



To the Honorable Commissioner of Patent,

102126659

and the attached original document or copy thereof.

## 1. Names of conveying parties:

- 1) MARTIN A. CHEEVER
- 2) PATRICIA D. MCNEILL
- 3) MOLLY D. SMITHGALL
- 4) GUS MOULTON
- 5) THOMAS S. VEDVICK
- 6) PAUL R. SLEATH

6.14.02

Additional names of conveying parties attached? ☐ Yes ☒ No

## 2. Name and address of receiving party:

Name: CORIXA CORPORATION

Internal Address: \_\_\_\_\_

Street Address: 1124 COLUMBIA STREETSUITE 200City: SEATTLEState WAZip: 98104

Additional names &amp; addresses attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Dates:

- |                          |                          |
|--------------------------|--------------------------|
| 1) <u>APRIL 17, 2002</u> | 4) <u>APRIL 24, 2002</u> |
| 2) <u>APRIL 30, 2002</u> | 5) <u>APRIL 12, 2002</u> |
| 3) <u>APRIL 26, 2002</u> | 6) <u>APRIL 14, 2002</u> |

## 4. Application number(s) or registration number(s):

If this document is being filed together with a new application,  
the execution date of the application is \_\_\_\_\_.

A. Patent Application No(s).

09/785,019

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Seed Intellectual Property Law Group PLLCInternal Address: JEFFREY HUNDLEY, PH.D.Street Address: 701 Fifth Avenue, Suite 6300City: Seattle State: WA ZIP: 98104-7092

## 6. Total number of applications and patents involved.....

17. Total Fee (37 CFR 3.41): ..... \$40☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-1090

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JEFFREY HUNDLEY, PH.D.

Name of Person Signing

Signature

6/7/02

Date

Total number of pages including cover sheet, attachments, and document:

6

# ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

Name	Post Office Address	
Martin A. Cheever	6210 Southeast 22 <sup>nd</sup> Avenue, Mercer Island, Washington 98040	;
Patricia D. McNeill	1333 South 290 <sup>th</sup> Place, Federal Way, Washington 98003	;
Molly D. Smithgall	7217 28 <sup>th</sup> Avenue Northeast, Seattle, Washington 98115	;
Gus Moulton	7026 23 <sup>rd</sup> Avenue Northeast, Seattle, Washington 98115	;
Thomas S. Vedvick	124 South 300 <sup>th</sup> Place, Federal Way, Washington 98003	; and
Paul R. Sleath	1623 Eighth Avenue West, Seattle, Washington 98119	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors (with Alexander Gaiger) of an invention entitled

## COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/785,019, as filed in the United States Patent and Trademark Office on February 15, 2001 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/685,830	10/9/2000		210121.465C3
09/684,361	10/6/2000		210121.465C2
09/276,484	03/25/1999		210121.465C1
09/164,223	09/30/1998		210121.465

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention,

for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

4/17/02 Martin A Cheever  
Date Signature of Martin A. Cheever  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Martin A. Cheever is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 17, 2002  
Signature of Notary Public Deirdre I Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/11/02

4/30/02 Patricia D McNeill  
Date Signature of Patricia D. McNeill  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Patricia D. McNeill is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated Deirdre I Bryan  
Signature of Notary Public 4/30/02  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/11/02

4/26/02  
Date

Molly D. Smithgall  
Signature of Molly D. Smithgall

State of WASHINGTON)  
County of KING)

ss.

I certify that I know or have satisfactory evidence that Molly D. Smithgall is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 26, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

4-24-02  
Date

[Signature]  
Signature of Gus Moulton

State of WASHINGTON)  
County of KING)

ss.

I certify that I know or have satisfactory evidence that Gus Moulton is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 24, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

4/12/02  
Date

Thomas S. Vedvick  
Signature of Thomas S. Vedvick

State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Thomas S. Vedvick is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 12, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

4/14/02  
Date

Paul R. Sleath  
Signature of Paul R. Sleath

State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Paul R. Sleath is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 14, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

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Docket No. 210121.465C4

210121.465C4

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RECORDED: 06/14/2002

PATENT  
REEL: 012988 FRAME: 0894