

6/10/02



To the Honorable Director of the USPTO: PI

102131539

or copy thereof

1. Name of conveying party(ies):

James M. Wilson
Karen Kozarsky
Jerome Strauss, III

Additional name(s) of conveying party(ies) attached?

yes no

2. Name and address of receiving party(ies)

Name: The Trustees of the University of Pennsylvania

Internal Address: _____

Street Address: 3700 Market Street

Suite 300

City: Philadelphia State: PA Zip: 19104-3147

Additional name(s) & address(es) attached? yes no

3. Nature of Conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Effective Date: October 9, 1997;
October 8, 1997; and
October 7, 1997

10/167204

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: Herewith

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached: yes no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mary E. Bak, Esquire

Howson and Howson

Internal Address: _____

Street Address: Spring House Corporate Center,

Box 457

City: Spring House State: PA Zip: 19477

6. Total number of applications and Patents involved:..... 1

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

08-3040

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mary E. Bak
Name of Person Signing

Mary E. Bak
Signature

June 10, 2002
Date

Total number of pages, including cover sheet, attachments, and document: 4

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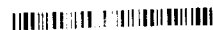
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PATENT TRADEMARK OFFICE



ASSIGNMENT

WHEREAS, James M. Wilson, Karen Kozarsky, and Jerome Strauss, III, hereinafter referred to as ASSIGNORS, have invented and are the owners of an invention entitled METHODS AND COMPOSITIONS FOR GENE THERAPY FOR THE TREATMENT OF DEFECTS IN LIPOPROTEIN METABOLISM said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and which has been filed on August 22, 1997 and given Application Number 08/894,489.

WHEREAS, The Trustees of the University of Pennsylvania, a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania and engaged in business at 3700 Market Street, Suite 300, Philadelphia, Pennsylvania 19104-3147 hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNORS by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS have sold, assigned and set over, and hereby do sell, assign and set over, unto ASSIGNEE, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in an to the aforesaid invention and in and to any application for Letters Patent which assignee at its expense may cause to be filed in the United States, under an international treaty, or in any foreign country, and in any United States or foreign patent which may be granted on said invention, including any extensions, divisions, reissues, continuations or continuations-in-part.

And ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNORS will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters Patent for said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns.

IN WITNESS WHEREOF, this assignment has been executed this

8th day of October 1997.

Date 10-9-97

James M. Wilson (SEAL)
James M. Wilson

Date 10/8/97

Karen Kozarsky (SEAL)
Karen Kozarsky

Date 10/7/97

Jerome Strauss, III (SEAL)
Jerome Strauss, III

NOTARIAL CERTIFICATE

State of Pennsylvania :
County of Philadelphia : ss.

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 8th day of October 1997, personally appeared James M. Wilson, to me known to be the person described in and who executed the foregoing instrument and who acknowledged that he executed the same as his free act and deed and desired the same to be recorded as such.

Juan J. Grana
Notary Public

NOTARIAL SEAL
JUAN J. GRANA, Notary Public
City of Philadelphia, Phila. County
My Commission Expires June 30, 1998

NOTARIAL CERTIFICATE

State of Pennsylvania :
County of Philadelphia : ss.

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 8th day of October 1997, personally appeared Karen Kozarsky, to me known to be the person described in and who executed the foregoing instrument and who acknowledged that she executed the same as her free act and deed and desired the same to be recorded as such.

Juan Grana
Notary Public

NOTARIAL SEAL
JUAN J. GRANA, Notary Public
City of Philadelphia, Phila. County
My Commission Expires June 30, 1998

NOTARIAL CERTIFICATE

State of Pennsylvania :
County of Philadelphia : ss.

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 8th day of October 1997, personally appeared Jerome Strauss, III to me known to be the person described in and who executed the foregoing instrument and who acknowledged that he executed the same as his free act and deed and desired the same to be recorded as such.

Juan Grana
Notary Public

NOTARIAL SEAL
JUAN J. GRANA, Notary Public
City of Philadelphia, Phila. County
My Commission Expires June 30, 1998