

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): MMC/GATX Partnership No. I and Silicon Valley Bank Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No			2. Name and address of receiving party(ies) Name: <u>Embol-X, Inc.</u> Internal Address: _____ Street Address: <u>645 Clyde Avenue</u> City: <u>Mountain View</u> State: <u>CA</u> Zip: <u>94043</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No		
3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☒ Other <u>Termination of Security Interest</u> Execution Date: <u>8/9/2002</u>			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ <u>See attached schedule</u> B. Patent No.(s) _____ <u>See attached schedule</u> Additional numbers attached? ☒ Yes ☐ No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>MMC/GATX Partnership No. I</u> Internal Address: <u>c/o GATX Ventures, Inc.</u> <u>Attn: Legal Dept.</u> Street Address: <u>3687 Mt. Diablo Blvd.</u> <u>Suite 200</u> City: <u>Lafayette</u> State: <u>CA</u> Zip: <u>94549</u>			6. Total number of applications and patents involved: <u>50</u> 7. Total fee (37 CFR 3.41).....\$ <u>2,000</u> ☐ Enclosed ☒ Authorized to be charged to deposit account 8. Deposit account number: <u>23 - 2415 (Client Ref #: 19295.025)</u>		
DO NOT USE THIS SPACE					
9. Signature. <u>Soohyun S. Lee</u> Name of Person Signing  Signature <u>8/21/02</u> Date Total number of pages including cover sheet, attachments, and documents: <u>10</u>					

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

EMBOL-X, INC.**Schedules 1-A and 1-B to Grant of Security Interest - PATENTS and PATENT APPLICATIONS****4. Application numbers or patent numbers:**

Application #	Date Filed	Description	Patent #
08/640,015	April 30, 1996	C-I-P: Cannula with Associated Filter and Methods of Use During Cardiac Surgery	Issued: US Patent No. 5,769,816
08/683,503	July 17, 1996	Atherectomy Device Having Trapping and Excising Means for Removal of Plaque from the Aorta and Other Arteries	Issued: U.S. Patent No. 5,662,671
08/759,591	December 5, 1996	Cerebral Protection During Carotid Endarterectomy and Downstream Vascular Protection During Other Surgeries	Issued: U.S. Patent No. 5,876,367
08/842,727	April 16, 1997	C-I-P: Cannula with Associated Filter and Methods of Use During Cardiac Surgery	
08/852,867	May 8, 1997	Percutaneous Catheter Having Filter and Stent Deployment Capabilities	Issued: U.S. Patent No. 5,911,734
08/853,165	May 8, 1997	Modular Filter with Delivery System	Issued: U.S. Patent No. 5,846,260
08/854,806	May 12, 1997	C-I-P: Cardioplegia Balloon Cannula	
08/863,159	May 27, 1997	DIY: Guiding Catheter for Positioning a Medical Device Within an Artery	
08/899,606	July 24, 1997	Arterial Aspiration	Issued: U.S. Patent No. 5,928,192
08/970,956	November 14, 1997	CON: Cannula with Associated Filter and Methods of Use During Cardiac Surgery	
08/982,226	December 17, 1997	CON: Cannula with Associated Filter and Methods of Use During Cardiac Surgery	
08/993,202	December 18, 1997	C-I-P: Cardioplegia Balloon Cannula	
08/996,532	December 23, 1997	Implantable Cerebral Protection	

PATENT**REEL: 013029 FRAME: 0416**

EMBOL-X, INC.**Schedules 1-A and 1-B to Grant of Security Interest - PATENTS and PATENT APPLICATIONS**

Application #	Date Filed	Description	Patent #
09/008,647	January 16, 1998	CON: Aortic Occluder with Associated Filter and Methods of Use During Surgery	
09/016,714	January 30, 1998	CON: Aortic Occluder with Associated Filter and Methods of Use during Surgery	
09/022,179	February 11, 1998	DIV: Atherectomy Device Having Trapping and Excising Means for Removal of Plaque from the Aorta and Other Arteries	
09/022,510	February 12, 1998	CON: Percutaneous Catheter and Guidewire Having Filter and Medical Device Deployment Capabilities	Issued: U.S. Patent No. 5,910,154
09/070,660	April 29, 1998	Adjustable Blood Filtration	
09/131,181	August 7, 1998	Direct Stick Tear-Away Introducer Sheath	
09/131,158	August 7, 1998	Atrial Isolator and Method of Use	
09/130,585	August 7, 1998	Inflatable Cannula and Method of Using Same	
09/131,248	August 7, 1998	Nested Tubing Sections and Method for Making Same	
09/132,354	August 11, 1998	Slideable Cannula and Method of Use	
09/145,808	September 2, 1998	Bendable Shape-Retaining Cannula	
09/145,809	September 2, 1998	Cardioplegia Access View Probe	
09/146,216	September 2, 1998	Trocar Introducer System and Methods of Use	
09/149,314	September 8, 1998	Venous Drainage Catheter	
09/149,981	September 9, 1998	Introducer Dilator with Balloon Protection	

EMBOL-X, INC.**Schedules 1-A and 1-B to Grant of Security Interest - PATENTS and PATENT APPLICATIONS**

Application #	Date Filed	Description	Patent #
09/161,937	September 28, 1998	Suction Support and Method of Use	
09/170,359	October 13, 1998	Percutaneous Filtration Catheter for Valve Repair Surgery and Methods of Use	
09/176,145	October 21, 1998	CON: Cerebral Protection During Carotid Artery Endarterectomy and Downstream Vascular Protection During Other Surgeries	
09/181,790	October 28, 1998	CON: Modular Filter with Delivery System	
09/196,942	November 20, 1998	Articulating Cannula	
09/199,232	November 24, 1998	Compliant Framework and Methods of Use	
09/199,233	November 24, 1998	Sutureless Vessel Plug and Methods of Use	
09/198,439	November 24, 1998	Occlusion Cannula and Methods of Use	
09/209,561	December 11, 1998	Suture-Free Clamp and Sealing Port and Methods of Use	
09/287,217	April 5, 1999	CON: Percutaneous Catheter and Guidewire Having Filter and Medical Device Deployment Capabilities	
09/286,195	April 5, 1999	DIV: Method of Protecting a Patient from Embolization During Cardiac Surgery	
09/294,533	April 19, 1999	CON: Arterial Occluding Cannula and Methods of Use	
09/307,092	May 7, 1999	Balloon Occlusion Diameter and Pressure Measuring Device	
09/335/849	June 15, 1999	DIV: Guiding Catheter for Positioning a Medical Device Within an Artery	
09/336,372	June 17, 1999	CON: Cannula With Associated Filter and Methods of Use During Cardiac Surgery	
09/350,780	July 9, 1999	CON: Nested Tubing Sections and Method for Making Same	

PATENT**REEL: 013029 FRAME: 0418**

EMBOL-X, INC.**Schedules 1-A and 1-B to Grant of Security Interest - PATENTS and PATENT APPLICATIONS**

Application #	Date Filed	Description	Patent #
09/352,546	July 12, 1999	CON: Cannula With Associated Filter and Methods of Use During Cardiac Surgery	
09/365,650	August 2, 1999	Modular Access Port for Device Delivery	
09/366,192	August 3, 1999	Guided Filter with Support Wire	
09/365,991	August 3, 1999	Variable Expansion Frame System for Deploying Medical Devices and Methods of Use	
09/369,060	August 4, 1999	Percutaneous Catheter and Guidewire for Filtering During Ablation of Myocardial or Vascular Tissue	
Not yet assigned	August 4, 1999	Clip-On Access Port	
09/369,052	August 4, 1999	Filter Flush System and Methods of Use	
Not yet assigned	August 9, 1999	Balloon Venting System	
Not yet assigned	September 8, 1999	CON: Adjustable Blood Filtration System	

**TERMINATION
OF
SECURITY INTEREST IN PATENTS**

This Termination of Security Interest in Patents (the "Termination") is executed on behalf of MMC/GATX Partnership No. and Silicon Valley Bank, as Secured Parties (the "Secured Parties"), in favor of EmbolX, Inc., a Delaware corporation (the "Debtor"), and is effective as of August 9, 2002.

RECITALS

A. Debtor and the Secured Party entered into a certain Loan and Security Agreement, dated as of September 23, 1999 (the "Agreement").

B. The Grant of Security Interest in Patents, relating to the Agreement, was filed with the Patent and Trademark Office on October 8, 1999, at Reel/Frame 010152/0958.

C. Debtor has repaid all amounts due under the Agreement.

The Secured Party therefore expressly terminates its security interest in the Collateral, including without limitation, the patents and patent applications listed on Schedule 1-A and 1-B attached hereto.

IN WITNESS WHEREOF, this Termination is executed as of the date first above written.

MMC/GATX Partnership No. I
By: GATX Financial Corporation
Its general partner

By: Patricia W. Leicher
Name: PATRICIA W. LEICHER
Title: VP

SILICON VALLEY BANK

By: D. Edward Wohlsch
Name: D. Edward Wohlsch
Title: Vice President

EMBOL-X, INC.
Schedules 1-A and 1-B to Grant of Security Interest - PATENTS and PATENT APPLICATIONS

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EMBOL-X, INC.
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09/016, 714	January 30, 1998	CON: Aortic Occluder with Associated Filter and Methods of Use during Surgery	
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EMBOL-X, INC.

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09/350,780	July 9, 1999	CON: Nested Tubing Sections and Method for Making Same	

PATENT**REEL: 013029 FRAME: 0423**

EMBOL-X, INC.
Schedules 1-A and 1-B to Grant of Security Interest - PATENTS and PATENT APPLICATIONS

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