Form PTO-1596

RECORDA



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Patents and **Trademarks Office**

File No: P1281 US

To the Honorable Commissioner of 1 1027	Aended original documents or copy thereof		
1. Name of conveying Party(ies):	2. Name and address of receiving party(ies)		
1. Name of conveying Party(ies): Constitution of SDL, Inc.	Name: JDS Uniphase Corporation Street Address: 1768 Automation Parkway		
Additional name(s) of conveying party(ies) attached? □Yes X			
3. Nature of Conveyance:	Country: U.S.A. Postal Code: 95134		
X Assignment			
☐ Security Agreement ☐ Change of Name	Additional name(s) & addresses attached? \square Yes X No		
Execution Date: May 22, 2002			
4. Application number(s) or patent number(s) 09/664,986			
If this document is being filed together with a new application, t	he execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)		
Additional number	sattached? \square Yes X No		
5. Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and patents involved 1		
Name: David N. Lathrop	7. Total fee (37 CFR 3.41)\$40.00		
Gallagher & Lathrop Address: 601 California Street, Suite 1111	Enclosed		
City: San Francisco, California, Country: U.S.A. 94108-2805	Authorized to be charged to deposit account		
	B. Deposit account number: 07-0137		
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To the best of my knowledge and belief, the foregoing Information is true and correct and any attached copy is a true copy of the

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments

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9. Statement and Signature.

original document.

David N. Lathrop Name of Person Signing

PATENT

REEL: 013035 FRAME: 0234

P1281

ASSIGNMENT

THIS ASSIGNMENT, made by **SDL Inc.**, a corporation of the state of Delaware,

having its principal place of business at 80 Rose Orchard Way, San Jose, California,

95134-1365, hereinafter referred to as assignor;

WITNESSETH: That,

WHEREAS the above named corporation is the owner of the entire right, title,

and interest in and to the US patent applications set forth in Schedule A attached hereto,

and all rights therein provided by international conventions or treaties, and the inventions

disclosed and claimed therein, and any applications that rely on one or more of the patent

applications set forth in Schedule A for priority, including with respect to all of the

foregoing, any and all divisionals, continuations, continued prosecution applications,

continuations-in-part, reissues, reexaminations thereof as well as foreign counterparts

thereof, together with all patents issuing on any of the aforesaid applications for a patent,

subject to any U.S. Government rights therein (collectively the "Patent Applications");

WHEREAS JDS Uniphase Corporation, a Delaware corporation having its

principal place of business at 1768 Automation Parkway, San Jose, California, U.S.A.,

95131, hereinafter referred to as assignee, is desirous of acquiring the assignor's entire

right, title, and interest in and to said Patent Applications;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good

and valuable consideration, the receipt and sufficiency of which is hereby acknowledged,

the above assignor has sold and by these presents does hereby sell, assign, transfer, and

convey unto the said assignee, its successors and assigns, its entire right, title, and interest

in and to said Patent Applications.

PATENT

REEL: 013035 FRAME: 0235

The assignor further covenants and agrees that, at the time of execution and delivery of these presents, it possesses full title to said Patent Applications as earlier identified subject to any U.S. Government rights therein, and that it has the unencumbered right and authority to make this assignment.

Executed this 22ndday of May,2002. at San Jose California, Canada. USA

Michael C. Phillips

Michael C. Phillips President SDL Inc.

Declaration by Witness

I, <u>Dana E. Olsasky</u>, whose full post office address is 1768 Automation Pkwy; San Jose CA Say:

That I was personally present and did see Michael C. Phillips, President, SDL Inc., who is personally known to me, duly sign and execute the above Assignment.

Signature of Witness

Dana E. Esashy

PATENT REEL: 013035 FRAME: 0236



RECORDED: 06/20/2002

Schedule A

Title	Serial NO	Filing Date	Assignment Recordation Info	Our File No.
Raman-Based Utility Optical Amplifier	09/664,986	September 18, 2000	R/F 011440/0556	P1281

PATENT REEL: 013035 FRAME: 0237