

06-24-2002



Docket No. 5764580S00

HEET

102132556

To: The Honorable Commissioner of Patents and Trademarks
Please record the attached original document(s) or copy thereof.

U.S. P.
10/167091

06/11/02

1. Name of conveying party(ies)/(Execution Date)

Nelson D. Sewall June 4, 2002
Kenneth L. Smith June 4, 2002
David W. Meitz June 4, 2002
Madeleine B. Fleming June 4, 2002
John C. Nelson June 6, 2002

Name and address of receiving party(ies):

3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427

Additional names of conveying party(ies) attached?

☐ Yes ☒ No**3. Nature of Conveyance:**

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: ☐ If this is a request for correction of a previously recorded document, a copy of the previously recorded assignment and previous Notice of Recordation is enclosed.

Additional names of receiving party(ies) attached?

☐ Yes ☒ No**4. Application number or patent number:**

☒ This document is being filed with a new patent application on: June 11, 2002
☐ This document is to be recorded against the following patent application or patent: _____
☐ **Patent Cooperation Treaty (PCT):**
Enter PCT application number only if a U.S. Application Number has not been assigned: _____

Additional Numbers Attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning document should be mailed:**

Name: Carolyn A. Fischer
Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427

Phone Number: 651/575-3915

6. Total number of applications and patents involved:1**7. Total fee (37 CFR 3.41)****\$40.00**

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number: 13-3723**DO NOT USE THIS SPACE****9. Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Carolyn A. Fischer
Printed Name of Attorney/Agent
Registration No. 39,091

Signature

Carolyn A. Fischer

June 11, 2002
Date

Pages: Total number of pages including COVER SHEET, attachments and documents:**5**

Mail documents to be recorded with required cover sheet information to:
Commissioner for Patents, **Box Assignments**, Washington, DC 20231

(Form PTO-1595 - Modified from PTO's Rev 03/01)

q:\3m\pco forms and samples\assignment recordation cover sheet inventors to company - new.doc 4/26/01

06/21/2002 LMJELLER 00000211 133723 10167091

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PATENT
REEL: 013003 FRAME: 0323

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

Retroreflective Articles Having Moiré-like Pattern

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by 3M Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Gwen J. Ziehl

Witness

Carelyn A. Fischer

Witness

Nelson D. Sewall

Nelson D. Sewall

Residence: Forest Lake, Minnesota USA

Citizenship: United States of America

6-4-02

Date

Gwen J. Ziehl

Witness

Carelyn A. Fischer

Witness

Kenneth L. Smith

Kenneth L. Smith

Residence: White Bear Lake, Minnesota USA

Citizenship: United States of America

6-4-02

Date

Gwen J. Ziehl

Witness

Carelyn A. Fischer

Witness

David W. Meitz

David W. Meitz

Residence: St. Paul, Minnesota USA

Citizenship: United States of America

6/4/02

Date

Gwen J. Ziehl Madeleine B. Fleming 6-4-02
Witness Madeleine B. Fleming Date
Carolyn C. Fischer
Witness Residence: Lake Elmo, Minnesota USA
Citizenship: United States of America

Witness

Witness
John C. Nelson _____
Residence: The Sea Ranch, California USA Date
Citizenship: United States of America

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

Retroreflective Articles Having Moiré-like Pattern

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by 3M Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Witness

Nelson D. Sewall Date
Residence: Forest Lake, Minnesota USA
Citizenship: United States of America

Witness

Witness

Kenneth L. Smith Date
Residence: White Bear Lake, Minnesota USA
Citizenship: United States of America

Witness

Witness

David W. Meitz Date
Residence: St. Paul, Minnesota USA
Citizenship: United States of America

Witness

Witness

Witness

Jay W. Jacobs

Witness

Judith Hawkins

Witness

Madeleine B. Fleming Date

Residence: Lake Elmo, Minnesota USA

Citizenship: United States of America

John C. Nelson

John C. Nelson Date

Residence: The Sea Ranch, California USA

Citizenship: United States of America