

07-03-2002



COVER SHEET

Y

To the Honorable Commission

102143340

attached original documents or copy thereof.

1. Name of conveying party(ies):

Lucent Technologies Inc.

6-25-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: **January 30, 2001**

2. Name and address of receiving party(ies):

Name: **Agere Systems Guardian Corp.**

Internal Address: _____

Street Address: **9333 S. John Young Parkway**

City: **Orlando** State: **Florida** Zip: **32819**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

Filed on

B. Patent No. (s) **4651134**

Additional numbers attached? [X] Yes [] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**
Agere Systems Inc.

Internal Address:

Street Address: **P.O. Box 614**

City: **Berkeley Heights,**

State: **New Jersey,** Zip: **07922-0614**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ **\$440.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **50-1735**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony Grillo, Reg. No. 36,535
Name of Person Signing

Signature

6/25/02
Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
4651134
Washington, D. C. 20231

07/03/2002 LMUELLER 00000089 501735
01 FC:581 440.00 CH

Patent No.
5631797
5881129
5854839
4939775
5138177
4454479
6169425
5675640
6333664
5221847

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

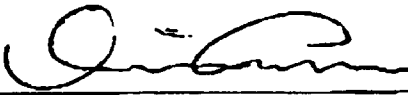
ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)

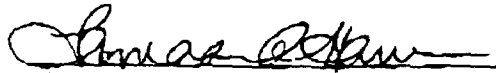
: ss:

COUNTY OF SOMERSET)

I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and

b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

Notary Public

My Commission Expires

[Notarial Seal]

TAMORA ANNE HANNA

Notary Public of New Jersey

Registered in Hunterdon County

My Commission Expires March 25, 2002

STATE OF FLORIDA)

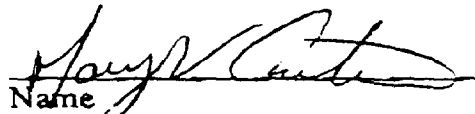
: ss:

COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp.; and

b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

Notary Public

My Commission Expires:

[Notarial Seal]



APPENDIX A (continued)
Transferred Patents - (Transfer of bare legal title)

Case Name	Filing Date	Issue Date	Patent No.
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• Carbrey 65	12/13/1984	3/17/1987	4651134
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• Chabinec 1-2	7/24/1995	5/20/1997	5631797
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APPENDIX A (continued)
Transferred Patents - (Transfer of bare legal title)

Case Name	Filing Date	Issue Date	Patent No.
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• Chen 2-6-12	8/7/1996	3/9/1999	5881129
• Chen 3-7-13	8/7/1996	12/29/1998	5854839

APPENDIX A (continued)
Transferred Patents - (Transfer of bare legal title)

Case Name	Filing Date	Issue Date	Patent No.
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Houck 1-9

12/13/1988

7/3/1990

4939775

APPENDIX A (continued)
Transferred Patents - (Transfer of bare legal title)

Case Name	Filing Date	Issue Date	Patent No.
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Morgan 1-1	3/26/1991	8/11/1992	5138177
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APPENDIX A (continued)
Transferred Patents - (Transfer of bare legal title)

Case Name	Filing Date	Issue Date	Patent No.
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• Spires 5	12/20/1982	6/12/1984	4454479
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APPENDIX B (continued)
Transferred Patents

Case Name

Filing Date

Issue Date

Patent No.

Serial No.

✓ Spires 20-3

9/29/1998

09/162662

APPENDIX A (continued)
Transferred Patents - (Transfer of bare legal title)

Case Name	Filing Date	Issue Date	Patent No.
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Tappert 1-4	5/19/1995	10/7/1997	5675640
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APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
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Umberger 4				
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Umberger 4	8/22/2000			
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Umberger 4	8/22/2000		09/643253	
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APPENDIX A (continued)
Transferred Patents - (Transfer of bare legal title)

Case Name	Filing Date	Issue Date	Patent No.
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- Ziemer 2	6/26/1992	6/22/1993	5221847
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