

06-19-2002

702001-A-01-US



102126822

r Sheet

Y

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of the conveying party (ies):

**Dorothea Grimes-Frederick****James J. Macor****Steve O. Mak**

6.13.02

Additional name(s) of conveying parties attached?

☐ Yes ☒ No

## Nature of Conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ OtherExecution Date: **May 2, 2002**

## 2. Name and address of receiving party(ies):

Name: **Avaya Technology Corp.**

Internal Address:

Street Address: **211 Mount Airy Road**City: **Basking Ridge** State: **NJ** Zip: **07920**Additional name(s) attached? ☐ Yes ☒ No

## 4. Application or Registration Number(s)

If this application is being filed with a new application, the execution date of the new application is

A. Patent Application Numbers

B. Patent Registration Numbers

**29/158,625**Additional Numbers attached? ☐ Yes ☒ No

## 5. Name and Address of party to whom correspondence concerning document should be mailed:

Name: **Intellectual Property****Docket Administrator**Firm: **Gibbons, Del Deo Dolan, Griffinger & Vecchione**Address: **One Riverfront Plaza**City: **Newark** State: **N.J.** Zip: **07102-5497**6. Total Number of applications and registrations involved: **1**7. Total Fee: **\$40.00**☒ Enclosed☐ Authorized to be charged to Deposit Account

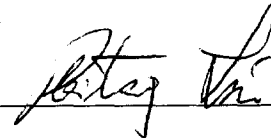
## 8. Deposit Account Number:

**03-3839**

(attach duplicate copy of this page if paying by deposit account)

## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Name: Reitseng Lin, Esq. Signature: 

Reg. No. 42,804

Date: 6/15/02

06/18/2002 TBI/AZI 00000190 29158625

01 FC:581

40.00 DP

#607068 v1  
102145-46251**PATENT**  
**REEL: 012989 FRAME: 0434**

## ASSIGNMENT AND AGREEMENT

For value received, we, **Dorothea Grimes-Frederick**, of the City of South Orange, and the State of New Jersey **James J. Macor** of the City of Jackson and the State of New Jersey, **Steve O. Mak**, of the City of Leonardo and the State of New Jersey, hereby sell, assign and transfer to **Avaya Technology Corp.**, a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **PANEL**, U.S. Serial No.: 29/158,625, filed April 5, 2002 described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to **Avaya Technology Corp.** the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

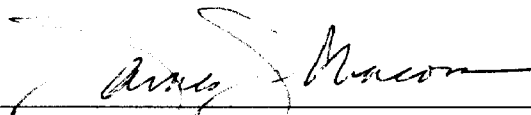
We authorize **Avaya Technology Corp.** to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.


We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of **Avaya Technology Corp.** to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to **Avaya Technology Corp.** in the United States and in all countries foreign to the United States, or to such nominees as **Avaya Technology Corp.** may designate.

We agree that, when requested, we shall, without charge to **Avaya Technology Corp.** but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents or other forms of protection.

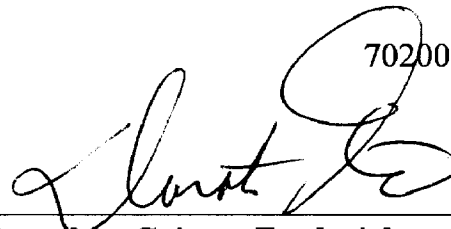
Date: 5/2/02

  
\_\_\_\_\_  
**James J. Macor**

  
\_\_\_\_\_  
**Steve O. Mak**

Date: May 3 2002

702001-A-01-US



**Dorothea Grimes-Frederick**

Date: 5/2/02

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	29/158,625
Filing Date	04/05/2002
First Named Inventor	Dorothea Grimes-Frederick
Group Art Unit	TBA
Examiner Name	Not Assigned

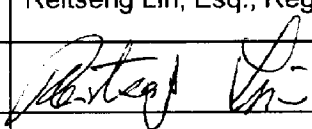
Total Number of Pages in This Submission 9

Attorney Docket Number 702001-A-01-US

**ENCLOSURES (check all that apply)**

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input checked="" type="checkbox"/> Assignment Papers (for an Application)              | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | 1) Assignment Coversheet   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   | 2) Check in the amt. of \$40.00  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      | 3) Return Receipt Postcard   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Express Mail Label No.: ET870765740US   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Reitseng Lin, Esq.; Registration No.: 42,804
Signature	
Date	6/13/02

**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

40.00

### Complete if Known

Application Number	29/158,625
Filing Date	04-05-2002
First Named Inventor	Dorothea Grimes-Frederick
Examiner Name	Not assigned
Group Art Unit	TBA
Attorney Docket No.	702001-A-01-US

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

03-3839

Deposit  
Account  
Name

Gibbons, Del Deo, Dolan, Griffinger

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

0

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

0

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

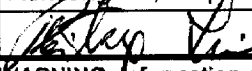
40.00

### SUBMITTED BY

Name (Print/Type)

Reitseng Lin, Esq.

Signature



Registration No.  
(Attorney/Agent)

42,804

Complete (if applicable)

Telephone

973-596-4717

Date

6/13/02

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.