

06-21-2002



Docket No. 66855/JPW/GJG

FORM PTO-1595 (Substitute)  
(Rev. 8-96)

102130981

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks Please record the attached original documents or copy thereof.

1 Name of conveying party(ies):  
Eric A. Althoff and Virginia W. Cornish **6-18-02**  
Additional name(s) of conveying party(ies) attached?  
 Yes  No

2. Name and address of receiving party(ies):  
The Trustees of Columbia University  
Name: in the City of New York  
Internal Address: \_\_\_\_\_  
Street Address: West 116th Street and Broadway

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date(s): May 5, 2002 and May 8, 2002

City/State/Zip: New York, New York 10027  
Additional name(s) & address(es) attached?  Yes  No

4 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):  
A. Patent Application No.(s) U.S. Serial No.:  
10/132,039, filed April 24, 2002

B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: John P. White  
Internal Address: COOPER & DUNHAM LLP  
Street Address: 1185 Avenue of the Americas  
City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR §3.41): ..... \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
03-3125

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9 Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
John P. White June 12, 2002  
Name of Person Signing Signature Reg. No. 28,678 Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.  
John P. White 6/12/02  
Reg. No. 28,678 Date

Total Number of pages including cover sheet, attachments document: 3

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FINANCE SECTION

OMB No 0651-0011 (exp 4/94)

Mail documents to be recorded with required cover sheet information to  
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06/20/2002 LMUELLER 00000142 033125 10132039  
01 FC1381 40.00 CH

PATENT  
REEL: 012999 FRAME: 0057

# Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Eric A. Althoff, residing at 526 West 122nd Street, Apt. 5C,  
New York, NY 10027 and  
Virginia W. Cornish, residing at 21 Claremont Avenue, Apt. #33,  
New York, NY 10027

Hereby sell, assign and transfer to The Trustees of Columbia University in  
the City of New York

a corporation of the State of

New York having a place of business at West 116th Street & Broadway

in the County of New York and State of New York 10027

its successors, assigns and legal representatives, the entire right, title and interest  
for all countries, in and to any and all inventions which are disclosed and claimed,  
and any and all inventions which are disclosed but not claimed, in the application for  
United States Patent, which has been executed by the undersigned on May 5, 2002  
and is entitled and May 8, 2002.

BACTERIAL SMALL-MOLECULE THREE-HYBRID SYSTEM

(U.S. Serial No. 10/132,039, filed April 24, 2002)

and in and to said application and all divisional, continuing, substitute, renewal,  
reissue, and all other applications for U.S. Letters Patent or other related property  
rights in any and all foreign countries which have been or shall be filed on any of  
said inventions disclosed in said application; and in and to all original and reissued  
patents or related foreign documents which have been or shall be issued on said  
inventions;

Authorize and request the Commissioner of Patents of the United States to issue  
to said Assignee, the corporation above named, its successors, assigns and legal  
representatives, in accordance with this assignment, any and all United States  
Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: May 5 2002  
Witness: [Signature] [signature]  
STEPHAN KOPYTEK [printed name]  
507 WEST 113<sup>TH</sup> ST. #23 [address]  
NEW YORK NY, 10025

[Signature] [L.S.]  
Eric A. Althoff

Date: MAY 08 2002  
Witness: [Signature] [signature]  
STEPHAN KOPYTEK [printed name]  
507 WEST 113<sup>TH</sup> ST. #23 [address]  
NEW YORK, NY 10025

[Signature] [L.S.]  
Virginia W. Cornish