

06-13-2002



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File No.: FIS920020038

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jack A. Mandelman
Herbert L. Ho

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Execution Date: 6-03-02, 6-03-02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 6-03-02, 6-03-02

A. Patent Application No(s)

B. Patent No.(s)

EXHIBIT 1
ENCLOSURE
THIS CORRESPONDENCE IS BEING
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DATE OF DEPOSIT: 6/3/02

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jay H. Anderson

Internal Address: Zip 482

International Business Machines Corporation

Street Address: 2070 Route 52

06/13/2002 GT0N11 00000053 090458 10161960

01 FC:581 40.00 CH

City: Hopewell Junction State: NY ZIP: 12533

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

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09-0458 FI-183

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jay H. Anderson, Reg. No. 38,371

Name of Person Signing

Signature

2

Date

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

Whereas, we

Inventor
and City

(1) Jack A. Mandelman
County of Dutchess

City of Stormville
and State of New York

and

(2) Herbert L. Ho
County of Orange

City of Cornwall
and State of New York

Title

have invented certain improvements in

BACKSIDE BURIED STRAP FOR SOI DRAM TRENCH CAPACITOR

Dates That
Inventors

and have executed, respectively, a United States patent application therefor on

Signed the
Declaration

(1) June 3, 2002, and (2) June 3, 2002

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.


City
Date

(1) Signed at E. FISHKILL, NY
on JUNE 3, 2002


Jack A. Mandelman
First Name Middle Initial Last Name) signature
inventor

City
Date

(2) Signed at E. FISHKILL, NY
on June 3, 2002


Herbert L. Ho
First Name Middle Initial Last Name) signature
inventor