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U.S. DEPARTMENT OF COMMERCE
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Atty Docket No.: BERK-018

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

STEPHENS, RICHARD S.
KAWA, DIANE

6.12.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin Street 12th FloorCity: Oakland State: CA Zip: 94607-5200☐ Other

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: April 4, 2002 and April 4, 2002.Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/094,407, March 7, 2002

B. Patent Registration No.(s)

Additional number(s) attached ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bozicevic, Field & Francis LLPInternal Address: 200 Middlefield Road, Suite 200City: Menlo Park State: California Zip: 940256. Total number of applications and patents involved 17. Total fee (37 CFR 3.41)..... \$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0815

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carol L. Francis, Reg. No. 36,513

04/12/2002

Name of Person Signing

Signature

Date

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Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013013 FRAME: 0288

**ASSIGNMENT OF APPLICATION
(JOINT)**

Address to:

Box Assignment

Commissioner for Patents

Washington, D.C. 20231

Attorney Docket Number

BERK-018

First Named Inventor

STEPHENS, RICHARD S.

Application Number

10/094,407

Filing Date

March 7, 2002

Group Art Unit

Unassigned

Examiner Name

Unassigned

Title: "PORIN B (PorB) AS A THERAPEUTIC TARGET FOR
PREVENTION AND TREATMENT OF INFECTION BY
CHLAMYDIA"

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Richard S. Stephens

2. Diane Kawa

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"PORIN B (PorB) AS A THERAPEUTIC TARGET FOR PREVENTION AND TREATMENT OF
INFECTION BY CHLAMYDIA"

X for which an application for a United States Patent was filed on March 7, 2002, Application No. 10/094,407,

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

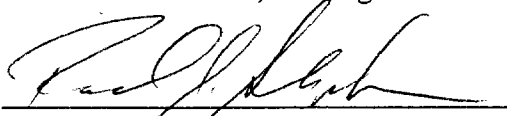
ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Date

4-4-02

Name of Inventor


STEPHENS, RICHARD S.

Date

Name of Inventor

KAWA, DIANE

**ASSIGNMENT OF APPLICATION
(JOINT)**

Address to:

Box Assignment

Commissioner for Patents
Washington, D.C. 20231

Attorney Docket Number BERK-018

First Named Inventor STEPHENS, RICHARD S.

Application Number 10/094,407

Filing Date March 7, 2002

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INFECTION BY CHLAMYDIA"

X for which an application for a United States Patent was filed on March 7, 2002, Application No. 10/094,407,

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Date _____

Name of Inventor _____
STEPHENS, RICHARD S.

Date 4/4/02

Name of Inventor Diane Kawa
KAWA, DIANE