

06-24-2002

U.S. Department of Commerce
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PATENT



102133005

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☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached
Execution Date
Month Day Year

Name (line 1) Michael D. Fitzpatrick

04/23/2002

Name (line 2)

Second Party

Name (line 1) John P. Montague

Execution Date
Month Day Year

05/20/2002

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Solar Turbines Incorporated

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 2200 Pacific Highway

Address (line 2)

Address (line 3) San Diego

California

92186-5376

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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06/25/2002 LMUELLER 00000076 031129 10166992

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PATENT
REEL: 013004 FRAME: 0661

Correspondent Name and Address

Area Code and Telephone Number (309) 675-4922

Name Larry G. Cain

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
04/23/2002

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-1129

Docket No. 00-685S

Authorization to charge additional fees:

Yes

☒

No

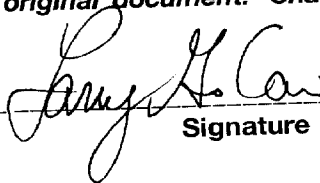
☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Larry G. Cain

Name of Person Signing



Signature

06/11/2002

Date

ASSIGNMENT

WHEREAS, Michael D. Fitzpatrick and John P. Montague, residing respectively at San Diego, California and San Marcos, California, hereinafter referred to as ASSIGNORS, have invented a new and useful

PRIMARY SURFACE RECUPERATOR SHEET

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on April 23, 2002 and May 20, 2002; and

WHEREAS, Solar Turbines Incorporated, a Delaware corporation having a place of business at San Diego, California, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

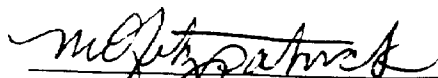
1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Solar Turbines Incorporated

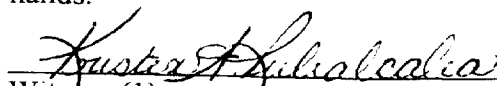
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.


MICHAEL D. FITZPATRICK

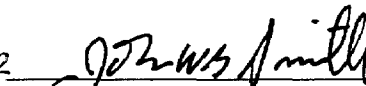
4-23-02
Date

IN WITNESS OF the execution hereof by MICHAEL D. FITZPATRICK we have hereunto set our hands.

 4/23/02
Witness (1) Date

Address: 9330 SKY PARK CT.

SAN DIEGO, CA 92123

 4-23-02
Witness (2) Date

Address: 9330 SKY PARK CT.

SAN DIEGO, CA, 92123


JOHN P. MONTAGUE

5/26/02
Date

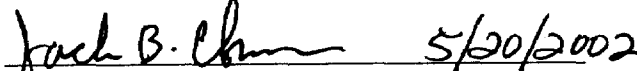
IN WITNESS OF the execution hereof by JOHN P. MONTAGUE we have hereunto set our hands.

 5/29/02
Witness (1) Date

Address: 606 9147

CAYOLLA CA.

92038

 5/20/2002
Witness (2) Date

Address: 11211 ESTIVAL PL.

LAKEVIEW, CA.

92040