

06-27-2002

FORM PTO

FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE  
1-31-92 Patent and Trademark Office

To the Honorable Co.

102136927

ie attached original documents or copy thereof

1. Name of conveying party(ies):

Lori H. Szeles  
Xiaoyan Liu  
Malcolm Williams  
James G. Masters

2. Name and address of receiving party (ies):

Name: Colgate-Palmolive Company  
Internal:  
Address:

Street: 300 Park Avenue

City: New York State: New York Zip 10022

Additional name(s) address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 5/10/02; 5/21/02; 5/10/02; 5/10/02

4. Application number (s) or patent number (s): Patent No. 10/143,395, Attorney Docket Number IR 6768-00

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: COLGATE-PALMOLIVE COMPANY

Internal Address: PATENT DEPARTMENT

Street Address: 909 RIVER ROAD  
P.O. BOX 1343

City: PISCATAWAY State: NJ Zip: 08855-1343

6. Total Number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account 03-2455

8. Deposit account number: 03-2455

(If any additional fee is required, please charge to our Deposit Account No. 03-2455)

06/26/2002 6TOW11 00000170 032455 10143395

01 FC 581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul Shapiro, Reg. No. 22,322

Attorney Docket No.: IR 6768-00

Signature

Date

Total number of pages including cover sheet: ( 4 )

## CERTIFICATE OF MAILING

I hereby certify that the paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Post Office to the Addressee" service under 37 CFR 1.10 addressed to the BOX ASSIGNMENTS, Commissioner for Patents, The U.S. Patent and Trademark Office, Washington, D.C. 20231 Via First-class mail on June 11, 2002.

Date:

6/11/02

Paul Shapiro

(Signature of person mailing paper)

PATENT  
REEL: 013020 FRAME: 0769

**ASSIGNMENT**

WHEREAS, we, Lori H. Szeles, Xiaoyan Liu, Malcolm Williams, James G. Masters (below referred to as ASSIGNORS, residing, respectively, as set forth hereinafter, have made an invention for which application for Letters Patent of the United States was filed in the United States Patent and Trademark Office as Application Serial No. USSN 10/143,395 filed May 10, 2002 naming the ASSIGNORS as an inventor, and entitled Antibacterial Dentifrice Exhibiting Antiplaque and Breath Freshening Properties; and

WHEREAS, Colgate-Palmolive Company, a corporation duly organized and existing under the laws of the State of Delaware, United States of America and having its principal office and place of business at 300 Park Avenue, New York, New York 10022, and offices and place of business at 909 River Road, Piscataway, New Jersey 08855 (below referred to as "ASSIGNEE"), is desirous of obtaining the entire right, title and interest in and to the aforesaid invention and patent application and corresponding patent rights worldwide;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS, by these presents do sell, assign and transfer to ASSIGNEE, the full and exclusive right, title and interest in and to the aforesaid invention, the aforesaid U.S. and all corresponding foreign patent applications, all divisional, continuation, continuation-in-part, reissue and reexamination applications of the aforesaid U.S. application, and all Letters Patent or comparable rights issuing thereon in the United States and in all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNORS hereby authorize and request the U.S. Commissioner of Patents and Trademarks and all foreign Patent Offices to issue all Letters Patent or comparable rights issuing on any application as aforesaid to ASSIGNEE, or to its successors, assigns or legal representatives;

ASSIGNORS hereby covenant that ASSIGNORS have full right to convey the entire interest herein assigned, and that ASSIGNORS have not executed, and will not execute, any agreement in conflict herewith;

ASSIGNORS agree to communicate to ASSIGNEE or to its successors, assigns or legal representatives any and all facts known to them or any of them respecting said invention, and without further remuneration to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and reexamination applications, make all rightful oaths and generally do all lawful acts to aid ASSIGNEE or its successors, assigns or legal representatives to obtain and enforce proper patent protection for said invention in all countries and to enhance or perfect ASSIGNEE's title in and to the invention and patent rights therein.

ASSIGNORS hereby authorize attorneys for ASSIGNEE to enter on this document any applicable serial numbers and filing dates after ASSIGNORS' execution of this document.

This Assignment is effective as of May 10, 2002.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day of

May

2002.

Lori H. Szeles  
Lori H. Szeles  
44 Watson Court  
Howell, N.J. 07731, U.S.A.

Address:

State of New Jersey  
County of Middlesex

On this 10 day of May 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2005

Maria V. Logatto  
NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of

May

2002.

Xiaoyan Liu  
Xiaoyan Liu  
~~320 Becker Street~~ 909 South Park Avenue  
Highland Park, N.J. ~~07442~~, U.S.A.

Address:

State of New Jersey  
County of Middlesex

On this 21 day of May 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2005

Maria V. Logatto  
NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day of

May

2002.

Malcolm Williams  
Malcolm Williams  
224 Hillside Avenue  
Piscataway, N.J. 08854, U.S.A.

Address:

State of New Jersey  
County of Middlesex

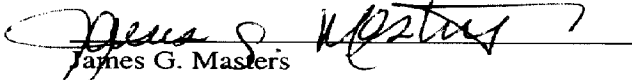
On this 10 day of May 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2005

Maria V. Logatto  
NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10<sup>th</sup> day of  
May 2002.

Address:

  
James G. Masters  
1 Stephen Court  
Ringoes, N.J. 08851, U.S.A.

State of New Jersey  
County of Middlesex

On this 10 day of May 2002, before me, a Notary Public in and  
for the State and County aforesaid, personally appeared the above signatory, known to me to be the  
person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
**Notary Public of New Jersey**  
**My Commission Expires 8/8/2005**

  
NOTARY PUBLIC