

06-21-2002

Docket No. 271/238

To the Honorable Commissioner of Patents and Trademarks



102130733

al documents or copy thereof.

1. Name of conveying party(ies):  
Norman Rostoker  
Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

2. Name and address of receiving party:  
Name: The Regents of the University of California

Internal Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Street Address: 1111 Franklin Street, 12<sup>th</sup> Floor

City: Oakland State: California Zip: 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: May 29, 2002

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/077,661

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Kenneth S. Roberts

Internal Address: LYON & LYON LLP  
633 West Fifth Street, Suite 4700  
Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kenneth S. Roberts  
Kenneth S. Roberts, Reg No. 38,283

Date: June 4, 2002

Total number of pages including cover sheet: 3

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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR, Norman Rostoker, a citizen of the United States, residing at 10 Perkins Court, Irvine, California 92612, hereby sell, assign, transfer and set over unto ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin Street, Oakland, California 94607-5200, its successors, assigns and legal representatives, all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

CONTROLLED FUSION IN A FIELD REVERSED CONFIGURATION AND DIRECT  
ENERGY CONVERSION

and which is found in U.S. application Serial No.10/077,661, filed on February 14, 2002; and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a), reexamination, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at FOOTHILL RANCH,

CALIFORNIA, this 29 day of MAY, 2002.

Norman Rostoker  
Norman Rostoker