

06-20-2002

Docket No.: P-LJ 4752

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03



HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102129190

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) John C. Reed
- 2) Frederick F. Pio
- 3) Adam Godzik
- 4) Christian Stehlik
- 5) Jason S. Damiano

b-13-02

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: The Burnham Institute

Internal Address: _____

Street Address: 10901 North Torrey Pines Road

City: La Jolla State: CA ZIP: 92037

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: Please see attached sheet

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/864,921

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn Campbell

Internal Address: CAMPBELL & FLORES LLP

Street Address: 4370 La Jolla Village Drive, 7th Floor

06/19/2002 DBYRNE 00000233 09864921

01 FC:581 40.00 DP

City: San Diego State: CA ZIP: 92122

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

03-0370

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melanie K. Webster, Reg. No.: 45,201

Melanie K. Webster

June 5, 2002

Name of Person Signing

Signature

13

Date

Total number of pages including cover sheet, attachments, and document:

Section 1:

Additional name and address of receiving party:

6) Sug Hyung Lee

7) Vasco A. Oliveira

8) Hideki Hayashi

9) Krzysztof Pawlowski

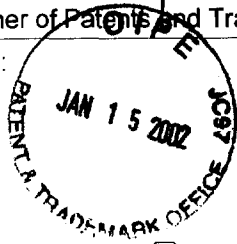
Execution Date: #’s 1,4,5 and 7 on October 11, 2001;
#2 on November 28, 2001;
#3 on September 25, 2001;
#6 on September 24, 2001;
#8 on July 4, 2001;
and
#9 on August 1, 2001

Tab settings → → → ▼

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- 1. John C. Reed
- 2. Frederic F. Pio
- 3. Adam Godzik
- 4. Christian Stehlik
- 5. Jason S. Damiano



Additional names(s) of conveying party(ies) Yes No

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Name: The Burnham Institute

Internal Address: _____

Street Address: 10901 North Torrey Pines Road

City: La Jolla State: CA ZIP: 92037

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: Please see attached page

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/864,921
 01/22/2002 AGOITOM 00000100 09864921
 06 FC:581 40.00 DP

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn Campbell

Internal Address: CAMPBELL & FLORES LLP

Street Address: 4370 La Jolla Village Drive, 7th Floor

City: San Diego State: CA ZIP: 921223

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

03-0370

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melanie K. Webster, Reg. No. 45,201

December 5, 2001

Name of Person Signing

Signature

13

Date

Total number of pages including cover sheet, attachments, and document:

Section 1:

Additional name and address of receiving party:

6. Sug Hyung Lee

7. Vasco A. Oliveira

8. Hideki Hayashi

9. Krzysztof Pawlowski

Execution Date: 1,4,5 and 7 on October 11, 2001;
2 on November 28, 2001;
3 on September 25, 2001;
6 on September 24, 2001;
8 on July 4, 2001;
and
9 on August 1, 2001

ONE EXECUTED SMALL ENTITY STATEMENT

(4 pages)

Attorney Docket No.: P-LJ 4752

Serial No.: 09/864,921

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 5, 2001.

By Melanie K. Webster
Melanie K. Webster, Reg. No. 45,201

December 5, 2001
Date of Signature

ASSIGNMENT

This Assignment is made by John C. Reed of San Diego, California, Frederick F. Pio of Vancouver, British Columbia, Adam Godzik, Christian Stehlik, Jason S. Damiano, Sug Hyung Lee, and Vasco A. Oliveira of San Diego, California, Hideki Hayashi of Nagasaki City, Japan and Krzysztof Pawlowski of Malmo, Sweden, Assignors, to The Burnham Institute, Assignee, having a place of business at 10901 North Torrey Pines Road, La Jolla, California 92037.

WHEREAS, Assignors have invented a new and useful CARD DOMAIN CONTAINING POLYPEPTIDES, ENCODING NUCLEIC ACIDS, AND METHODS OF USE, for which an application for United States Letters Patent was filed on May 23, 2001, in the United States Patent and Trademark Office, bearing Serial No.09/864,921, and identified as Attorney Docket No: P-LJ 4752;

WHEREAS, Assignors believe themselves to be the original inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged,

Assignors sell, assign, and transfer to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention,

Reed et al.

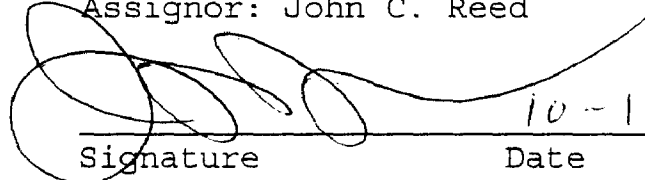
Serial No.: 09/864,921

Filed: May 23, 2001

Page 3

whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date(s) provided below.

Assignor: John C. Reed

Signature _____ Date 10-11-01

STATE OF California)
COUNTY OF San Diego)

On October 11, 2001, before me, Karen Marion Overklift personally appeared John C. Reed, personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized capacity(ies), and that by his/~~her~~/~~their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Karen Marion Overklift
(Signature of Notary)



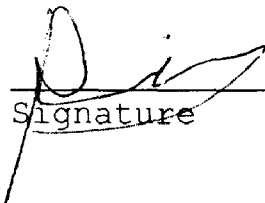
Reed et al.

Serial No.: 09/864,921

Filed: May 23, 2001

Page 4

Assignor: Frederick F. Pio


01-11-28

 Signature Date

STATE OF _____)
 COUNTY OF _____)

On _____, before me, _____
 personally appeared _____,
 ___ personally known to me - OR - ___ proved to me on the basis
 of satisfactory evidence to be the person(s) whose name(s) is/are
 subscribed to the within instrument and acknowledged to me that
 he/she/they executed the same in his/her/their authorized
 capacity(ies), and that by his/her/their signature(s) on the
 instrument the person(s), or the entity upon behalf of which the
 person(s) acted, executed the instrument.

WITNESS by hand and official seal.

 (Signature of Notary)

Reed et al.

Serial No.: 09/864,921

Filed: May 23, 2001

Page 5

Assignor: Adam Godzik

Ad Godzik 9/25/01
Signature Date

STATE OF California)
COUNTY OF San Diego)

On September 25, 2001, before me, Karen Marion Overklift
personally appeared Adam Godzik
 personally known to me - OR - proved to me on the basis
of satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/~~she/they~~ executed the same in his/~~her/their~~ authorized
capacity(ies), and that by his/~~her/their~~ signature(s) on the
instrument the person(s), ~~or the entity upon behalf of which the~~
~~person(s) acted~~, executed the instrument.

WITNESS by hand and official seal.

Karen Marion Overklift
(Signature of Notary)



Reed et al.

Serial No.: 09/864,921

Filed: May 23, 2001

Page 7

Assignor: Jason S. Damiano

Jason Damiano 10-11-01
Signature Date

STATE OF California)
COUNTY OF San Diego)

On October 11, 2001, before me, Karen Marion Overklift personally appeared Jason Damiano, personally known to me - OR - X proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Karen Marion Overklift
(Signature of Notary)



Reed et al.

Serial No.: 09/864,921

Filed: May 23, 2001

Page 8

Assignor: Sug Hyung Lee

[Handwritten Signature]

September 24/2001

Signature

Date

STATE OF _____)
COUNTY OF _____)

On _____, before me, _____
personally appeared _____,
_____ personally known to me - OR - _____ proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

(Signature of Notary)

Reed et al.

Serial No.: 09/864,921

Filed: May 23, 2001

Page 9

Assignor: Vasco A. Oliveira

Vasco Andre Machado de Oliveira 10-11-01
Signature Date

STATE OF California)
COUNTY OF San Diego)

On October 11, 2001, before me, Karen Marion Overklift
personally appeared Vasco A. Oliveira,
personally known to me - OR - X proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/~~are~~
subscribed to the within instrument and acknowledged to me that
he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized
capacity(ies), and that by his/~~her~~/~~their~~ signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Karen Marion Overklift
(Signature of Notary)



Assignor: Hideki Hayashi

Hideki Hayashi July 4, 2001
Signature Date

STATE OF _____)
COUNTY OF _____)

On _____, before me, _____
personally appeared _____,
_____ personally known to me - OR - _____ proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

(Signature of Notary)

Assignor: Krzysztof Pawlowski

<u>K. Pawlowski</u>	<u>Aug. 01, 2001</u>
Signature	Date

STATE OF _____)
 COUNTY OF _____)

On _____, before me, _____
 personally appeared _____,
 ___ personally known to me - OR - ___ proved to me on the basis of
 satisfactory evidence to be the person(s) whose name(s) is/are
 subscribed to the within instrument and acknowledged to me that
 he/she/they executed the same in his/her/their authorized
 capacity(ies), and that by his/her/their signature(s) on the
 instrument the person(s), or the entity upon behalf of which the
 person(s) acted, executed the instrument.

WITNESS by hand and official seal.

 (Signature of Notary)