06-28-2002 U.S. DEPARTMENT OF COMMERCE Form PTO-159 **REC** ER 1-3-92 Patent and Trademark Office Attorney Docket No.: 81747.0212 102138879 Commissioner for Paterus: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): SEIKO EPSON CORPORATION Junichi OTSUKA signed 3/1/02 4-1, Nishi-shinjuku 2-chome, Masaki HYOGO signed 3/1/02 19-02 Shinjuku-ku, Tokyo, Japan Masahiro KATAGIRI signed 3/7/02 Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No 3. Nature of conveyance: Other - Corrective Document to replace document recorded 3/29/02 under Reel 012767, Frame 0841. Execution Date: March 1 and 7, 2002 Additional name(s) & address(es) attached? ☐ Yes ☑ No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/045,578 B. Patent No.(s) Additional numbers attached? 

Yes 

No 6. Total number of applications and patents involved: [ 1 ] Name and address of party to whom correspondence concerning document should be mailed: Anthony J. Orler, Esq. 7. Total fee (37 CFR 3.41) \$40.00 HOGAN & HARTSON L.L.P. 500 South Grand Avenue, Suite 1900 Los Angeles, CA 90071 Authorized to be charged to deposit account Deposit account number: 50-1314 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Stritement and signature. belief, the foregoing is true and correct and any attached copy is a true copy of the original document. June 13, 2002 Date: Anthony J. Orler OMB No. 0651-0011 (exp. 4/94)\_ Mail documents to be recorded with required cover sheet information to: **Assistant Commissioner for Patents** 06/27/2002 GTON11 00000069 10045578 **Box Assignments** 40.00 BP 01 FC:581 Washington, D.C. 20231

> PATENT REEL: 013025 FRAME: 0702

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	To the Assistant Commissioner for Patents: Please re							
1.	Name of conveying party(ies):	Name and address of receiving party(ies):	<u> </u>					
	Junichi OTSUKA signed 3/1/02 Masaki HYOGO signed 3/1/02 Masahiro KATAGIRTI signed 3/7/02	SEIKO EPSON CORPORATION 4-1, Nishi-shinjuku 2-chome, Shinjuku-ku, Tokyo, Japan						
Add	litional name(s) of conveying party(ies) attached?   Yes   No							
3.	Nature of conveyance:		ن سام ر					
	Execution Date: March 1 and 7, 2002							
		· ·						
		Additional name(s) & address(es) attached? \ \ \ Ye	es.⊠ No					
4.	Application number(s) or patent number(s):							
	If this document is being filed together with a new application, the execu	tion date of the application is:	* Server					
	A. Patent Application No.(s) 10/045,578	B. Patent No.(s)						
	Additional numbers attac	hed? 🗌 Yes 🛛 No						
5.	Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:	[1]					
	Anthony J. Orler, Esq. HOGAN & HARTSON L.L.P.	7. Total fee (37 CFR 3.41) \$40.00						
	500 South Grand Avenue, Suite 1900 Los Angeles, CA 90071	<ul><li>☑ Enclosed</li><li>☐ Authorized to be charged to deposit account</li></ul>						
	l de la companya de	Deposit account number:						
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Anthony J. Orler Date: March 19, 2002								
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PATENT REEL: 013025 FRAME: 0703 Seiko Epson Ref. No.: F006375US00

## 譲渡証(Translation/日本語訳)

下記に署名した私/私達、

## 大塚順一、兵庫正樹、片桐正宏 は、

ある発明を創出し、これについて合衆国特許出願は

	ここに私/私達により署名され、
	に私/私達により(それぞれ)署名され,
$\boxtimes$	2002年1月11日に出願され、出願番号10/045,578が交
	付され,
	PCT 国際出願としてに出願され,
その称	田北

## デバイス状態監視システム、デバイス状態監視処理方法及び情報 記録媒体

という名称である。 そして、ここにその受領を認める対価で:私/私達は、当該発明/出願について、合衆国とその属領及び全ての外国に於ける全面的かつ独占的な権利;合衆国とその属領及び全ての外国に於いて発行される特許証に関わる全ての権利、所有権、利益; 一部継続出願、継続出願、分割出願、差替え出願、再発行出願、特許期間延長等、合衆国とその属領及び全ての外国に於いて既に出願されたか若しくは今後出願される特許に関わる全ての権利;そして、国際条約、同盟、契約、法令、協定(将来制定されるものを含む)に基づく全ての優先権を伴う一切の権利;を、日本国東京都新宿区西新宿2丁目4番1号に住所を有するセイコーエプソン株式会社、その後継者、譲受人及び法定代理人に対して、売却、譲渡、移転するものとする。

さらに、私/私達は、セイコーエプソン株式会社(以下譲受人 と言う)が単数ないしは複数の当該発明(以下当該発明という) に関わる特許権を、自己の名により、合衆国とその属領及び全 ての外国に於いて出願し、特許を受けること;またこの譲渡証 の意図と目的を誠実に実行することを求められた場合、下記に 署名した私/私達が、当該譲受人、その後継者、その被譲渡者、 及び法定代理人の費用負担にて、一部継続出願、継続出願、分 割出願、差替え出願、再発行出願、特許期間延長等を行い、合 法的宣誓書、譲渡証、委任状等の書類を作成し、あらゆる法的 または準法的訴訟手続に於いて証言を行うこと;当該発明とそ の経緯に関連して、下記に署名した私/私達が知り得た全ての 事実を、当該譲受人、後継者、被譲渡者、及び法定代理人に連 絡すること;そして当該譲受人、後継者、被譲渡者、及び法定 代理人が、当該発明の特許権の適切な保護、維持、権利行使す るために望ましいと考慮すること、また、当該発明に関わる特 許出願に際し、当該譲受人、後継者、被譲渡者、及び法定代理 人に対して法的権限を付与することが望ましいと考慮するこ とについて、可能な限り行うことを承諾する。

## **Assignment**

For good and valuable consideration, the receipt of which is hereby acknowledged. I/WE, the undersigned.

Junichi OTSUKA, Masaki HYOGO, Masahiro KATAGIRI,

who have created a certain invention for which an application for United States Letters Patent

	executed by ME/US on even date herewith,					
	executed by ME/US on, (respectively),					
$\boxtimes$	filed on January 11, 2002 and assigned Serial No.					
	10/045,578,					
	filed as International Application No filed on					

and entitled:

# DEVICE STATUS MONITORING SYSTEM, DEVICE STATUS MONITORING METHOD, AND A DATA STORAGE MEDIUM AND OBJECT PROGRAM THEREFOR

Do hereby sell, assign and transfer to Seiko Epson Corporation. a corporation of Japan, having a place of business at 4-1. Nishi-shinjuku 2-chome, Shinjuku-ku, Tokyo, Japan, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that Seiko Epson Corporation, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal undersigned will representatives. execute continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns, or legal representatives; and

## Seiko Epson Ref. No.: F006375US00

そして、私/私達は、この書面により譲渡された権利や財産に 影響する、如何なる譲渡、授権、抵当権、ライセンス等その他 の協定も他の第三者との間で行っていないこと; 下記に署名 した私/私達によって、この書面に記載されている権利が所有 されていることを、当該譲受人、後継者、被譲渡者、及び法定 代理人に対して誓約するものである。

さらに,下記に署名した私/私達はこの譲渡書は英語の部分の 表現によってのみ解釈されることに同意する。

上記を証明するため、私/私達は下記日付で署名する。

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

I/WE, the undersigned do further agree that this Assignment is to be construed solely according to the terms of the English language portions thereof.

IN TESTIMONY WHEREOF I/WE have hereunto set MY/OUR signature seal on the date indicated below.

唯一または第一発明者名		Full name of sole or first inventor			
大塚 順一			Junichi OTSUKA	_	
発明者の署名	日付	Ir	oventor's signature	Da	te
大塚順一		2002年3月1日	Junichi 075	uka /	Mar 1, 2002
第二共同発明者(いる場合)		F	ull name of second joint	inventor, if any	
兵庫 正樹			Masaki HYOGO		
第二共同発明者の署名	日付	S	econd Inventor's signati	ure Da	te
兵庫 正樹		2002年3月1日	Masaki HYC	960	Mar 1,2002
第三共同発明者(いる場合)		F	ull name of third joint in	ventor, if any	
片桐 正宏			Masahiro KATAGIRI		
第三共同発明者の署名	日付	T	hird Inventor's signature	Da Da	te
片桐 正宏		2002	Masahiro	KATAGIRI	Mar 7,2002
第四共同発明者(いる場合)		F	ull name of fourth joint i	nventor, if any	
第四共同発明者の署名	日付	F	ourth Inventor's signatu	re Da	te
第五共同発明者 (いる場合)		F	ull name of fifth joint inv	entor, if any	
44					
第五共同発明者の署名	日付	F	ifth Inventor's signature	Da	te
第六共同発明者(いる場合)		F	ull name of sixth joint in	ventor, if any	
第六共同発明者の署名	日付				
第八共同宪明在00者名 	ניום	5	ixth Inventor's signature	Da	te
Art I Hardward for A 199 A 2		<del></del>			
第七共同発明者 (いる場合) 		F	ull name of seventh join	t inventor, if any	
第七共同発明者の署名	日付		eventh Inventor's signat	ura D-	+4
╸ ╸ ╸	ניו ו	3	evenur inventor's signat	ure Da	rea
or U # = send tr // > 7 fb />					
第八共同発明者(いる場合)		F	ull name of eighth joint i	nventor, if any	ļ
   第八共同発明者の署名	日付		ighth Inventor's signatur	re Da	te
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