

06-12-2002



102121730

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please receive the enclosed original documents or copy thereof.

## 1. Name of conveying party(ies):

Sironi, Enrico; Margheritis, Giampietro; Pfeiffer,  
Ugo Claudio; and Li Bassi, Giuseppe

06/03/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 05/30/02 for all inventors

## 2. Name and address of receiving party(ies)

Name: LAMBERTI SPA

Internal Address: \_\_\_\_\_

Street Address: Piave 18

21041 Albizzate Italy

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 06/03/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MADAN, MOSSMAN &amp; SRIRAM, P.C.

Internal Address: \_\_\_\_\_

Street Address: 2603 Augusta, Suite 700

City: Houston State: Texas Zip: 77057

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gene L. Tyler

Name of Person Signing

Signature

June 3, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## **ASSIGNMENT**

IN CONSIDERATION OF ONE (1) DOLLAR AND OTHER GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do/does hereby:

SELL, ASSIGN AND TRANSFER to **LAMBERTI SpA**, having a place of business at **PIAVE 18, 21041 ALBIZZATE ITALY**, the entire right, title and interest for the United States and all foreign countries in and to any and all inventions and improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned and is entitled "**ADDITIVES BASED ON HYDROXYALKYL GUAR DERIVATIVES FOR USE IN MORTARS AND MORTARS INCLUDING THEM**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such inventions or improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such inventions or improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND HEREBY REQUEST the issuing authorities to issue any and all United States and foreign patents granted on such inventions or improvements to **LAMBERTI SpA**;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purposes of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

IN WITNESS WHEREOF, the undersigned have hereunto subscribed their names on the date set opposite their signatures.

(Signature) *Enrico Sironi*  
Name: Enrico Sironi

Date 30/05/2002

§  
§

BEFORE ME the undersigned authority on this 30<sup>th</sup> day of May, 2002 personally appeared Enrico Sironi the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

*Paola Giaroni* (Paola GIARONI)  
Witness

(Signature) *Giampietro Margheritis*  
Name: Giampietro Margheritis

Date 30/05/02

§  
§

BEFORE ME, the undersigned authority, on this 30<sup>th</sup> day of May, 2002 personally appeared Giampietro Margheritis the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

*Paola Giaroni* (Paola GIARONI)  
Witness

(Signature) Ugo Claudio Pfeiffer  
 Name: Ugo Claudio Pfeiffer

Date 30/05/02

§  
§

BEFORE ME, the undersigned authority, on this 30<sup>th</sup> day of May, 2002 personally appeared Ugo Claudio Pfeiffer the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Paola Giaroni (Paola GIARONI)  
 Witness

(Signature) Giuseppe Li Bassi  
 Name: Giuseppe Li Bassi

Date 30/05/2002

§  
§

BEFORE ME, the undersigned authority, on this 30<sup>th</sup> day of May, 2002 personally appeared Giuseppe Li Bassi the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Paola Giaroni (Paola GIARONI)  
 Witness