

06-28-2002



Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

102138903
RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. Department of Commerce
 Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
 CoCensys, Inc.

06/24/02

2. Name and address of receiving party(ies):

Name: Purdue Pharma Ltd.

Internal Address:

Street Address: 213 Technology Drive

City: Irvine State: CA Zip Code: 92618

Country: U.S.A.

Additional name(s) & address(es) attached? ☐ yes ☒ no

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☒ Other: **Correction of spelling of Assignee's name on Recordation Form Coversheet previously recorded at reel 012618; frame 0438 on December 4, 2001**

Execution Date: January 23, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No(s). 09/980,628

B. Patent No(s).

Additional numbers attached? ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

Internal Address: c/o John M. Covert

Street Address: 1100 New York Ave., N.W.
 Suite 600

City: Washington State: D.C. Zip Code: 20005-3934

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to Deposit Account

8. Deposit Account Number:

19-0036

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John M. Covert

Name of Person Signing

Registration No. 38,759

John M. Covert
 Signature

Date

06/27/2002 6T0111 00000047 09980628

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Total number of pages including cover sheet, attachments and document 4

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents and Trademarks, Box Assignments
 Washington, D.C. 20231

SKGF_DC1-11322 1

PATENT
REEL: 013025 FRAME: 0540

AIP 581-40

09/980628

Rec'd PCT/PTO 04 DEC 2001

03-05-2002

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

Internal Address: c/o 1483.0390001/JMC/J-C

Street Address: 1100 New York Ave., N.W.
Suite 600

City: Washington State: D.C. Zip Code: 20005-3934

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Dec. 4, 2001
Date

Total number of pages including cover sheet, attachments and document 4

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Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

State of Delaware
Office of the Secretary of State PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "COCENSYS, INC.", CHANGING ITS NAME FROM "COCENSYS, INC." TO "PURDUE PHARMA LTD.", FILED IN THIS OFFICE ON THE SEVENTEENTH DAY OF JANUARY, A.D. 2001, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Secretary of State

2318043 8100

010026167

AUTHENTICATION: 0920339

DATE: 01-17-01

AUG-31-2001 14:16

LESLIE J. SCHREYER

0004

2124895387 P.03/04

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 01/17/2001
010026167 - 2318043

**CERTIFICATE OF AMENDMENT
OF COCENSYS, INC.**

Pursuant to Section 242 of
the General Corporation Law of
the State of Delaware

CoCensys, Inc., a corporation organized and existing under the General Corporation Law of the State of Delaware (the "Corporation"), does hereby certify as follows:

FIRST: Resolutions setting forth a proposed amendment to the Certificate of Incorporation of the Corporation, declaring said amendment to be advisable and directing that said amendment be considered by the stockholders of the Corporation entitled to vote thereon were duly adopted by the Unanimous Written Consent of the Board of Directors of the Corporation dated as of December 7, 2000.

SECOND: Thereafter, said amendment was approved in accordance with Section 228 of the General Corporation Law of the State of Delaware by written consent dated as of December 7, 2000 of the sole stockholder of the Corporation entitled to vote thereon.

THIRD: Said amendment would amend the Certificate of Incorporation of the Corporation by deleting Article FIRST and substituting in lieu thereof the following new Article FIRST:

JAN-17-2001 13:57

LESLIE J. SCHREYER

2124895307

P.03/04

"FIRST: The name of the Corporation is Purdue Pharma Ltd. (the 'Corporation')."

FOURTH: Said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, the Corporation has caused this certificate to be signed by its Vice President and attested by its Assistant Secretary as of this 15th day of January, 2001.

COCENSYS, INC.

By



Howard R. Udell
Vice President

Attest:

By



Stuart D. Baker
Assistant Secretary