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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney's Docket No. 033275-002

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ALSTOM (Switzerland) Ltd

6-21-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Other: \_\_\_\_\_

Execution Date: May 28, 2002

2. Name and address of receiving party(ies):

Name: ALSTOM

Address: 25, avenue Kléber

F-75116 Paris

France

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

See Appendix A

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert S. Swecker

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 13

7. Total fee (37 CFR § 3.41): \$ 5 00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert S. Swecker (Reg. No. 19,885)  
Name of Person Signing

Signature

June 21, 2002  
Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

06/26/2002 T01A21 00000040 4932861

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## **APPENDIX A**

1. U.S. Pat. No. 4,932,861 (our ref 87/120 US)
2. U.S. Pat. No. 5,193,995 (our ref 87/120 US2)
3. U.S. Pat. No. 6,126,439 (our ref 96/126 US)
4. U.S. Pat. No. 6,098,009 (our ref 96/160 US)
5. U.S. Pat. No. 6,050,477 (our ref 97/030 US)
6. U.S. Pat. No. 6,240,718 B1 (our ref 97/047 US)
7. U.S. Pat. No. 6,056,508 (our ref 97/080 US)
8. U.S. Pat. No. 6,112,544 (our ref 97/087 US)
9. U.S. Pat. No. 6,102,692 (our ref 97/105 US)
10. U.S. Pat. No. 6,276,140 B1 (our ref 97/114 US)
11. U.S. Pat. No. 6,045,351 (our ref 97/231 US)
12. U.S. Pat. No. 6,093,902 (our ref 97/236 US)
13. U.S. Pat. No. 6,059,656 (our ref 97/166 US)

# **ASSIGNMENT**

THIS ASSIGNMENT is effective as of the first day of November, 2000.

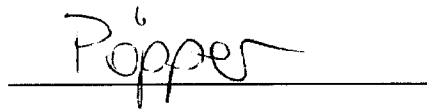
WHEREAS, ALSTOM (Switzerland) Ltd (formerly: ABB ALSTOM Power (Schweiz) AG), a Swiss corporation, having its principal place of business at Brown Boveri Strasse 7, CH-5401 Baden, Switzerland, is the record owner of United States Letters Patents and U.S. Patent Applications listed in Appendix A attached; and

WHEREAS, certain assets including U.S. Letters Patents and U.S. Patent Applications in Appendix A were duly assigned and transferred by the said ALSTOM (Switzerland) Ltd to ALSTOM, a French limited liability company, having its principal place of business at 25, avenue Kléber, F-75116 Paris, France; and

WHEREAS, the parties desire to record this instrument in the United States Patent and Trademark Office attesting to the assignment of said Letters Patents and said Patent Applications;

NOW, THEREFORE, for good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, ALSTOM (Switzerland) Ltd acknowledges that it has sold, assigned and transferred, nunc pro tunc as of November 1, 2000, to ALSTOM the entire right, title and interest in and to the said Letters Patents and the said Patent Applications, including any reissues, reexamined patents, continuations and divisions of said Letters Patents and said Patent Applications, said Letters Patents and said Patent

Applications to be held and enjoyed by ALSTOM for its own use and for the use of its legal representatives, successors and assigns, to the full end of the term for which the said Letters Patents and the said Patent Applications are granted, as fully and entirely as the same would have been held by ALSTOM (Switzerland) Ltd had this assignment not been made, together with all claims for past infringement of said Letters Patents and of said Patent Applications and with the right to sue for and to collect damages for such infringement.



Date: May 28, 2002

Name: Evamaria Pöpper

Title: Authorized Representative

Company: ALSTOM (Switzerland) Ltd  
(formerly: ABB ALSTOM Power (Schweiz)  
AG)



Date: May 28, 2002

Name: Rainer Liebe

Title: Authorized Representative

Company: ALSTOM (Switzerland) Ltd  
(formerly: ABB ALSTOM Power (Schweiz)  
AG)