issued: August 25, 1998; US Patent No. 5,311,360 Issued: May 10, 1994; US Patent No. 5,731,802 Issued: March 24, 1998; US Patent No. 5,629,801 Issued: May 13, 1997.☐ Additional numbers attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Thomas B. Haverstock  
HAVERSTOCK & OWENS LLP  
162 North Wolfe Road  
Sunnyvale, CA 940866. Total number of applications and patents involved: 5.

7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:

☐ Check(s) in the amount of \$ enclosed.

8. Authorization to Charge Additional Fees:

☒ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. 08-1275.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: May 17, 2002

Signature:

Name: Thomas B. Haverstock  
Reg. No.: 32,571Total number of pages including cover sheet, attachments, and document: 3OFFICE OF PATENT RECORDS  
JUN -5 AM 9:04  
FINANCE SECTION

FORM PTO-1595

(Rev. 06/93)

RECORDED

U.S. Department of Commerce  
Patent and Trademark Office

OMB No. 0651-0011 (Exp. 04/94)

Attorney Docket No.: SLM-00000

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

MRD  
2-20-02

1. Name of conveying party(ies): ECHELLE, INC. — Additional name(s) of conveying party(ies) attached.		2. Name of receiving party(ies): Silicon Light Machines — Additional name(s) and address(es) attached.	
3. Nature of conveyance: — Assignment — Security Agreement — Other: _____ Execution Date: <u>July 19, 1996</u>		FEB 20 2002	
4. Application number(s) or patent number(s): — This document is being filed together with a new application, the execution date of the application is: Patent Application Serial No.(s): <input checked="" type="checkbox"/> Patent No.(s): US Patent No. <u>5,661,592</u> Issued: <u>August 26, 1997</u> ; US Patent No. <u>5,798,743</u> Issued: <u>August 25, 1998</u> ; US Patent No. <u>5,311,360</u> Issued: <u>May 10, 1994</u> ; US Patent No. <u>5,731,802</u> Issued: <u>March 24, 1998</u> ; US Patent No. <u>5,629,801</u> Issued: <u>May 13, 1997</u> . — Additional numbers attached.			
5. Name and address of party to whom correspondence concerning document should be mailed:  Thomas B. Haverstock HAVERSTOCK & OWENS LLP 162 North Wolfe Road Sunnyvale, CA 94086		6. Total number of applications and patents involved: <u>5</u> .	
		7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]: <input checked="" type="checkbox"/> Check(s) in the amount of <u>\$200.00</u> enclosed.	
		8. Authorization to Charge Additional Fees: <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. <u>08-1275</u> .	
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Dated: <u>February 1, 2002</u>		Signature: <u>Thomas B. Haverstock</u> Name: <u>Thomas B. Haverstock</u> Reg. No.: <u>32,571</u>	
Total number of pages including cover sheet, attachments, and document: <u>3</u>			

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PATENT  
REEL: 012946 FRAME: 0927

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FILED 5  
In the office of the Secretary of State  
of the State of California

JUL 25 1996

Bill Jones  
BILL JONES, Secretary of State

## CERTIFICATE OF AMENDMENT

OF

## RESTATED ARTICLES OF INCORPORATION

The undersigned, G. Venkatesh and Timothy Stevens hereby certify that:

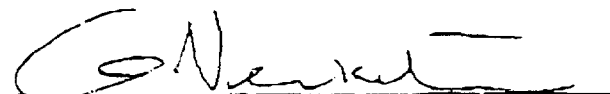
1. They are the President and the Assistant Secretary, respectively, of Echelle, Inc., a California corporation.
2. Article I of the Restated Articles of Incorporation of this corporation is amended to read as follows:

"I

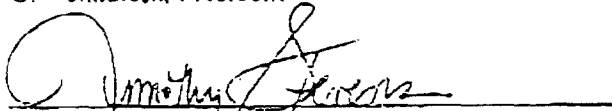
The name of this corporation is Silicon Light Machines"

3. The foregoing amendment of the Restated Articles of Incorporation has been duly approved by the Board of Directors.
4. The foregoing amendment of the Restated Articles of Incorporation has been duly approved by the required vote of the shareholders in accordance with Section 902 of the Corporations Code. The total number of outstanding shares entitled to vote with respect to the amendment is 4,705,862 shares of Common Stock and 3,960,000 Series A Preferred Stock and 4,266,667 shares of Series B Preferred Stock. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote required was a majority of the outstanding shares of Common Stock and Preferred Stock voting together as a single class.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge. Executed at Sunnyvale, California on July 19, 1996.



G. Venkatesh, President



Timothy Stevens, Assistant Secretary



# State of California

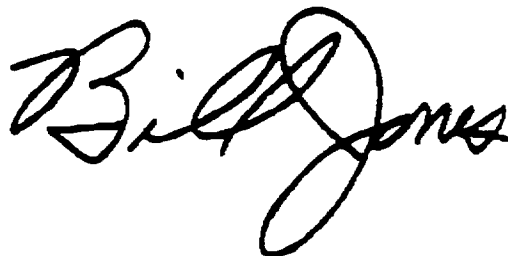
## SECRETARY OF STATE

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the attached transcript of \_\_\_\_ page(s) was prepared by and in this office from the record on file, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF, I execute  
this certificate and affix the Great  
Seal of the State of California this

199



Secretary of State