

06-18-2002

FORM PTO-1595  
1-31-92

RECOF

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket No. 274/018

102125939

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **6-7-02**  
**Stephen D. O'Connor; Christoph D. Karp; Marci Pezzuto;**  
**Courtney Coyne; Steven E. Hobbs; Eugene Dantsker**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: June 7, 2002

2. Name and address of receiving party:

Name: **Nanostream, Inc.**

Internal Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Street Address: 580 Sierra Madre VillaCity: Pasadena State: California Zip: 91107Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or patent number(s): **10164887**If this document is being filed together with a new application, the execution date of the application is: June 7, 2002

A. Patent Application No(s): \_\_\_\_\_

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael J. WiseInternal Address: Lyon & Lyon LLP  
633 West Fifth Street  
Suite 4700  
Los Angeles, CA 900716. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: \_\_\_\_\_

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael F. Labbee, Reg. No. 39,738Date: 6/7/02Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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40.00 DP

PATENT  
REEL: 012985 FRAME: 0457

## ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, inventors:

**Stephen D. O'Connor, 1892 Galbreth Road, Pasadena, CA 91104**

**Christoph D. Karp, 335 S. Grand Oaks Avenue, Pasadena, CA 91107**

**Marci Pezzuto, 491 Athens Street, Altadena, CA 91001**

**Courtney Coyne, 210 S. Catalina Ave., #8, Pasadena, CA 91106**

**Steven E. Hobbs, 22835 Sherman Way, West Hills, CA 91307**

**Eugene Dantsker, 111 E. Highland Avenue #C, Sierra Madre, CA 91024**

who have created a certain invention for which an application for United States Letters Patent entitled **MICROFLUIDIC ANALYTICAL DEVICES AND METHODS** has been executed and

☒ is filed herewith;

☐ was filed in the U.S. Patent and Trademark Office on \_\_\_\_\_ and assigned Serial Number \_\_\_\_\_;

Do hereby sell, assign and transfer to Nanostream, Inc., a Delaware corporation having a place of business at 580 Sierra Madre Villa, Pasadena, California 91107, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possession and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties; and

Agree that Nanostream, Inc., hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all

applications for patents on said invention in said Assignee, successors, assigns, or legal representatives;

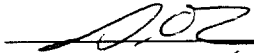
The terms "Application" and Application for Letters Patent" as used herein include both provisional and non-provisional applications;

Inventor(s) hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document; and

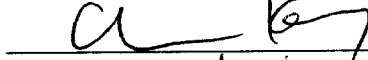
Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

IN THE TESTIMONY WHEREOF I have hereunto set my signature on the date indicated below.

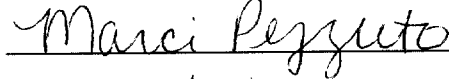
**STEPHEN D. O'CONNOR**

  
Date: 6-7-02

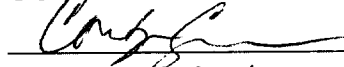
**CHRISTOPH D. KARP**

  
Date: 6/7/02

**MARCI PEZZUTO**

  
Date: 6/7/02

**COURTNEY COYNE**

  
Date: 6/7/02

STEVEN E. HOBBS

Steven Hobbs

Date: 6/7/02

EUGENE DANTSKER

E. Dantsker

Date: 6/7/02