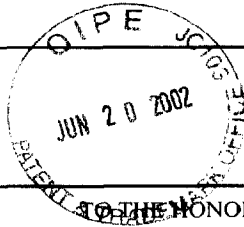


07-02-2002

Mail Number: EL 451 597 637 US

102141757
PATENTS ONLYAttorney Docket Number
9775-0059-999TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James Stewart, Jan Lipson and Andreas Weber

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: June 4, 2002, April 30, 2002 and June 4, 2002 respectively

2. Name and address of receiving party(ies):

Name: Finisar Corporation

Address: 1308 Moffett Park Drive

Sunnyvale, CA 94089-1133

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/101,260 (filed March 18, 2002)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, CA. 94304

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00
Please charge to the deposit account listed in Section 8.8. Deposit account number:
16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Wilfred H. Lam

41,923

Reg. No.

Signature

Date

June 20, 2002

Name of Person Signing

for Gary S. Williams, Reg. No. 31,066

Total number of pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 2023107/02/2002 6T0W11 00000084 161150 10101260
01 FC:581 40.00 CH

CA1 - 309184.1

PATENT
REEL: 013033 FRAME: 0759

ASSIGNMENT

WHEREAS, WE, James Stewart, Jan Lipson and Andreas Weber, ASSIGNORS, citizens of the United States, the United States and Germany, residing at 1765 Emory Street, San Jose, CA 95126, 1108 Seeber Court, Cupertino, CA 95014 and 485 Los Pajeros Court, Los Altos, CA 94024, are the inventors of the invention in **COMPACT LASER PACKAGE WITH INTEGRATED TEMPERATURE CONTROL** for which we have executed an application for a Patent of the United States

☐ which is executed on ☐ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 9775-0063-999

☒ which was filed on March 18, 2002, Application No. 10/101,260

and WHEREAS, Finisar Corporation, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date June 4, 2002


James Stewart

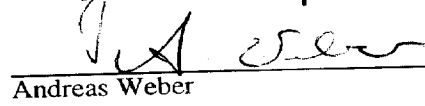
L.S.

Date 4 / 30, 2002


Jan Lipson

L.S.

Date 6-14-02, 2002


Andreas Weber

L.S.

State of)
) SS.:
County of)

On _____, 2002, before me, _____, Notary Public,
personally appeared James Stewart, personally known to me on the basis of satisfactory
evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

State of)
) SS.:
County of)

On _____, 2002, before me, _____, Notary Public,
personally appeared Jan Lipson, personally known to me on the basis of satisfactory
evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

State of)
) SS.:
County of)

On _____, 2002, before me, _____, Notary Public,
personally appeared Andreas Weber, personally known to me on the basis of satisfactory
evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
STEWART et al.

Serial No. 10/101,260

Filed: March 18, 2002

For: *Compact Laser Package With
Integrated Temperature Control*



Group Art Unit: To be assigned

Examiner: To be assigned

Attorney Docket No. 9775-063-999

Date: June 20, 2002

COMMUNICATION

Assistant Commissioner for Patents
Washington D.C. 20231

Sir:

Applicant's attorney encloses herewith an Assignment document for recordation, and Power of Attorney by Assignee for the referenced application.

The Commissioner is authorized to charge any fees associated with this communication to our deposit account number 16-1150 (order no. 9775-063-999). A copy of this sheet is enclosed for such purpose.

Respectfully submitted,

PENNIE & EDMONDS LLP

By:

Wilfred H. Lam

Registration No. 41,923

For Gary S. Williams, Reg. No. 31,066

3300 Hillview Avenue
Palo Alto, CA 94304
(650) 493-4935