



06-14-2002



102123404

FORM PTO-1596
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No.: 50170-005

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):

David A. WATSON, Robert W. SHIMIZU, Richard
LAPORTE

6-7-02

Additional name(s) of conveying party(ies) attached? ☐ Yes

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 24, 2002, April 30, 2002, May 9, 2002

2. Name and address of receiving party(ies):

Name: CONTROL DELIVERY SYSTEMS, INC.

Address: 313 Pleasant Street,

Watertown, MA 02472

Additional name(s) & address(es) attached? ☐ Yes

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

10/013,946 filed December 13, 2001

B. Patent No(s).

Additional numbers attached? ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL & EMERY

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William D. Pegg, 42,988

June 7, 2002

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

06/13/2002 DBYRNE 00000126 500417 10013946

01 FC:581 40.00 CH

PATENT
REEL: 012977 FRAME: 0855

ASSIGNMENT

WHEREAS WE, David A. WATSON, Robert W. SHIMIZU, Richard LA PORTE of 59 Whitewood Road, Westwood, MA 02090; 531 Acorn Park, Acton, MA 01720; 95 Fiske Street, Waltham, MA 02451, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled IMPLANTABLE REFILLABLE AND PORTED CONTROLLED RELEASE DRUG DELIVERY DEVICE, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on December 13, 2001, and identified by United States Serial No. 10/013,946;

AND WHEREAS, CONTROL DELIVERY SYSTEMS, INC., a corporation of the State of Massachusetts and having an address of 313 Pleasant Street, Watertown, MA 02472 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto CONTROL DELIVERY SYSTEMS, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said CONTROL DELIVERY SYSTEMS, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date 30 April 2002

Date _____

Date _____

David A. Watson
[Signature]

Robert W. Shimizu

Richard LaPorte

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared David A. Watson, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Notary Public

My commission expires _____

STATE OF _____)
) SS:
COUNTY OF _____)

On this 30th day of April, 2002, before me personally appeared Robert W. Shimizu, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Maria R. Mangolis
Notary Public Maria R. Missan

My commission expires April 8, 2005

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared Richard LaPorte, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Notary Public

My commission expires _____

ASSIGNMENT

WHEREAS WE, David A. WATSON, Robert W. SHIMIZU, Richard LA PORTE of 59 Whitewood Road, Westwood, MA 02090; 531 Acorn Park, Acton, MA 01720; 95 Fiske Street, Waltham, MA 02451, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled IMPLANTABLE REFILLABLE AND PORTED CONTROLLED RELEASE DRUG DELIVERY DEVICE, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on December 13, 2001, and identified by United States Serial No. 10/013,946;

AND WHEREAS, CONTROL DELIVERY SYSTEMS, INC., a corporation of the State of Massachusetts and having an address of 313 Pleasant Street, Watertown, MA 02472 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto CONTROL DELIVERY SYSTEMS, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said CONTROL DELIVERY SYSTEMS, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date

David A. Watson

Date

05-09-02

Robert W. Shimizu

Date

Richard LaPorte

STATE OF MA)

COUNTY OF SUFFOLK)

SS:

On this 9 day of MAY, 2002, before me personally appeared David A. Watson, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Guise Dimattio
Notary Public

My commission expires 6/2005

STATE OF _____)

COUNTY OF _____)

SS:

On this _____ day of _____, 20____, before me personally appeared Robert W. Shimizu, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public

My commission expires _____

STATE OF _____)
) SS:
COUNTY OF _____)

On this 9th day of May, 2002, before me personally appeared Richard LaPorte, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Notary Public
My commission expires _____

ASSIGNMENT

WHEREAS WE, David A. WATSON, Robert W. SHIMIZU, Richard LA PORTE of 59 Whitewood Road, Westwood, MA 02090; 531 Acorn Park, Acton, MA 01720; 95 Fiske Street, Waltham, MA 02451, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled IMPLANTABLE REFILLABLE AND PORTED CONTROLLED RELEASE DRUG DELIVERY DEVICE, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on December 13, 2001, and identified by United States Serial No. 10/013,946;

AND WHEREAS, CONTROL DELIVERY SYSTEMS, INC., a corporation of the State of Massachusetts and having an address of 313 Pleasant Street, Watertown, MA 02472 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto CONTROL DELIVERY SYSTEMS, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said CONTROL DELIVERY SYSTEMS, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

4/24/02

Date



David A. Watson

Date

Robert W. Shimizu

Date

Richard LaPorte

STATE OF MA)
COUNTY OF SUFFOLK) SS:

On this 24 day of APRIL, 2002, before me personally appeared David A. Watson, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.


Notary Public

My commission expires 6/2003

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 20____, before me personally appeared Robert W. Shimizu, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public

My commission expires _____

STATE OF _____)

) SS:

COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared Richard LaPorte, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

Notary Public

My commission expires _____