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To the Honorable Commissioner of Patents:

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ied original documents or copy thereof.

## 1. Name of conveying party(ies):

6-7-02  
Naiqian Han, Jidong Hou,  
Xiaoping Zhang, Liji Huang, and  
Gaofeng WangAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: May 24, 2002

## 2. Name and address of receiving party(ies):

Name: INTPAX, Inc.

Internal Address: \_\_\_\_\_

Street Address: 6 Results Way

City: Cupertino State: CA ZIP: 95014

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 24, 2002

A. Patent Application No.(s)

B. Patent No.(s)

10165490

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bo-In Lin

Internal Address: \_\_\_\_\_

Street Address: 13445 Mandoli Drive

City: Los Altos Hills State: CA ZIP: 94022

## 6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

06/20/2002 TDIAZ1 00000040 10165490

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ching-lu Lin

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet: six

six

REEL: 012995 FRAME: 0636

## ASSIGNMENT

Docket NO. INTPAX0203INVENTOR  
CITY

Whereas, I, **Naiqian Han** of 655 South Fair Oaks Ave. H216,  
Sunnyvale, California 94086,  
have invented:

TITLE:

**BASIC COMMON OPTICAL CELL CONFIGURATION OF  
DUAL CAVITIES FOR OPTICAL TUNABLE DEVICES**

DATE INVENTOR  
SIGNED THE  
DECLARATION

and executed a United States patent application therefore  
on May 24, 2002;

Whereas, INTPAX, INC., having a place of business at Cupertino,  
California, (hereinafter called INTPAX), desires to acquire the entire right,  
title and interest of said application and invention, and to any United States  
and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby  
acknowledged, I, the above named, hereby sell, assign, and transfer to  
INTPAX, its successors and assigns, the entire right, title and interest in the  
said application and invention therein disclosed for the United States and  
foreign countries, and all rights of priority resulting from the filing said  
United States application, and I request the Commissioner of Patents to issue  
any Letters Patent granted upon the inventions set forth in said application to  
INTPAX its successors and assigns; and I hereby agree that INTPAX may  
apply for foreign Letters Patent on said invention and I will execute all papers  
necessary in connection with the United States and foreign applications when  
called upon to do so by

CITY AND  
DATE

Signed and Sealed at Cupertino, California  
on May 24, 2002.

  
SIGNATURE OF INVENTOR

## ASSIGNMENT

Docket NO. INTPAX0203INVENTOR  
CITY

Whereas, I, : Jidong Hou of 637 Kirkland Drive #2,  
Cupertino California 95014  
have invented:

TITLE:

**BASIC COMMON OPTICAL CELL CONFIGURATION OF  
DUAL CAVITIES FOR OPTICAL TUNABLE DEVICES**

DATE INVENTOR  
SIGNED THE  
DECLARATION

and executed a United States patent application therefore  
on May 24, 2002 ;

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Now therefore, for a valuable consideration, receipt whereof is hereby  
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said application and invention therein disclosed for the United States and  
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United States application, and I request the Commissioner of Patents to issue  
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apply for foreign Letters Patent on said invention and I will execute all papers  
necessary in connection with the United States and foreign applications when  
called upon to do so by

CITY AND  
DATE

Signed and Sealed at Cupertino, California  
on May 24, 2002 .

  
\_\_\_\_\_  
SIGNATURE OF INVENTOR

## ASSIGNMENT

Docket NO. INTPAX0203INVENTOR  
CITY

Whereas, I, **Xiaoping Zhang** of 18641 Crabtree Avenue,  
Cupertino, California 95014,  
have invented:

TITLE:

**BASIC COMMON OPTICAL CELL CONFIGURATION OF  
DUAL CAVITIES FOR OPTICAL TUNABLE DEVICES**

DATE INVENTOR  
SIGNED THE  
DECLARATION

and executed a United States patent application therefore  
on May 24, 2002;

Whereas, **INTPAX, INC.**, having a place of business at **Cupertino, California**, (hereinafter called **INTPAX**), desires to acquire the entire right, title and interest of said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to **INTPAX**, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to **INTPAX** its successors and assigns; and I hereby agree that **INTPAX** may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by

CITY AND  
DATE

Signed and Sealed at Cupertino, California  
on May 24, 2002.

  
SIGNATURE OF INVENTOR

Docket NO. INTPAX0203**ASSIGNMENT**INVENTOR  
CITY

Whereas, I, Liji Huang of 2217 Ceynowa Lane,  
San Jose, California 95121,  
have invented:

TITLE:

**BASIC COMMON OPTICAL CELL CONFIGURATION OF  
DUAL CAVITIES FOR OPTICAL TUNABLE DEVICES**

DATE INVENTOR  
SIGNED THE  
DECLARATION


and executed a United States patent application therefore  
on May 24, 2002;

Whereas, INTPAX, INC., having a place of business at Cupertino, California, (hereinafter called INTPAX), desires to acquire the entire right, title and interest of said application and invention, and to any United States and foreign patents to be obtained therefore,

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to INTPAX, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to INTPAX its successors and assigns; and I hereby agree that INTPAX may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by

CITY AND  
DATE

Signed and Sealed at Cupertino, California  
on May 24, 2002.



SIGNATURE OF INVENTOR

Docket NO. INTPAX0203

## ASSIGNMENT

INVENTOR  
CITY

Whereas, I, **Gaofeng Wang** of 900 Henderson Avenue, #39  
Sunnyvale, California 94086,  
have invented:

TITLE:

**BASIC COMMON OPTICAL CELL CONFIGURATION OF  
DUAL CAVITIES FOR OPTICAL TUNABLE DEVICES**

DATE INVENTOR  
SIGNED THE  
DECLARATION


and executed a United States patent application therefore  
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said application and invention therein disclosed for the United States and  
foreign countries, and all rights of priority resulting from the filing said  
United States application, and I request the Commissioner of Patents to issue  
any Letters Patent granted upon the inventions set forth in said application to  
INTPAX its successors and assigns; and I hereby agree that INTPAX may  
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called upon to do so by

CITY AND  
DATE

Signed and Sealed at Cupertino, California  
on May 24, 2002.

  
SIGNATURE OF INVENTOR