Substitute Form PTO-1595 Attorney Docket No.: 12227-016001

## RECORDATION FORM COVER SHEET (RESUBMISSION) PATENTS ONLY

Commissioner for Patents: Please record the attached original docume	ent(s) or copy(ies).
Name of conveying party(ies):	Name and address of receiving party(ies):
Shulai Zhao and Bo Pi	Oluma, Inc. 5803 Newton Drive, Suite B
Additional name(s) attached? 디 Yes 평 No	
3. Nature of conveyance:	Carlsbad, CA 92008
<ul> <li>☑ Assignment</li> <li>☐ Merger</li> <li>☐ Security Agreement</li> <li>☐ Change of Name</li> <li>☐ Other:</li> </ul>	
Execution Date: 11/5/2001	Additional names/addresses attached? 口 Yes 因 No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the execution date of the application is:	
A. Patent Application No(s).:	B: Patent No(s).:
09/894,977	
Additional numbers attached? ☐ Yes ☒ No	
<ol><li>Name/address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications/patents involved: 1
BING AI	7. Total fee (37 CFR §3.41): \$40
Fish & Richardson P.C.	☐ Enclosed
PTO Customer No. 20985	Authorized to charge Deposit Account.
4350 La Jolla Village Drive, Suite 500 San Diego, California 92122	8. Deposit Account No.: 06-1050
Sair Diego, California 92122	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
1/2	
Bing Ai	
Reg. No. 43,312	
Name of Person Signing Signature	Date
Total number of pages Including coversheet, attachments and document: 2	

10205899.doc

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

August 14, 2002

Date of Transmission

Sharon Gebhart

REEL: 012986 FRAME: 0573

700016123

Attorney Docket No.: 12227-016001

## <u>ASSIGNMENT</u>

For valuable consideration, we, SHULAI ZHAO of 2229 Lagoon View Drive, Encinitas, California 92007; and BO PI of 7862 Via Coscoja, Carlsbad, CA 92009, hereby assign to: Oluma, Inc., a Delaware corporation having a place of business at: 5803 Newton Drive, Suite B, Carlsbad, CA 92008; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled THIN FILM STRUCTURES IN DEVICES WITH A FIBER ON A SUBSTRATE, filed June 27, 2001, and assigned U.S. Serial Number 09/894,977; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date:	
	SHULAI ZHAO
Date: 11/5/0/	BOPI
1012957 Ldoc	BOFI

RECORDED: 08/14/2002

PATENT REEL: 012986 FRAME: 0574