06-20-2002 Docket No.: P-LJ 4752 FORM PTO-1595 (Modified) HEET U.S. DEPARTMENT OF COMMERCE (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) Patent and Trademark Office P08/REV03 102129190 Tab settings → → To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. Name of conveying party(ies): Name and address of receiving party(ies): 1) John C. Reed 2) Frederick F. Pio Name: The Burnham Institute 6-13-02 3) Adam Godzik 4) Christian Stehlik Internal Address: 5) Jason S. Damiano ☐ Yes 🏻 No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Street Address: 10901 North Torrey Pines Road Merger ☐ Security Agreement ☐ Change of Name \_\_ State: <u>CA</u> ZIP: 92037 City: La Jolla ☐ Other Execution Date: Please see attached sheet ☑ No Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is:-A. Patent Application No.(s) B. Patent No.(s) 09/864,921 Additional numbers attached? ☐ Yes 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Cathryn Campbell 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: CAMPBELL & FLORES LLP Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number: Street Address: 4370 La Jolla Village Drive, 7th Floor 6/19/2002 DBYRNE 00000233 09864921 03-0370 01 FC:581 (Attach duplicate copy of this page if paying by deposit account) City: San Diego State/CA ZIP: 92122 DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Melanie K. Webster, Reg. No.: 45,201 June 5, 2002 Signature Name of Person Signing Date

Total number of pages including cover sheet, attachments, and document:

Recordation Form Cover Sheet Attorney Docket: P-LJ 4752 Serial No. 09/864,921

Page 2

### Section 1:

# Additional name and address of receiving party:

- 6) Sug Hyung Lee
- 7) Vasco A. Oliveira
- 8) Hideki Hayashi
- 9) Krzysztof Pawlowski

**Execution Date:** 

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#'s 1,4,5 and 7 on October 11, 2001;
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#2 on November 28, 2001; #3 on September 25, 2001; #6 on September 24, 2001;

#8 on July 4, 2001;

and

#9 on August 1, 2001

	Docket No.: P-LJ 4752
FORM PTO-1595 (Modified) (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) P08/REV03	HEET  U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
Tab settings ◆ ◆ ◆	
4 Name of according partition):	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies):	Name and address of receiving party(ies):
1. Name of conveying party(les).  1. John C. Reed  2. Frederic F. Pio  3. Adam Godzik  4. Christian Stehlik  5. Jason S. Damiano  Additional names(s) of conveying party(les)  Yes \( \sum \) No	Name: The Burnham Institute
4. Christian Stehlik 5. Jason S. Damiano	Internal Address:
5. Jason S. Damiano	III.6.11, 164, 1655.
Additional names(s) of conveying party(ies)	
3. Nature of conveyance:	
	Street Address: 10901 North Torrey Pines Road
☐ Security Agreement ☐ Change of Name	
☐ Other	City: La Jolla State: CA ZIP: 92037
Execution Date: Please see attached page	Additional name(s) & address(es) attached?   Yes   No
Application number(s) or patent numbers(s):	
If this document is being filed together with a new application,	the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
09/864,921	
01/22/2002 AGOITOM 00000100 09864921	
06 FC:581 40.00 OP	
Additional numbers attac	hed?
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Cathryn Campbell	7. Total fee (37 CFR 3.41):\$ 40.00
Internal Address: CAMPBELL & FLORES LLP	Enclosed - Any excess or insufficiency should be credited or debited to deposit account
	☐ Authorized to be charged to deposit account
Street Address: 4370 La Jolla Village Drive, 7th Floor	Deposit account number:
	03-0370
City: San Diego State: CA ZIP: 921223	(Attach duplicate copy of this page if paying by deposit account)
DO NOT	USE THIS SPACE
9. Statement and signature.	otion is true and correct and any attached sony is a true sony
To the best of my knowledge and belief, the foregoing informs of the original document.	ation is true and correct and any attached copy is a true copy
Melanie K. Webster, Reg. No. 45,201	December 5, 2001
1,40	December 5, 2001
Name of Person Signing	Signature Date

Recordation Form Cover Sheet Attorney Docket: P-LJ 4752 Serial No. 09/864,921

Page 2

### Section 1:

## Additional name and address of receiving party:

- 6. Sug Hyung Lee
- 7. Vasco A. Oliveira
- 8. Hideki Hayashi
- 9.Krzysztof Pawlowski

Execution Date: 1,4,5 and 7 on October 11, 2001;

2 on November 28, 2001;3 on September 25, 2001;6 on September 24, 2001;

8 on July 4, 2001;

and

9 on August 1, 2001

ONE EXECUTED SMALL ENTITY STATEMENT (4 pages)

Attorney Docket No.: P-LJ 4752

Serial No.: 09/864,921

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 5, 2001.

Βv

Melanie K. Webster, Reg. No. 45,201

December 5, 2001

Date of Signature

#### ASSIGNMENT

This Assignment is made by John C. Reed of San Diego, California, Frederick F. Pio of Vancouver, British Columbia, Adam Godzik, Christian Stehlik, Jason S. Damiano, Sug Hyung Lee, and Vasco A. Oliveira of San Diego, California, Hideki Hayashi of Nagasaki City, Japan and Krzysztof Pawlowski of Malmo, Sweden, Assignors, to The Burnham Institute, Assignee, having a place of business at 10901 North Torrey Pines Road, La Jolla, California 92037.

WHEREAS, Assignors have invented a new and useful CARD DOMAIN CONTAINING POLYPEPTIDES, ENCODING NUCLEIC ACIDS, AND METHODS OF USE, for which an application for United States Letters Patent was filed on May 23, 2001, in the United States Patent and Trademark Office, bearing Serial No.09/864,921, and identified as Attorney Docket No: P-LJ 4752;

WHEREAS, Assignors believe themselves to be the original inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged,

Reed et al.

Serial No.: Filed:

09/864,921 May 23, 2001

Page 2

Assignors sell, assign, and transfer to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention,

Reed et al.
Serial No.: 09/864,921
Filed: May 23, 2001
Page 3

whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date(s) provided below.

Assignor: John C. Reed

| 10 - | 1 - 0 |
| Signature Date

STATE OF California )
COUNTY OF San Diego )

On October 11, 2001, before me, <u>Karen Marion Overklift</u> personally appeared <u>John c Reed</u>, personally known to me - OR - verd proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Koren Morion Overklist
(Signature of Notary)



09/864,921 Serial No.: Filed: May 23, 2001 Page 4 Assignor: Frederick F. Pio STATE OF \_\_\_\_\_ COUNTY OF On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared \_\_\_\_\_ personally known to me - OR - \_\_\_ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS by hand and official seal.

Reed et al.

(Signature of Notary)

Reed et al.
Serial No.: 09/864,921
Filed: May 23, 2001

Page 5

Assignor: Adam Godzik

Ad Go- 9/25/01 Signature Date

STATE OF <u>California</u> )
COUNTY OF <u>San Dieso</u> )

On <u>September 35.3001</u>, before me, <u>Karen Median Cverklith</u>
personally appeared <u>Adam bodich</u>

personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

(Signature of Notary)



Reed et al. Serial No.:

09/864,921 May 23, 2001

Filed: Page 6

Assignor: Christian Stehlik

10-11-01

STATE OF <u>California</u>
COUNTY OF <u>San Diego</u>

On October 11, 2001, before me, Karen Marion Overklish personally appeared Christian Stehlile

personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Karen Marion Overbleak (Signature of Notary)

KAREN MARION OVERKLIF Commission # 1313033 Notary Public - California San Diego County

Reed et al. Serial No.:

09/864,921 May 23, 2001

Filed: Page 7

Assignor: Jason S. Damiano

Signature Date

COUNTY OF San Oicgo )

On October 11, 2001, before me, Karen Harson Druklift personally appeared Jason Damiano

personally known to me - OR -  $\times$  proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Karen Marion Overkligh
(Signature of Notary)



Reed et al. Serial No.: -Filed: Page 8	09/864,921 May 23, 2001				
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		:			
		Assignor:	Sug Hyun	g Lee	
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		Signature		Date	′ /
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On		, before me,			
satisfactory e subscribed to he/she/they e capacity(ies),	known to me - evidence to be the within ins executed the and that by	the persor strument and same in his/her/th	n(s) whose d acknowle his/her/t neir sign	e name(s) edged to heir aut ature(s)	is/are me that horized on the
	person(s), or d, executed the			alf of wh	ich the
WITNESS b	y hand and off:	icial seal.			,
			÷.		•

(Signature of Notary)

Reed et al.
Serial No.: 09/864,921
Filed: May 23, 2001
Page 9

Assignor: Vasco A. Oliveira

<u>UasuAndre Machadode Cliveira</u> 10-11-01 Signature Date

STATE OF <u>California</u> )
COUNTY OF <u>San Diego</u> )

on <u>October II</u>, <u>Wol</u>, before me, <u>karn Warren Overklith</u> personally appeared <u>Vasco A. Oliveira</u>, personally known to me - OR - <u>X</u> proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

(Signature of Notary)



Serial No.: Filed: Page 10	09/864,921 May 23, 2001			
		Assignor: Hide	eki Hayashi	
		Signature	Date	July 4, 200
STATE OF	)			
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WITNESS 1	by hand and off:	icial seal.		

(Signature of Notary)

Reed et al. Serial No.: 09/864,921 Filed: May 23, 2001 Page 11 Assignor: Krzysztof Pawlowski any 404.01, 2001

pature Date STATE OF \_\_\_\_\_\_)
COUNTY OF \_\_\_\_\_\_) personally appeared \_\_\_\_\_\_\_\_, before me, \_\_\_\_\_\_\_ \_\_\_ personally known to me - OR - \_\_\_ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS by hand and official seal.

(Signature of Notary)

**RECORDED: 06/13/2002**