

6/10/02 RECORDAR

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks

original documents or copy thereof.

102120329

1. Name of conveying party(ies):

2. Name and address of receiving party(ies)

Associated Universities, Inc.

Name: Brookhaven Science Associates

Internal Address: Bldg. 460

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

Street Address: 40 Brookhaven Ave

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Upton State: NY ZIP: 11973

Execution Date: 3/17/98

Additional name(s) & address(es) attached? ☐ Yes ☐ No

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

U.S. Patent No. 5,678,237  
issued: 10/14/97

Additional numbers attached? ☐ Yes ☐ No

Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: 1

Name: Margaret C. Bogosian

7. Total fee (37 CFR 3.41) \$ 40.00

Internal Address:

☐ Enclosed

☒ Authorized to be charged to deposit account

Street Address: Brookhaven National Laboratory

8. Deposit account number:

P.O. Box 5000, Bldg. 475D

02-3977

City: Upton State: NY ZIP: 11973

(Attach duplicate copy of this page if paying by deposit account)

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Margaret C. Bogosian  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document.

2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 012967 FRAME: 0662

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent No. 5,678,237

Issue Date: October 14, 1997

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ASSIGNMENT OF INVENTION**

WHEREAS, on May 2, 1997, the U.S. Department of Energy terminated its contract with Associated Universities, Inc. for the management and operation of Brookhaven National Laboratory, effective March 1, 1998, and

WHEREAS, on July 18, 1997, DOE issued Request for Proposal No. DE-RP02-97CII10886 for the selection of a new contractor for Brookhaven National Laboratory, and

WHEREAS, on November 24, 1997, DOE selected Brookhaven Science Associates, LLC, a non-profit company organized under the laws of the State of Delaware, to assume management and operation of Brookhaven National Laboratory, and on January 5, 1998, DOE and BSA signed an operating contract effective March 1, 1998,

THEREFOR: In consideration of the above discussed transfer of the management and operating contract for Brookhaven National Laboratory from Associated Universities, Inc. to Brookhaven Science Associates,

**ASSIGNOR:**

**Associated Universities, Inc.**  
1400 16th Street, N.W.  
Suite 730  
Washington, DC 20036

hereby assigns and transfers to

**ASSIGNEE:**

**Brookhaven Science Associates**  
Bldg. 460  
40 Brookhaven Ave  
Upton, New York 11973

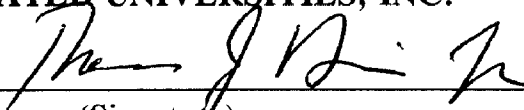
and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest in and to any and all inventions which are disclosed and claimed in the above-referenced U.S. Patent.

The original assignment from the inventor(s) to Associated Universities, Inc. was recorded on 9/20/96 at Reel 8147 , Frame 0424 .

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to maintain and enforce said Patent which may be necessary or desirable to carry out the purposes thereof.

**ASSOCIATED UNIVERSITIES, INC.**

  
(Signature)


Thomas J. Davin, Jr.  
(Name)

Vice President - Corporate Affairs and General Counsel  
(Title)

3-17-98  
(Date)

Approved and consented to this 18<sup>th</sup> day of March, 1998.

**BROOKHAVEN SCIENCE ASSOCIATES**

By   
(Signature)

Margaret C. Bogosian  
Its Patent Counsel