

PATENTS ONLY

06-20-2002

PATENTS ONLY

TO THE



PATENTS

Please recd

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copy thereof.

1. Name of Party(ies) conveying an interest.

Chengjian Mao
David J. Shapiro

6.12.02

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of Party(ies) receiving an interest:

Name: Board of Trustees of University of Illinois
Internal Address: 352 Henry Administration Building
Street Address: 506 S. Wright
City: Urbana
State/Zip: Illinois 61801

Additional name(s) and addresses attached?

☐ Yes ☒ No

3. Description of the interest conveyed:

☒ Assignment☐ Change of Name

Other:

☐ Security Agreement☐ Merger

Execution Date: 3/11/02 and 3/11/02, respectively

4. Application number(s) or patent number(s). Additional sheet attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is

Date

A. Patent Application No.(s)

10/095,373

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account No. 23-19258. ☒ Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 23-1925.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory M. Zinkl, Ph.D.
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

03-25-2002

Mail" mailing label number EL 7467
Deposit March 11, 2002



102029578

Case No. 10322/25

PATENT APPLICATION TRANSMITTAL LETTER

Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Chengjian Mao and David J. Shapiro for : A TAMOXIFEN AND 4-HYDROXYTAMOXIFEN-ACTIVATED SYSTEM FOR REGULATED PRODUCTION OF PROTEINS IN EUKARYOTIC CELLS.

Enclosed are:

- ☒ 14 sheet(s) of drawings, 124 pages of application (including title page), and the following Appendices : .
- ☒ Declaration.
- ☐ Power of Attorney.
- ☐ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☒ Assignment transmittal letter and Assignment of the invention to : Board of Trustees of University of Illinois.
- ☒ Sequence Listing Statement ; Sequence Listing; Sequence Listing on disk; and check for \$40.00 for Recordal of Assignment

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	51-20	31
Indep. Claims	22-3	19
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 370
x\$9=	\$279
x\$42=	\$798
+\$140=	\$
Total	\$1447

Other Than Small Entity	
Rate	Fee
	\$ 740
x\$18=	\$
x\$84=	\$
+\$280=	\$
Total	\$

- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$: 1447.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

Date

Gregory M. Zink
Gregory M. Zink, Ph.D.
BRINKS HOFER GILSON & LIONE
Registration No. 48,492

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Rev. Oct-01

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PATENT
REEL: 012995 FRAME: 0401

Case No. 10322/25

ASSIGNMENT

WHEREAS, Chengjian Mao and David J. Shapiro, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled A TAMOXIFEN AND 4-HYDROXYTAMOXIFEN-ACTIVATED SYSTEM FOR REGULATED PRODUCTION OF PROTEINS IN EUKARYOTIC CELLS, for a full description of which reference is here made to an application for Letters Patent of the United States filed herewith;

WHEREAS, Board of Trustees of University Of Illinois, a not-for-profit corporation organized and existing under the laws of the State of Illinois, having a place of business at 352 Henry Administration Building, 506 S. Wright, Urbana, Illinois 61801, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

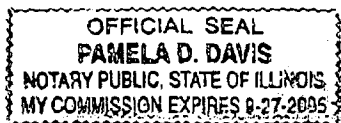
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in

STATE OF) *Illinois*
) SS.
 COUNTY OF) *Champaign*

I, a Notary Public in and for the County and State aforesaid, do hereby certify that Chengjian Mao, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this
 11 day of *March* 2002.



(SEAL)

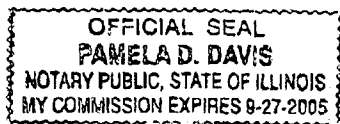
Pamela D. Davis
 Notary Public

My Commission Expires: *September 27, 2005*

STATE OF) *Illinois*
) SS.
 COUNTY OF) *Champaign*

I, a Notary Public in and for the County and State aforesaid, do hereby certify that David J. Shapiro, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this
 11 day of *March*, 2002.



(SEAL)

Pamela D. Davis
 Notary Public

My Commission Expires: *September 27, 2005*