

06-20-2002



102128843

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks, 102128843 and original documents or copy thereof.

1. Name of conveying party(ies):

Robert K. Yang
Richard C. Fuisz
Gary L. Myers
Joseph M. Fuisz

6-11-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: KOSMOS PHARMA

Internal Address: _____

Street Address: 1142 Walker Road

City: Great Falls State: VA ZIP: 22066

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: April 4, 2002 and April 5, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/074,272

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr.

Internal Address: Hoffmann & Baron, LLP

Street Address: 6900 Jericho Turnpike

06/19/2002 DBYRME 00000083 10074272

01 FC:581

40.00 OP

City: Syosset

State: NY

ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

09-2461

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anna-Lisa Gallo

Name of Person Signing

Signature

June 4, 2002

Date

Total number of pages including cover sheet, attachments, and document:

7

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA

(Type or print name of ASSIGNEE)

1142 Walker Road

Address

Great Falls, VA 22066

USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETEROGENEITY AND
DRUG DELIVERY SYSTEMS MADE THEREFROM**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 10/074,272 filed on January 22, 2002
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date: X April 5, 2002
Date of signing

X Robert K. Yang
Robert K. Yang

Date: X April 4, 2002
Date of signing

X Richard C. Fuisz
Richard C. Fuisz

Date: X April 5, 2002
Date of signing

X Gary L. Myers
Gary L. Myers

Date: X April 4, 2002
Date of signing

X Jospeh M. Fuisz
Jospeh M. Fuisz

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

STATE OF *Virginia*
SS
COUNTY OF *Fauquier*

On this *4* day of *April, 2002*, before me personally appeared Joseph M. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Conrad James Suter
Notary Public

Comm Exp 10/31/02

STATE OF *Virginia*
SS
COUNTY OF *Fauquier*

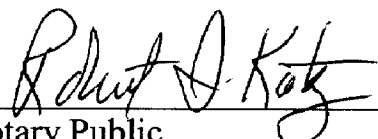
On this *4* day of *April 2002*, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Conrad James Suter
Notary Public
Comm Exp 10/31/02

STATE OF...NEW YORK.....

COUNTY OF...Queens.....

On this ...6th... day of ...MAY..., 2002, before me personally appeared Robert Yang,
to me personally known and known to me to be the person who signed the foregoing
Assignment and acknowledged the signing of same as her free act and deed.



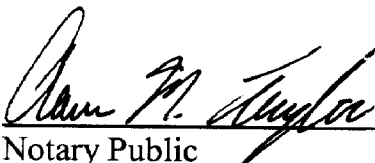
Notary Public

ROBERT I. KATZ
NOTARY PUBLIC, STATE OF NEW YORK
QUALIFIED IN NASSAU COUNTY
NO: 01KA6062165
CERTIFIED IN QUEENS
COMMISSION EXPIRES JULY 30 2005

STATE OF *Tennessee*
SS
COUNTY OF *Sullivan*

Yang

On this *9th* day of *April*, *2002*, before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.


Notary Public

STATE OF *Tennessee*
SS
COUNTY OF *Sullivan*

RCF

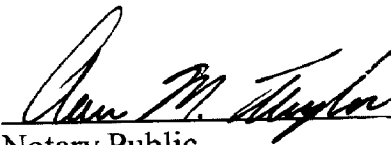
On this *5th* day of *April*, *2002*, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.


Notary Public

STATE OF *Tennessee*
SS
COUNTY OF *Sullivan*.

Myers

On this *5th* day of *April*, *2002*, before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.


Notary Public