

07-03-2002

Form 1595  
1-31-92 (modified)

RECOI

U.S. Department of Commerce  
Patent and Trademark Office

...102143032

To the Honorable Assistant Commissioner for Patents: Please record the attached  
original documents or copy thereof

1. Name of conveying party(ies):

Activated Cell Therapy

6.27.02

2. Name/address of receiving party(ies):

Dendreon Corporation

Add'l names of conveying parties attached?

☐ Yes ☒ No

3005 First Avenue

Seattle, WA 98121

3. Nature of conveyance: ☐ Assignment☐ Merger ☐ Security Agreement ☐

Other

☒ Change of Name ☐ Reassignment4. Date of execution: September 2, 1997Add'l names of receiving parties  
attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

A. Patent Application No.(s)

07/049,863 filed on 3/25/1987

B. Patent No.(s)

4,927,750

Additional numbers attached: ☐ Yes ☒ No6. Name and address of party to whom  
correspondence concerning document  
should be mailed:Perkins Coie LLP  
P.O. Box 2168  
Menlo Park, CA 940267. Total No. of applications and  
patents involved:one (1)8. Total fee (37 CFR §3.41): \$40.00☒ Charge Deposit Account No. 50-22079. Total number of pages, including cover  
sheet, attachments and document: 9

DO NOT USE THIS SPACE

10. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct  
and any attached copy is a true copy of the original document.*

Karen Y. Hui

Name of Person Signing

Signature

Date

07/03/2002 LMUELLER 00000023 502207 07049863

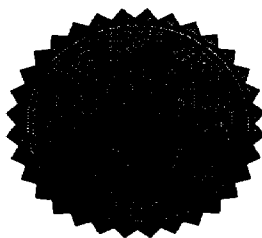
01 FC:581

40.00 CH

PATENT  
REEL: 013036 FRAME: 0750

## *The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ACTIVATED CELL THERAPY, INC.", CHANGING ITS NAME FROM "ACTIVATED CELL THERAPY, INC." TO "DENDREON CORPORATION", FILED IN THIS OFFICE ON THE FOURTH DAY OF SEPTEMBER, A.D. 1997, AT 9 O'CLOCK A.M.



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

2306713 8100

020103975

AUTHENTICATION: 1616986

DATE: 02-15-02  
PATENT

REEL: 013036 FRAME: 0751

**CERTIFICATE OF AMENDMENT OF  
AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF  
ACTIVATED CELL THERAPY, INC.**

ACTIVATED CELL THERAPY, INC., a corporation organized and existing under and by virtue of the Delaware General Corporation Law of the State of Delaware (the "Corporation" or the "Company"), does hereby certify:

**FIRST:** The name of this Corporation is Activated Cell Therapy, Inc.

**SECOND:** The date on which the Certificate of Incorporation for this Corporation was filed with the Secretary of State of the State of Delaware is August 14, 1992. A Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on October 27, 1992. A Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on December 16, 1993. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on April 11, 1995. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on October 5, 1995. A Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on January 26, 1996. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on March 29, 1996. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on June 7, 1996. A Certificate of Correction was filed with the Secretary of State of the State of Delaware on July 16, 1996. An Amended and Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on May 21, 1997.

**THIRD:** The Board of Directors of the Corporation, acting in accordance with the provisions of Sections 141 and 242 of the General Corporation Law of the State of Delaware, adopted resolutions amending its Amended and Restated Certificate of Incorporation as follows:

Article I shall be amended in its entirety to read as follows:

"The name of this Corporation is DENDREON CORPORATION (the "Corporation" or the "Company")."

Article IV, Section A, shall be amended in its entirety to read as follows:

"A. This Corporation is authorized to issue two classes of stock, to be designated, respectively, "Common Stock" and "Preferred Stock." The total number of shares that the Corporation is authorized to issue is twenty million nine hundred seventy-four thousand three hundred thirty-three (20,974,333) shares. Eleven million nine hundred two thousand four hundred fifty-eight (11,902,458) shares shall be Common Stock, each having a par value of one tenth of one cent (\$0.001). Nine million

seventy-one thousand eight hundred seventy-five (9,071,875) shares shall be Preferred Stock, each having a par value of one tenth of one cent (\$0.001)."

FOURTH: The following amendment to the Amended and Restated Certificate of Incorporation was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware (the "General Corporation Law") by resolutions duly adopted by the Board of Directors of this Corporation and was approved by the stockholders as provided in Section 228 of the General Corporation Law, and written notice has been given to stockholders as provided in Section 228(d) of the General Corporation Law.

FIFTH: All other provisions of the Amended and Restated Certificate of Incorporation shall remain in full force and effect.

IN WITNESS WHEREOF, Activated Cell Therapy, Inc. has caused this Certificate of Amendment to be signed by its President and attested to by its Secretary this 2<sup>nd</sup> day of September, 1997.

ACTIVATED CELL THERAPY, INC.

By: Richard Bastiani  
Richard Bastiani, Ph.D.  
President

ATTEST:

By: Peter Garcia  
Peter Garcia  
Secretary

## ASSIGNMENT

WHEREAS, I, Jeanette Simpson, ASSIGNOR, a United States citizen, residing at 5779 East 2nd Street, Tucson, AZ 85711, am the owner of the invention in CELL SEPARATION PROCESS, U.S. Serial No. 49,863 filed March 25, 1987, current U.S. Patent No. 4,927,750 issued May 22, 1990 by means of an assignment recorded in the United States Patent and Trademark Office on Reel 5211, Frame 0732 on January 22, 1990.

and WHEREAS, Activated Cell Therapy, Inc., a corporation organized and existing under the laws of the state of Delaware, located at 291 North Bernardo Avenue, Mountain View, CA 94043, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that, upon reasonable notice and at the expense of the assignee, I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, Assignor has caused this assignment to be executed by hand and seal this 19<sup>th</sup> day of September, 1994.

*Jeanette Simpson*

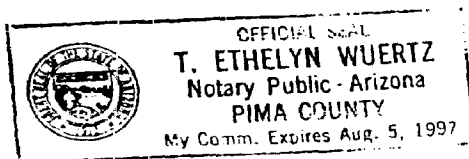
L.S.

State of Arizona )  
 ) SS.:  
County of Pima )

On this 19th day of September, 1994, before me, a Notary Public in and for the State and County aforesaid, personally appeared Jeanette Simpson, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

*D. Ethelyn Wuertz*

Notary Public.



NOV 21 94

PATENT AND TRADEMARK  
OFFICE

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Attorney Docket Number  
7637-043-999

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS  
Box Assignments  
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Deannette Simpson**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **09/19/94**

2. Name and address of receiving party(ies):

Name: **Activated Cell Therapy, Inc.**

Address: **291 North Bernardo Avenue**

**Mountain View, CA 94043**

Country (if other than USA):

ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
16	1150
581	40100

*Charge sent to Thomas*

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) **049,863**

B. Patent No.(s) **4,927,750**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**PENNIE & EDMONDS**  
1155 Avenue of the Americas  
New York, NY 10036

6. Number of applications and patents involved: **ONE (1)**

7. Total fee (37 CFR 3.41): **\$ 40.00**  
Please charge to the deposit account listed in Section 8.

8. Deposit account number: **16-1150**  
(A copy of this page is attached)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Thomas E. Friebe**  
Name of Person Signing Reg. No.

**29,258**

Signature

*for Thomas E. Friebe*  
*by Robin J. Hlaute*

**11/21/94**

Reg. # **33,888**

10. Total number of pages including cover sheet:

**2**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. **TB 668 655 23X** Date of Deposit **November 21, 1994**  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

RECORDED: 06/27/2002

PATENT  
REEL: 013036 FRAME: 0755

Record # 05 11-21-94 PLS

5746-E-21 Received 12-23-94 PLS  
REF 1277 FRAMES 20