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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael J. Natan

6.4.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

May 14, 2002

Execution Date: _____

2. Name and address of receiving party(ies)

Name: SURROMED, INC.

Internal Address: 2375 Garcia Avenue
Mountain View, CA 94043Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/056,808

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Barry J. Swanson
Swanson & Bratschun, L.L.C.
1745 Shea Center Drive, Suite 330
Highlands Ranch, Colorado 801296. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Darla G. Yoerg

Name of Person Signing

Signature

May 15, 2002

Date

Total number of pages including cover sheet, attachments, and documents: Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 012963 FRAME: 0852

ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled **Surface-Enhanced Spectroscopy-Active Sandwich Nanoparticles** for which an application for United States Letters Patent was filed on **January 25, 2002** as **Application Serial No. 10/056,808**, and

WHEREAS, **SurroMed, Inc.**, a Delaware corporation, ("Assignee") having a place of business at **2375 Garcia Avenue, Mountain View, CA 94043**, desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: 5-14-02

Michael J. Natan
Michael J. Natan
726 Casa Bonita Court
Los Altos, California 94024

STATE OF California
COUNTY OF Santa Clara ss.

The foregoing instrument was acknowledged before me this 14th day of May, 2002, by Michael J. Natan.

Witness my hand and official seal.
My commission expires: 4/22/06

S. Allan
Notary Public

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