06-27-2002

Docket No.: NHL-NP-37 Serial No.: 10/057,311

IN THE

102137033

) TRADEMARK OFFICE

EXAMINER:

(NOT YET RECEIVED)

ART UNIT:

1614

SERIAL NO.:

10/057,311

FILING DATE:

January 24, 2002

INVENTORS:

Claas JUNGHANS, Burghardt WITTIG, Sven KÖNIG

MEREDIZ, and Matthias SCHROFF

TITLE:

COVALENTLY CLOSED NUCLEIC ACID MOLECULES FOR

IMMUNOSTIMULATION

Greensburg, Pennsylvania 15601

Assistant Commissioner for Patents Washington, D.C. 20231

June 18, 2002

BOX ASSIGNMENT

RECORDATION FORM COVER SHEET **PATENTS**

Sir:

Please record the attached original documents.

Name(s) of conveying party(ies) (Assignor(s)): Part 1:

1) Claas JUNGHANS 2) Burghardt WITTIG

2) Sven KÖNIG MEREDIZ 4) Matthias SCHROFF

Additional Names Attached () Yes (X) No

Name(s) and addresses(es) of receiving party(ies) Part 2:

(Assignee(s)):

MOLOGEN FORSCHUNGS - , ENTWICKLUNGS - UND VERTRIEBS GMBH

Fabeckstr. 30 D-14195 Berlin

Federal Republic of Germany

Part 3: Nature of conveyance:

(X) Assignment () Security Agreement () Merger () Change of Name

Execution Date of above:

1) May 17, 2002 2) May 15, 2002 4) May 14, 2002

3) May 7, 2002

Application or Patent Number(s): Part 4: If this document is being filed together with a new ()

application, the execution date of the application is: 1)__

Patent Application No.(s): 10/057,311(X)

Patent No.(s): ()

06/26/2002 LHUELLER 00000094 10057311

01 FC:581

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RECORDATION FORM COVER SHEET - PATENT PAGE 2

Part 5: Name and address of party to whom correspondence concerning this document should be mailed:

> Nils H. Ljungman, Esq. Nils H. Ljungman & Associates P.O. Box 130 Greensburg, PA 15601-0130

Total number of applications and patents involved: 1. Part 6:

Part 7: Method of Payment:

A check in the amount of \$40.00 is enclosed in accordance with 37 C.F.R. §3.41.

Statement and Signature Part 8: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

Nils H. Ljungman, Esq. Attorney for the Applicant Reg. No. 25,997

Nils H. Ljungman & Associates

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Total Number of Pages for Recordation Including Cover Sheet (4)

Übertragungserklärung (Deutsch) SOLE/JOINT

NHL File Number:

NHL-NP-37

U.S. Patent- und Warenzeichenamt

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<u>ASSIGNMENT</u>

In consideration of one dollar and other good and valuable consideration, receipt of which is hereby acknowledged, I (we), as assignor(s) [inventor(s)] (name(n) des erfinders oder der erfinder): Claas JUNGHANS, Burghardt WITTIG. Sven KONIG MEREDIZ, and Matthias SCHROFF of: (Stadt, land) [address(es) of assignor(s) (inventor(s))]: D-10589 Berlin, D-14197 Berlin, D-10787 Berlin, and D-14057 Berlin, respectively, all of Federal

Republic of Germany

hereby sell, assign and set over the entire right, title and interest for the United States to (namen des anmelders oder der anmelder(in)) [name of assignee (Company): MOLOGEN FORSCHUNGS-, ENTWICKLUNGS- UND VERTRIEBS

of: (Stadt, land) [address of assignee (company)]: Fabeckstr. 30, D-14195 Berlin, Federal Republic of Germany

as assignee(s) to the invention in (titel der erfindung) [title of invention]: COVALENTLY CLOSED NUCLEIC ACID MOLECULES FOR IMMUNOSTIMULATION

for which an application for United States Letters Patent has been executed by me (us) on the date(s) indicated below, and all original and reissued patents granted therefor, and all divisions and continuations thereof, including the subjectmatter of any and all claims which may be obtained in every such patent, and authorize and request the Commissioner of Patents and Trademarks of the United States, to issue the said letters patent to said assignee, said assignee's successors, assigns, nominees or other legal representatives, as assignee of the entire interest, and covenant that I (we) have not executed and will not execute any agreement in conflict herewith, and agree that I (we) will communicate to said assignee, said assignee's successors, assigns, nominees or other legal representatives, all facts known to me (us) respecting said invention, whenever requested, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and do all lawful acts requisite for the application for such divisional, continuing or reissue applications, or the procuring thereof, and that if and when said assignee, said assignee's successors, assigns, nominees or other legal representatives desire to file a disclaimer relating thereof, I (we) will, upon request, sign all lawful papers requisite for the filing of such disclaimer, and I (we) further covenant and agree that I (we) will, at any time upon request, do everything legally possible to aid said assignee, said assignee's successors, assigns, nominees or other legal

NHL:sim/vwt

NP-37 07sd/NP032sd

Übertragungserklärung (Deutsch) SOLE/JOINT

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representatives, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, the expenses incident to said applications or patents may become involved, the expenses incident to said applications to be borne and paid by said assignee, said assignee's successors, assigns, nominees or other legal representatives.

IN TESTIMONY WHEREOF, I (we) have hereunto set my (our) hand(s) and seal(s) on the date(s) (DATUM) indicated below.

1)Class JUNGHANS Printed Name(s) of Invento	2) Burghardt WITTIG 3) Sven KÖNIG MEREDIZ r(s) (NAMEN DER ERFINDER)
X (De) / S X	3) 9.
Signature(s) of Inventor(s) DER ERFINDER)	(EIGENHÄNDIGE UNTERSCHRIFT
TAG MONAT	2) 15. May 2007 3) 7. OS 2002 TAG MONAT TAG MONAT (DATUM)
Date(s) of Signature(s)	(DATOM)
4)Matthias SCHROFF Printed Name(s) of Invento	r(s) (NAMEN DER ERFINDER)
X Ha Hans SUH	•
Signature(s) of Inventor(s) DER ERFINDER)	(EIGENHÄNDIGE UNTERSCHRIFT
4)14. <u>CS</u> 20 <u>CS</u> TAG MONAT	(DAT(194)
Date(s) of Signature(s)	(DATUM)

NHL:slm/vwt

RECORDED: 06/24/2002

NP-37 07sd/NP032sd