

06-28-2002



102138208

PATENT

Docket No.: U 014074-5

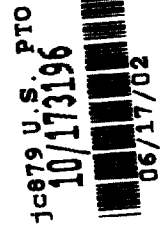
Box Patent Application

Commissioner of Patents

and Trademarks

Washington, D.C. 20231

06/17/02



COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21

and 37 CFR 3.31(a)(4))

10/173196

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

a. Date of execution: JUNE 5, 2002.



b. Name of each inventor:

CHIN-TING KUO

00140

PATENT TRADEMARK OFFICE

c. Title of invention: METHOD AND SYSTEM OF WAFER PROTECTION

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date JUNE 17, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV011022642US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

06/27/2002 6TOM11

00000100 10173196

01 FC:581

40.00 OP

SUE DARGENJO

(type or print name of person mailing paper)

Sue Dargenjo
(Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

PATENT
REEL: 013023 FRAME: 0692

Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

CHIN-TING KUO

Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: CALITECH CO., LTD.

Address: NO. 65, SHUEI-YUAN ST.,
HSINCHU CITY Taiwan

Telephone No.: ()

Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: William R. Evans
Address: Ladas & Parry
26 West 61 Street
New York, NY 10023
Telephone No.: (212) 708-1930

Date Assignment (Document) Executed (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on JUNE 5, 2002.

Language of Assignment (Document) to be Recorded

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26

The attached document:

- ☒ is in the English language
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

Original Document or True Copy Submitted

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:
- B. The fee for recordal (37 CFR 1.21(h)) is \$40.00
- ☒ Attached is a check for \$40.00
- ☐ Please charge Account 12-0425 \$40.00.
A duplicate of this cover sheet is attached.

Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 3 of 4)

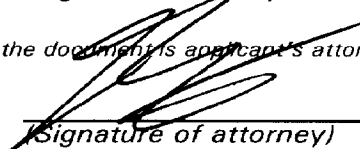
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: JUNE 17, 2002

(Name of party submitting document)

(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)



(Signature of attorney)

Reg. No. 25,858

Tel. No. (212) 708-1930

William R. Evans
Ladas & Parry
26 West 61 Street

New York, NY 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 5

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS

Inventor(s)
Name,
Address,
Citizenship

1. Chin-Ting Kuo residing at No. 26, Lane 375, Chung-Cheng Rd.,
Panchiao, Taipei County, Taiwan, R.O.C.
_____ and a citizen of Taiwan, R.O.C.
2. _____ residing at _____
_____ and a citizen of _____
3. _____ residing at _____
_____ and a citizen of _____

Date Patent
Declaration
Signed and
Title

(herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on _____ for this invention, which application is entitled METHOD AND SYSTEM OF WAFER PROTECTION

Serial No. and
Filing Date

Serial Number _____ and the filing date of _____ and which has been given

Name of
Corporation

AND WHEREAS Calitech Co., Ltd. (herein called "ASSIGNEE"), a corporation organized under the laws of Taiwan, R.O.C. and having an office and place of business at No. 65, Shuei-Yuan Rd., Hsinchu City, Taiwan, R.O.C.

State or
Country of
Incorporation
and Address

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE, its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each Inventor
Must Sign
and Date

Y Chin Ting Kuo
Signature Chin-Ting Kuo

5 June 2002
Date

Note: No
Legalization or
Other Witness
Required

Signature

Date

Signature

Date