

06-28-2002

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents

102139325

with attached original documents or copy thereof.

1. Name of conveying party(ies):

KEVIN F. DUDLEY

6-24-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: May 6, 2002

2. Name and address of receiving party(ies):

Name: CARRIER CORP.

Internal Address: INTELLECTUAL PROPERTY

DEPT.

A&R BLDG., M/S 72

Street Address: P O BOX 4800

City: SYRACUSE State: NY ZIP: 13221-4800

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/147,400

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WILLIAM F. WHITE

Internal Address: CARRIER CORP

INTELLECTUAL PROPERTY DEPT

Street Address: P O BOX 4800

City: SYRACUSE State: NY ZIP: 13221-4800

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

WILLIAM F. WHITE

Name of Person Signing

Signature

June 17, 2002

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT  
REEL: 013026 FRAME: 0089

# ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, I: **KEVIN F. DUDLEY**, residing at 4523 East Lake Road, Cazenovia, NY 13035, being the inventor of a certain invention as fully set forth and described in a patent application entitled "LOCATION ADJUSTED HVAC CONTROL " (Carrier Docket No.: 10468), executed by me on this 6 day of May, 2002, being the owner of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. I hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND, I hereby covenant and agree that I shall at any time, upon request, without further compensation, testify in any interference proceeding, execute all divisional, continuing or reissue applications, make all rightful oaths and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 6 day of May, 2002.

Kevin F. Dudley (L.S.)  
Kevin F. Dudley

STATE OF NEW YORK     )  
                                          )ss.:  
COUNTY OF ONONDAGA )

On this 6<sup>th</sup> day of May 2002, before me the subscriber,  
personally came **Kevin F. Dudley**, to be known, and known to me to be the person  
described in and who executed the foregoing instrument, and duly acknowledged to me  
that he executed the same as his free act and deed.

Luann McCormick

Notary Public

LUANN McCORMICK  
Notary Public, State of New York  
Qualified in Onondaga Co. No. 4886345  
My Commission Expires March 2, 2003

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The patent application referred to in the foregoing instrument was filed in the United States  
Patent and Trademark Office on May 17, 2002, and was assigned Serial Number  
10/147,400.

William F. White

William F. White  
Reg. No. 25,943

June 17, 2002

Date