

06-24-2002



Docket No. 57625US002

ET

102132112

**To: The Honorable Commissioner of Patents and Trademarks**  
**Please record the attached original document(s) or copy thereof.**

**1. Name of conveying party(ies)/(Execution Date)**

Terrance P. Smith May 30, 2002  
 Larry D. Boardman May 21, 2002  
 Timothy D. Dunbar May 21, 2002  
 Mark J. Pellerite May 30, 2002  
 Tommie W. Kelley May 30, 2002  
 Dawn V. Muyres May 29, 2002  
 Dennis E. Vogel May 22, 2002  
 Kim M. Vogel May 22, 2002

Additional names of conveying party(ies) attached?

☐ Yes ☒ No**Name and address of receiving party(ies):**

**3M Innovative Properties Company**  
**P.O. Box 33427**  
**St. Paul, Minnesota 55133-3427**

Additional names of receiving party(ies) attached?

☐ Yes ☒ No**3. Nature of Conveyance:**

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: ☐ If this is a request for correction of a  
 previously recorded document, a copy of the previously recorded assignment and  
 previous Notice of Recordation is enclosed.

**4. Application number or patent number:**

☐ This document is being filed with a new patent application on: \_\_\_\_\_  
☒ This document is to be recorded against the following patent application or patent: 10/094,007 Filed on March 7, 2002

**Patent Cooperation Treaty (PCT):**Enter PCT application number only if a U.S. Application Number has not been assigned: \_\_\_\_\_Additional Numbers Attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning document should be mailed:**

Name: Lucy C. Weiss  
 Office of Intellectual Property Counsel  
 3M Innovative Properties Company  
 P.O. Box 33427  
 St. Paul, Minnesota 55133-3427

Phone Number: 651-733-1189

**6. Total number of applications and patents involved:****1****7. Total fee (37 CFR 3.41)****\$40.00**☐ Enclosed☒ Authorized to be charged to deposit account**8. Deposit Account Number: 13-3723****DO NOT USE THIS SPACE****9. Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Lucy C. Weiss

Printed Name of Attorney/Agent

Registration No. 32,834

Signature

Date

**Pages:** Total number of pages including COVER SHEET, attachments and documents:

Mail documents to be recorded with required cover sheet information to:  
 Commissioner for Patents, Box Assignments, Washington, DC 20231

(Form PTO-1595 - Modified from PTO's Rev 03/01)  
 q:\3mipco forms and samples\assignment recordation cover sheet - investors to company - new.doc 4/26/01

06/24/2002 6TON11 00000007 133723 10094007  
 01 FC:581 40.00 CH

**PATENT**  
**REEL: 013004 FRAME: 0022**

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

**SURFACE MODIFIED ORGANIC THIN FILM TRANSISTORS**

and have executed an application for Letters Patent of the United States of America based thereon; which application is identified in the United States Patent and Trademark Office as U.S. Application Serial No. 10/094,007, filed March 7, 2002;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by 3M Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Terrance P. Smith  
Terrance P. Smith

Residence: Woodbury, Minnesota U.S.A.

Citizenship: United States of America

STATE OF MINNESOTA )

) ss.

COUNTY OF RAMSEY )

On this 30th day of May, 2002, before me personally appeared the above-named Terrance P. Smith, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Lydia M. Cahill  
Notary Public



*L. D. Boardman*

Larry D. Boardman

Residence: Woodbury, Minnesota U.S.A.

Citizenship: United States of America

STATE OF MINNESOTA )  
 ) ss.  
COUNTY OF RAMSEY )

On this 21<sup>st</sup> day of May, 2002, before me personally appeared the above-named Larry D. Boardman, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



*Carrie M. Arcand*

Notary Public

*Timothy D. Dunbar*

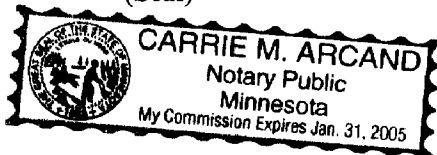
Timothy D. Dunbar

Residence: Woodbury, Minnesota U.S.A.

Citizenship: United States of America

STATE OF MINNESOTA )  
 ) ss.  
COUNTY OF RAMSEY )

On this 21<sup>st</sup> day of May, 2002, before me personally appeared the above-named Timothy D. Dunbar, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



*Carrie M. Arcand*

Notary Public

Mark J. Pellerite

Mark J. Pellerite

Residence: Woodbury, Minnesota U.S.A.

Citizenship: United States of America

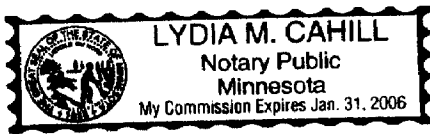
STATE OF MINNESOTA )

) ss.

COUNTY OF RAMSEY )

On this 30<sup>th</sup> day of May, 2002, before me personally appeared the above-named Mark J. Pellerite, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)



Lydia M. Cahill

Notary Public

Tommie W. Kelley

Tommie W. Kelley

Residence: Coon Rapids, Minnesota U.S.A.

Citizenship: United States of America

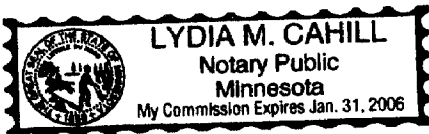
STATE OF MINNESOTA )

) ss.

COUNTY OF RAMSEY )

On this 30<sup>th</sup> day of May, 2002, before me personally appeared the above-named Tommie W. Kelley, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)



Lydia M. Cahill

Notary Public

Dawn V. Muyres  
 Dawn V. Muyres  
 Residence: St. Paul, Minnesota U.S.A.  
 Citizenship: United States of America

STATE OF MINNESOTA )  
 ) ss.  
 COUNTY OF RAMSEY )

On this 29<sup>th</sup> day of May, 2002, before me personally appeared the above-named Dawn V. Muyres, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

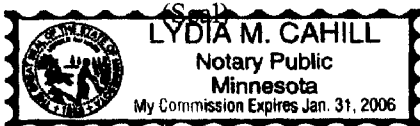


Lydia M. Cahill  
 Notary Public

Dennis E. Vogel  
 Dennis E. Vogel  
 Residence: Lake Elmo, Minnesota U.S.A.  
 Citizenship: United States of America

STATE OF MINNESOTA )  
 ) ss.  
 COUNTY OF RAMSEY )

On this 22<sup>nd</sup> day of May, 2002, before me personally appeared the above-named Dennis E. Vogel, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Lydia M. Cahill  
 Notary Public

Kim M. Vogel  
 Kim M. Vogel  
 Residence: Lake Elmo, Minnesota U.S.A.  
 Citizenship: United States of America

STATE OF MINNESOTA )  
 ) ss.  
 COUNTY OF RAMSEY )

On this 22<sup>nd</sup> day of May, 2002, before me personally appeared the above-named Kim M. Vogel, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Lydia M. Cahill  
 Notary Public