Form PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp.



## 06-24-2002

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

To the Honorable Commissioner of Patents and $1021$	ed original documents or copy thereof.
1. Name of conveying party(ies):	2. Name and Address of receiving party(ies)
Mihaela Dinu, Hernando Garcia, Daniel Kilper, Wayne H. Knox, Howard R. Stuart, Chunhui Xu	Name: Lucent Technologies Inc.
6.14.02	Internal Address:
Additional name(s) of conveying party(ies) attached?	
[ ] Yes [X] No	
[ ] 100 [11] 110	
3. Nature of conveyance:	
[X] Assignment [] Merger	Street Address: 600 Mountain Avenue
[ ] Security Agreement [ ] Change of Name	P.O. Box 636
( ) booking ingrounding ( ) change of home	City: Murray Hill State:NJ ZIP:07974-0636
[ ] Other	City: Muliay Mill State:NU 21P:07974-0036
4/09/02, 4/10/02, 4/09/02, 4/10/02,	Additional name(s) & address(es)attached?
Execution Date: 4/09/02, 4/09/02.	[] Yes [X] No
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application is:	w application, the execution date of the
A. Patent Application No.(s)	B. Patent No.(s)
10/109,623	
Additional numbers attach	ned? [] Yes [X] No
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>	6. Total number of applications and patents involved:[1]
Name: Steve Mendelsohn	7. Total Fee (37 CFR 3.41)\$40.00
Internal Address: MENDELSOHN & ASSOCIATES, P.C.	[X] Enclosed
1110011111	[ ] Authorized to be charged to deposit
9/2002 NBERHE 00000033 10109623	account.
40.00 BP	
Street Address: 1515 Market Street	8. Deposit account number:
Suite 715	(attach duplicate copy of this page if
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9. Statement and signature. To the best of my knowledge and belief, the foregattached copy is a true copy of the original document	going information is true and correct and any
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Yuri Gruzdkov	Date
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990.0398 Commissioner of Fatering Dinu 2-1-1-49-6-6 Washington,	D.C. 20231

Customer No.: 22186 Attorney Docket No.: Dinu 2-1-1-49-6-6

## ASSIGNMENT AND AGREEMENT

For value received, I, as a below-named inventor:

Mihaela Dinu of Freehold in the County of Monmouth and State of New Jersey, Hernando Garcia of Freehold in the County of Monmouth and State of New Jersey, Daniel Kilper of Fair Haven in the County of Monmouth and State of New Jersey, Wayne H. Knox of Pittsford in the County of Monroe and State of New York, Howard R. Stuart of Red Bank in the County of Monmouth and State of New Jersey, Chunhui Xu of Piscataway in the County of Middlesex and State of New Jersey,

hereby sell, assign, and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns, and legal representatives, the entire right, title, and interest, for the United States of America, in and to certain inventions related to **Performance Monitoring in an Optical Communication System** described in an application for Letters Patent of the United States, identified using the same Attorney Docket No., and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign, and transfer to Lucent Technologies Inc. the entire right, title, and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protection.

990.0398

PATENT REEL: 013003 FRAME: 0517

Date: 4/9/2002

United States of America

executed the foregoing instrument, and acknowledged execution of the same.

**Notary Public** 

SHARON L. LOBOSCO Notary Public, State of New Jersey No. 2278076 Qualified in Ocean County Commisssion Expires August 14, 2006

Sharon F. Lohoseo

Date: 04-10-02

United States of America

State of New Jersey ) ss.:

County of Manmowth)

On this 10th day of APRIL, 2002 before me personally came Hernando Garcia, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sharon L. Lokosco

SHARON L. LOBOSCO Notary Public, State of New Jersey No. 2278076 Qualified in Ocean County Commission Expires August 14, 2006

United States of America

State of New Jersey

County of Monmouth)

On this  $9^{\frac{th}{D}}$  day of APRIL, 2002, before me personally came **Daniel Kilper**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sharon L. Lokosco

SHARON L. LOBOSCO Notary Public, State of New Jersey No. 2278076 Qualified in Ocean County Commisssion Expires August 14, ... 106 Wayne H. K

Date: M. 10,7002

United States of America

State of New York ) ss.:

County of Monroe

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2002, before me personally came *Wayne H. Knox*, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

LOUISE C. VANNI Notary Public, State of New York No. 4717424

County of Wayne

Commission Expires April 30, 2007

United States of America

State of New Jersey ) ss.:

County of Monmou+h )

On this q + b day of APRIL , 2000, before me personally came **Howard R. Stuart**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

**Notary Public** 

SHARON L. LOBOSCO Notary Public, State of New Jersey No. 2278076 Qualified in Ocean County Commisssion Expires August 14, 2006

Sharon L. Lokosco

Date: Apr. 9, 2002

United States of America

State of New Jersey

County of Monmouth)

On this 9th day of APRIL, 2002, before me personally came Chunhui Xu, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

> Sharon L. Lokouce Notary Public

> > SHARON L. LOBOSCO Notary Public, State of New Jersey No. 2278076 Qualified in Ocean County Commissaion Expires August 14. ... 36

Lucent Technologies Inc. 600 Mountain Avenue (Room 3C-512) P. O. Box 636 Murray Hill, New Jersey 07974-0636

RECORDED: 06/14/2002

990.0398

**PATENT** REEL: 013003 FRAME: 0523