

06-20-2002

DEPARTMENT OF COMMERCE

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102129183

Patent and Trademark Office  
Docket No. 246152004212

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Urogenesys, Inc.

- ☐ Individual(s)      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☒ Corporation-State      ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment      ☐ Merger  
☐ Security Agreement      ☒ Change of Name  
☐ Other:

Execution Date: 13 June 2001; 13 June 2001

2. Name and address of receiving party(ies):

Name: Agensys, Inc.  
Internal Address:  
Street Address: 1545 17th Street  
City: Santa Monica State: CA Zip: 90404

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): See Attached Appendix A

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? \*☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kate H. Murashige  
Morrison & Foerster LLP  
3811 Valley Centre Drive  
Suite 500  
San Diego, California 92130-2332

6. Total number of applications and patents involved: 34

7. Total fee (37 C.F.R. § 3.41): \$1360.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account, referencing  
Attorney Docket 511582800100

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Kate H. Murashige  
Registration No: 29,959

Signature

May 31, 2002  
Date

Total number of pages comprising cover sheet, attachments and document: 6

Mail documents to be recorded with required cover sheet information to:

06/19/2002 070011 00000164 031952 09771312  
01 FC:501 1360.00 CH

U.S. Patent and Trademark Office  
Office of Public Records  
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Crystal Gateway 4, Room 335  
Washington, D.C. 20231

sd-95003

**PATENT**  
**REEL: 013007 FRAME: 0807**

# Appendix A

<b>Docket No.</b>	<b>Serial No.</b>	<b>Filing Date</b>
20001.00	09/771,312	01/26/2001
20002.00	09/793,451	02/26/2001
20004.00	09/779,308	02/08/2001
20005.00	09/780,053	02/09/2001
20006.00	09/834,765	04/12/2001
20007.00	09/866,359	05/24/2001
20008.00	09/323,597	06/01/1999
20008.20	09/615,285	07/12/2000
20011.00	09/547,789	04/12/2000
20013.00	09/547,788	04/12/2000
20016.01	10/010,667	12/06/2001
20016.20	09/455,486	12/06/1999
20020.00	09/638,203	08/11/2000
20022.00	09/702,114	10/30/2000
20023.00	09/698,781	10/27/2000
20025.00	10/024,652	12/17/2001
20026.00	09/409,938	09/30/1999
20027.00	09/389,000	08/31/1999
20029.00	09/932,414	08/17/2001
20030.00	09/806,352	10/2/1999
20031.00	09/410,132	09/30/1999
20032.00	09/410,901	10/02/1999
20034.00	09/799,250	03/05/2001
30001.00	60/178,560	01/26/2000
30004.00	60/181,020	02/08/2000
30005.00	60/181,261	02/09/2000
30006.00	60/196,647	04/12/2000
30007.00	60/207,138	05/24/2000
30012.00	60/211,454	06/13/2000
30024.20	60/291,118	05/15/2001
30025.00	60/256,210	12/15/2000
30028.00	60/228,432	08/28/2000
30038.01	60/286,630	04/25/2001
30042.00	60/291,118	05/15/2001

**SECRETARY OF STATE**

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the attached transcript of 3 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

*IN WITNESS WHEREOF*, I execute this certificate and affix the Great Seal of the State of California this day of

JUN 23 2001



Secretary of State

1372

40000001

**CERTIFICATE OF AMENDMENT**  
**OF**  
**AMENDED AND RESTATED**  
**ARTICLES OF INCORPORATION**  
**OF**  
**UROGENESYS, INC.**

**ENDORSED - FILED**  
in the office of the Secretary of State  
of the State of California

**JUN 14 2001**

**BILL JONES, Secretary of State**

The undersigned certify that:

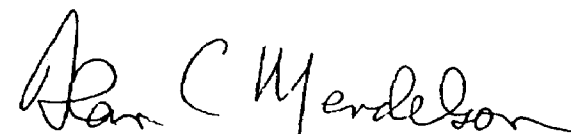
1. They are the President and the Secretary, respectively, of UroGenesys, Inc., a California corporation.
2. Article One of the Amended and Restated Articles of Incorporation of this corporation is amended and restated in its entirety to read as follows:  
  
"The name of the Corporation is Agensys, Inc. (the "Corporation")."
3. The Board of Directors has duly approved the foregoing amendment of Amended and Restated Articles of Incorporation.
4. The foregoing amendment of Amended and Restated Articles of Incorporation has been duly approved by the required vote of shareholders in accordance with Section 902 of the California Corporations Code. The total number of outstanding shares of the

corporation is 4,718,513 shares of Common Stock, 4,030,000 shares of Series A Preferred Stock and 4,520,000 shares of Series B Preferred Stock. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote required more than fifty percent (50%) of the outstanding shares of the Corporation.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: June 13<sup>th</sup>, 2001

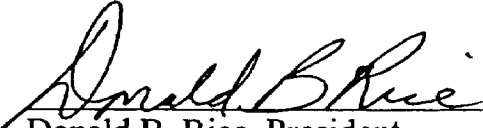
\_\_\_\_\_  
Donald B. Rice, President

  
\_\_\_\_\_  
Alan C. Mendelson, Secretary

corporation is 4,718,513 shares of Common Stock, 4,030,000 shares of Series A Preferred Stock and 4,520,000 shares of Series B Preferred Stock. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote required more than fifty percent (50%) of the outstanding shares of the Corporation.

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Date: June 13<sup>th</sup>, 2001

  
Donald B. Rice, President

\_\_\_\_\_  
Alan C. Mendelson, Secretary

