

06-20-2002



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COVER SHEET

U.S. DEPARTMENT OF COMMERCE
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se 4-20897/A/CGC 1859

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document.

1. Name of conveying party(ies):

1) Joyce Corey Gibson and 2) Leonard Robert Capuano

6-12-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1) November 12, 1998 and 2)

November 6, 1998

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Street Address: Schwarzwaldallee 215

City: Basel State: Switzerland ZIP: 4058

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/684,585

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph J. Borovian

Name of Person Signing
Reg. No. 26,631

Signature

June 12, 2002

Date

☒ Express Mail on reverse side

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012993 FRAME: 0249

ASSIGNMENT

I / We **Joyce Corey GIBSON**, Anthony Wayne Road, Harding Township, NJ 07960, USA,
citizen of USA,
Leonard Robert CAPUANO, 35 Parkside Drive, Parsippany, NJ 07054, USA,
citizen of USA,

for good and valuable consideration, the receipt and adequacy of which is hereby
acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under
the laws of the Swiss Confederation, of Schwarzwaldallee 215, 4058 Basel,
Switzerland**, its successors, assigns and legal representatives all my/our right, title and
interest, in and for the United States of America, in and to the invention entitled:

**PREVENTION AND TREATMENT OF COLORECTAL CANCER BY
6-FLUOROURSODEOXYCHOLIC ACID (6-FUDCA)**

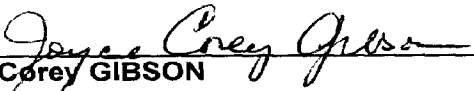
invented by me/us and described in the international application

No. **PCT/ EP97/02632** filed **May 22, 1997**


and all United States Letters Patent which may be granted therefor, and all divisions,
reissues, continuations and extensions thereof, the said interest being the entire ownership
of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its
successors, assigns or other legal representatives, to the full end of the term for which said
Letters Patent may be granted, as fully and entirely as the same would have been held and
enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to
issue said Letters Patent to the said **Novartis AG**.

Signed on



Joyce Corey GIBSON



November 12, 1998



Leonard Robert CAPUANO



November 6, 1998

US 10/97