

06-27-2002

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To the Honorable Asst. Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies):

Nareak Douk, Nasser Rafiee, Peter G. Strickler, Matt S. Poole and
Ellen M. Jennings

Additional name(s) of conveying parties attached?

☐ Yes ☐ No

3. Nature of Conveyance:

☒ Assignment☐ Security Agreement☐ Other:

Execution Date: June 3, 2002

☐ Merger☐ Change of Name

2. Name and address of receiving party (ies):

Name: MEDTRONIC AVE, INC.

Internal Address:

Street Address: 3576 Unocal Place

City: Santa Rosa State: CA ZIP: 95403

Additional names and addresses attached?

☐ Yes ☐ No

4. Application Number(s) or Patent Number(s):

U.S. Application Serial No: 10/099,399

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? ☐ Yes ☐ No

5. Names and address of party to whom correspondence concerning document should be mailed:

Name: IP Legal

Medtronic AVE, Inc.

3576 Unocal Place

Santa Rosa, CA. 95403

(707) 541-3155

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 01-2525

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Catherine C. Maresh

Name of Person Signing

Signature

Date

Att Reg. No 35,268

Total number of pages including cover sheet, attachments and document: 6

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box; Assignments
Washington, D.C. 20231

06/26/2002 GTOW11 00000236 012525 10099399

01 FC:581

40.00 CH

PATENT
REEL: 013018 FRAME: 0921

ASSIGNMENT

WHEREAS, Nareak Douk, Nasser Rafiee, Peter G. Strickler, Matt S. Poole and Ellen M. Jennings are the inventors of "Guide Wire Apparatus for Temporary Distal Embolic Protection" filed on March 15, 2002, U.S. Application, Serial No. 10/099,399 executed by the undersigned on the dates written below; and

WHEREAS, MEDTRONIC AVE, INC., a Delaware corporation having a place of business at 3576 Unocal Place, Santa Rosa, California 95403 hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest in and to said invention for the United States and for all foreign countries and in and to any and all foreign and domestic Letters Patent which may be granted therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor including my rights under the International Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Letters Patent that may be granted, the same to be held and enjoyed by ASSIGNEE for its own use and behoof and use and behoof of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made;

AND, for the consideration aforesaid, I materially represent to ASSIGNEE, its successors and assigns, that at the time of the execution and delivery of these presents, I am the sole lawful owners of the entire right, title and interest in and to the invention, application and Letters Patent above mentioned, and that the same are unencumbered, and that I have good right and lawful authority to sell and convey the same in the manner herein set forth;

AND, for the consideration aforesaid, I hereby individually covenant and agree to and with ASSIGNEE, its successors and assigns, that whenever its counsel or the counsel of its successors or assigns, learned in the law, shall advise that an amendment or division of, or continuation or any continuation-in-part thereof, or any other proceeding in connection with the filing or prosecution of said domestic or foreign patent applications, including interference proceedings, is lawful and desirable, or that a reissue or extension of said Letters Patent is lawful and desirable, I, or my executors, administrators or assigns will sign all papers and drawings, take all rightful oaths, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or extension of the same, without charge to ASSIGNEE, its successors or assigns, but at ASSIGNEE'S expense.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 03 day of June, 2002.


Nareak Douk

STATE OF Massachusetts

SS.

COUNTY OF

Essex

On this 3rd day of June, 2002, before me personally appeared Nareak Douk to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

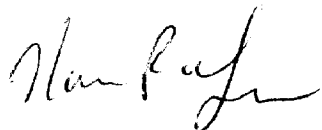
IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Notary Public

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 04 day of June, 2002.



Nasser Rafiee

STATE OF MA

SS.

COUNTY OF LISA

On this 4th day of June, 2002, before me personally appeared Nasser Rafiee to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

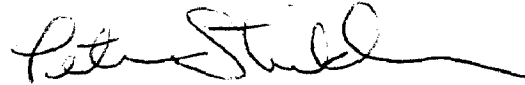
IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Notary Public

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 3rd day of JUNE, 2002.



Peter G. Strickler

STATE OF Massachusetts

ss.

COUNTY OF Essex

On this 3rd day of June, 2002, before me personally appeared Peter G. Strickler to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Notary Public

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 3rd day of June, 2002.


Matt S. Poole


STATE OF Massachusetts

SS.

COUNTY OF Essex


On this 3rd day of June, 2002, before me personally appeared Matt S. Poole to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.


Notary Public

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 3 day of June, 2002.


Ellen M. Jennings

STATE OF Massachusetts

SS.

COUNTY OF Essex

On this 3rd day of June, 2002, before me personally appeared Ellen M. Jennings to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.


Notary Public