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Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Raphael Gordon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: _____

Internal Address: _____ Alcon, Inc.

Street Address: _____

P. O. Box 62, Bösch 69

City: Hünenberg State: Switzerland Zip: CH-6331

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: 05/23/02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 05/28/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey S. Schira

Internal Address: _____

Alcon Research, Ltd.

R&D Legal Counsel, Q-148

Street Address: _____

6201 South Freeway

City: Fort Worth State: TX Zip: 76134-2099

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50,1051

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey S. Schira, Reg. No. 34,922

Name of Person Signing

Signature

28 May 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

06/07/2002 GTOW11 00000021 501051 10153371

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40.00 CH

PATENT
REEL: 012953 FRAME: 0310

ASSIGNMENT

WHEREAS, I, Raphael Gordon have invented an Identification System for a Surgical Cassette for which I have executed an application for United States Letters Patent; and

WHEREAS, **Alcon, Inc.**, a Swiss corporation, having a place of business at Bosch 69, CH-6331, Hünenberg, Switzerland, desires to purchase the same.

NOW, THEREFORE, for good and valuable consideration paid by **Alcon, Inc.**, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto **Alcon, Inc.**, in and for the United States and its territories and for foreign countries, my entire right, title and interest in and to said application, in and to the invention therein set forth and in and to any patent which may issue on said application or any reissue, renewal, division, continuation, continuation-in-part and foreign counterparts thereof; and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

RAPHAEL GORDON

Raphael Gordon

Date: 05/23/02

STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On this 23 day of May 2002, before me, a notary public, came Raphael Gordon, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Debbie McQuaid

Notary Public

(SEAL)

My Commission expires: 6/12/02

