

07-03-2002



VER SHEET

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To the Honorable Commission

attached original documents or copy thereof.

1. Name of conveying party(ies):

Lucent Technologies Inc.

6-25-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

2. Name and address of receiving party(ies):

Name: **Agere Systems Guardian Corp.**

Internal Address: _____

Street Address: **9333 S. John Young Parkway**

City: **Orlando** State: **Florida** Zip: **32819**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: **May 31, 2002**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

Filed on

B. Patent No. (s) 5444777

Additional numbers attached? [X] Yes [] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Agere Systems Inc.

Internal Address:

Street Address: **P.O. Box 614**

City: **Berkeley Heights,**

State: **New Jersey,** Zip: **07922-0614**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ \$120.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **50-1735**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony Grillo, Reg. No. 36,535

Name of Person Signing

Signature

6/25/02

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D. C. 20231

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Patent No.
4652701
5528688

LEGAL TITLE PATENT TRANSFER AGREEMENT

Whereas the parties have previously entered into to a PATENT AND TECHNOLOGY LICENSE AGREEMENT (the "PTLA"), effective as of February 1, 2001, by and among Lucent Technologies Inc., Lucent Technologies GRL Corp., Lucent Technologies Guardian I Corp., and various subsidiaries of Lucent (jointly and severally "Lucent"), and Agere Systems Inc. and various subsidiaries of Agere (jointly and severally "Agere");

Whereas, pursuant to sections 3.10 and 3.11 of the PTLA, exclusive licenses were granted in the patents listed on Schedules 3.10-1 to 3.10-6 and 3.11-1 to 3.11-6, inclusive (hereinafter the "Transferred Patents") to the Agere Subsidiaries and the Lucent Subsidiaries, respectively; and

Whereas, the parties now wish for the remaining rights in the Transferred Patents to be granted to the Agere Subsidiaries and the Lucent Subsidiaries, respectively.

Now therefore, effective upon signature of all of the parties, and in consideration of the mutual promises herein, the parties agree as follows:

- 1.01. Lucent, subject to all rights retained for itself as set out in Section 3.10(b) of the PTLA, hereby transfers all legal title in all of the patents listed in Schedules 3.10-1 to 3.10-6, inclusive, to Agere Guardian.
- 1.02. In Sections 3.10 and 3.11 of the PTLA, Section (d) is deleted in its entirety and the first sentence of Section (e) is not effective for acts occurring post execution of this Agreement.
- 1.03. Agere, subject to all rights retained for itself as set out in Section 3.11(b) of the PTLA, hereby transfers all legal title in all of the patents listed in Schedules 3.11-1 to 3.11-6, inclusive, to Lucent Guardian.

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed in four originals by their duly authorized representatives.

LUCENT TECHNOLOGIES INC.

By: Bernard Zucker by Delegation
D. L. Padilla
President, Intellectual Property Business

Date: 5/31/02

LUCENT GRL LLC

By: Bernard Zucker by Delegation
D. L. Padilla
President

Date: 5/31/02

**LUCENT TECHNOLOGIES GUARDIAN I
LLC**

By: Bernard Zucker by Delegation
D. L. Padilla
President

Date: 5/31/02

**LUCENT TECHNOLOGIES OPTICAL
NETWORKING GUARDIAN LLC**

By: Bernard Zucker by Delegation
D. L. Padilla
President

Date: 5/31/02

**LUCENT TECHNOLOGIES WIRELESS
GUARDIAN LLC**

By: *Baron Zuck* by Delegation
D. L. Padilla
President

Date: *5/31/02*

**LUCENT TECHNOLOGIES FIBER
GUARDIAN LLC**

By: *Baron Zuck* by Delegation
D. L. Padilla
President

Date: *5/31/02*

AGERE SYSTEMS INC.

By: *Gerard deBlasi*
Gerard A. deBlasi
Vice President

Date: *May 31, 2002*

AGERE SYSTEMS GUARDIAN CORP.

By: *Gerard deBlasi*
Gerard A. deBlasi
Vice President

Date: *May 31, 2002*

Case Name

Filing Date

Issue Date

Patent Number

Condon 18-39

12/28/93

8/22/95

5444777

Cubbison 9

11/6/1985

03/24/87

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Case Name

Filing Date

Issue Date

Patent Number

Schorr 3

9/28/1994

6/18/1996

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