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07-05-2002



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

FORM PTO-1595 1-31-92

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1. Name of conveying party(ies):

To the Honorable Commissioner of Pa

ABB ALSTOM POWER (SWITZERLAND) LTD

Additional name(s) of conveying party(ies) attached? □Yes■No

- 3. Nature of Conveyance:
 - □ Assignment
- □ Merger
- □ Security Agreement
- Change of Name

□ Other

Execution Date:

May 23, 2001

2. Name and address of receiving party(ies):

Name: ALSTOM (SWITZERLAND) LTD

Address: Brown Boveri Strasse 7,

CH-5401 Baden, Switzerland

Additional name(s) and address(es) attached? □Yes ■No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s) 6,085,514 6,073,358

Additional numbers attached? □Yes ■No

5. Name and address of party to whom correspondence concerning document should be mailed:



22850

- 6. Total applications and patents involved: 2
- 7. Total fee (37 CFR 3.41): \$80.00
 - Enclosed
 - ☐ Authorized to be charged to deposit account
- 8. Deposit account number:

15-0030

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marvin J. Spivak

Name of Person Signing

Signature

Registration Number:

Total number of pages including this cover sheet:

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Commissioner of Patents and Trademarks **Box Assignments** Washington, D.C. 20231

, number	Legal constitution	Entry	Deletion	Transfer	
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over remains unchanged. Merger: By way of a merger pursuant to Art. 748 OR, the company takes over "ABB ALSTOM POWER O & M AG", Baden. Assets of CHF 464,790,710 and liabilities of CHF 442,995,951 are transferred to the company which already owns all the shares in the company taken over, pursuant to the merger contract dated 06.30.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking	}		1.01.2000 through universal succession. These shape capital of the compar	v taking	1	1
Merger: By way of a merger pursuant to Art. 740 oR, the company takes over "ABB ALSTOM POWER O & M AG", Baden. Assets of CHF 464,790,710 and liabilities of CHF 442,995,951 are transferred to the company which already owns all the shares in the company taken over, pursuant to the merger contract dated 06.30.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking	}		inc unchanged.	1 1	ł	1
company takes over "ABB ALSTOM POWER O & M AG", Baden. Assets of CHF 464,790,710 and liabilities of CHF 442,995,951 are transferred to the company which already owns all the shares in the company taken over, pursuant to the merger contract dated 06.30.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking	38	1 .	of a merger nursuant to AIC. /40 Ut	t, the	1	
are transferred to the company which already owns all the shares in the company taken over, pursuant to the merger contract dated 06.30.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking		1		den. Assets	ŀ	
shares in the company taken over, pursuant to the merger contract dated 06.30.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking			to the company which already owns	all the		
contract dated 06.30.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking		1		merder	ſ	
then cancelled and the share capital of the company taking			contract dated 06.30.2000 and balance sheet after	shares are	- 1	
over remains unchanged.		1	her cancelled and the share capital of the compar	y taking	1	1
		1	over remains unchanged.			1

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Cı,	J.3.008.848-1	ALSTOM (Schweiz) AG	Baden	2	l

All entries

Entry	Del.	Notes	Ref.	Date of articles
42		Merger: By way of a merger pursuant to Art. 748 OR, the company takes over "ALSTOM AG", Oberentfelden. Assets of CHF 260,114,619.72 and liabilities (debt capital) of CHF 166,171,037.56 are transferred to the company which already owns all the shares in the company taken over, pursuant to the balance sheet after the merger at 03.31.2000 and the merger contract dated 12.22.2000 through universal succession. These shares are then cancelled and the share capital of the company taking over remains unchanged. Merger: By way of a merger pursuant to Art. 748 OR, the company takes over "ALSTOM Power Technology Ltd", Baden. Assets of CHF 12,812,820.91 and liabilities (dept capital) of CHF 12,075,099.08 are transferred to the company which already owns all the shares in the company taken over pursuant to the merger contract dated 12.12.2001 and the balance sheet after the merger at 12.31.2000 through universal succession. These shares are then cancelled and the share capital of the company taking over remains unchanged.		
Entry	Del.	Contributions in kind, receipts in kind, special benefits,	Ref.	Publication medium
1 5		bonus certificates Contribution in kind: CHF 100,000,000 Mcrger: In the increase in capital of 6.22.1993, the company takes over "ABB Power Systems AG", Baden, by merger. Assets of CHF 100,888,731 and liabilities of CHF 78,615,982 are transferred to the company pursuant to the balance sheet after the merger at 1.1.1993 through universal succession; the amount of the increase was paid up according to the merger contract. The shareholders of the company taken over receive in exchange 10,000 fully paid up registered shares in the company taking over at CHF 100	1	SHAB
Entry	Del. E	ranch Entry Del. Branch Entry Del. Branch	E	ntry Del. Branch

Entry	Del.	Branch	E	ntry	Del.	Branch		Entry	Der.	Branch		EIICLY	Der.	BLATICH	
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Sym-	Ref.	Journal	Journal Day	e .	SHAB	SHAB	Page	Sym-	Ref.	Journal	Journal Da	te SH	AB	SHAB	Page
	ver.	No.				Date		bol		No.				Date	8454
bol BR	0		(Omissio	22		(Omission)		BS	26	7438	11.17.199		225	11.21 - 1997	2642
	1	1328	03.06.19		53	03.18.1992	1232	EF	27	2884	04.14.199		74	04.20 - 1998	7100
BR	2	6147	12.11.19		250	12.24.1992	6007	SM	28	7685	10.12.199		201	10.16 - 1998	8696
BR	4	312	01.21.19		22	02.02.1993	530	SM	29	9555	12.15.199		247	12.21 - 1998	164
FR	3	3027	06.10.19		121	06.25.1993	3306	SM	30	60	01.05.199		6	01.11.1999	1519
FR	4	3412	06.28.19		134	07.14.1993	3676	SM	31	1705	02.03.199		46	03.08 . 1999 08.09 . 1999	5430
FR	5	6035	11.02.19		223	11.16.1993	6020	EF	32	5757	08.03.199		152	08.09 - 1999	5877
FR	6	7295	12.28.19		7	01.11.1994	199	SM	33	6269	08.23.199		166	10.08 - 1999	6912
FR	7		01.13.19		16	01.24.1994	450	MD	34	7399	10.04.199		196	01.20.2000	434
FR	8	261	07.20.19		144	07.27.1994	4222	SM	35	456	01.13.200		14	05.04.2000	3002
FR	9	4654	09.26.19		191	10.03.1994	5455	SM	36	3402	04.28.200		87	07.06 . 2000	4593
SA	10	6273	10.11.19		202	10.18.1994	5726	FR	37	5138	06.30.200		130	07.18.2000	4903
FR	11	6632			244	12.15.1994	6846	FR	38	5542	07.12.200		138	07.18.2000	5089
FR	12	8192	13.08.19		106	06.02.1995	3100	FR	39	5796	07.19.200		143	09.26.2000	6577
FR	13	3417	05.29.19		142	07.25.1995	4190	SM	40	7488	09.20.200		187	10.23.2000	7225
FR	14	4860	07.19.19		167	08.30.1995	4846	SM	41	8210	10.17.200		206	01.03 - 2001	23
FR	15	5657	08.24.19		19	01.29.1996	557	FR	42	10128	12.22.200		76	04.20 - 2001	2934
GB	16	472	61.23.19		90	05.09.1996	2678	SM	43	2607	04.12.200		94	05.16 - 2001	3688
GB	17	3052			122	06.26.1996	3803	ВМ	44	3181	05.10.200		185	09.25 . 2001	7429
GB	18	4430			168	08.30.1996	5231	MD	45	6629	09.19.200		211	10.31 . 2001	8513
GB	19	6069			31	02.17.1997	1058	MD	4.6	N 7706	10.25.200		230	11.27 - 2001	9317
EF	20	915				03.13.1997	1716		47	8426				12.28 . 2001	10257
SM	21	в 1530		- ' '	3 49	05.14.1997	3235		48	9439	12.20.200	ŊΤ	251	12.20 - 2001	1
SM	22	2762			90	07.02.1997	4611	1	i	ì	1	l	1		i '
SM	23	4027			124	09.30.1997	7166	1		1	1	l l			1
SM	24	6285	09.24.19		187		1								
EF	25	B 7149	11.05.19	97	B 217	11.11.1997	0201								
E.F											CC	= sir	ale s	ignature	

			cc = single Signatur =
Rey: C = Charling of VR DC = Deputy chairman of VR Del = VR delegate	GM = general manager	HO = head office BR = branch	SS = single signatur= JS = joint signature IP = individual right of procura JP = joint procura
	D = director DD = deputy director	AS = authority to sign	Dep. = deputy

				Position	Type of signature
Entry 32 33 33 35 35 35	Amend. 33 35	45 40 41	Manfred Thumann, German national, in Ennetbaden Alexis Fries, from Zurich, in Pfaffhausen Alexis Fries, from Seelisberg, in Hausen AG Felix Bodmer, from Fischingen, in Zuzwil EG Fredy Hausermann, from Egliswil, in Gebenstorf Francesco Starace, Italian national, in Adliswil Beat Haldimann, from Signau, in Heimenschwand Beniel de Feydeau, Canadian national, in Brugg Juniel de Feydeau, Canadian in Uniterböxberg	Chairman delegate member director	JS as one of two
35 35		45	Hans-Peter Meer, from Eriswil, in Unterbözberg		03 d3

(Stamp of the Commercial Register Office Canton of Aargau)

CH: J.3.008.848-1 ALSTOM (Schweiz) AG Baden 3

All entries

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Entry	Amend.	Del.	Personal Details	Position	Type of signature
35			Willibald Rutti, from Amlikon-Bissegg und Affeltrangen, in		JS as one of two
			Oberrohrdorf		
35			Gerhard Roth, German national, in Oberrohrdorf		JS as one of two
35			Bruno Castelli, from Onsernone, in Kloten		JS as one of two
35			Roland Egli, from Bronschhofen, in Niederlenz		JS as one of two
35		ĺ	Giacomo Bolis, from Zuchwil, in Zurich		JP as One of two
35			Peter Rosa, German national, in Grafenhausen (D)	1	JP as one of two
35			Kurt Oswald, from Bünzen, in Würenlos		JP as one of two
35		44	1:ene Scherer, from Untersiggenthal, in Untersiggenthal		JP as one of two
35		}	Paul Slepcevic, Austrian national, in Obersiggenthal		JP as one of two
36		44	Jim Siler, citizen of the USA, in Wiesbaden (D)	i	JS as one of two
36		•	François Peruzzi, from Sâles (Gruyères) und Vaulruz, in		JP as one of two
			Bottstein	}	
36			Keith Carr, British national, in Baden		JP as one of two
40			Dieter Lewandowski, from Baden, in Freienwil	member	JS as one of two
41			Felix Vest, from Basle, in Zurich		JS as One of two
41			John Gerard Timoney, from Oberehrendingen, in Herznach		JS as one of two
41	i	44	Matthias Egli, from Alt St. Johann, in Effretikon		JP as One of two
41			Ernst Ganster, Austrian national, in Winterthur		JP as one of two
43		1	Herbert Albrecht, German national, in Leuggern		JS as one of two
43		ĺ	Richard David Austin, citizen of the USA, in Adliswil	[JS as one of two
43			Jan-Erik Bertilsson, Swedish national, in Baden		JS as one of two
43	1		Joseph Chriqui, French national, in Saint Germain-en-Laye		JS as one of two
	ŀ		(F)		
43	ļ	46	Paul Schnecheli, from Affoltern am Albis, in Aarau		JS as one of two
43		ĺ	Martin Walter Wernli, from Thalheim AG, in Zurich		JS as one of two
43	l	ļ.	Richard Wettmann, from Niederlenz, in Lenzburg		JS as one of two
43	ł		Fabio Manzini, from Lausanne, in Ecublens VD		JS as one of two
43	l	ł	Peter Morgenthaler, from Attelwil, in Oberwil-Lieli		JS as one of two
43			Willy Vouets, Belgian national, in Birr		JS as one of two
43	1		Gerhard Seyrling, Austrian national, in Aarau		JS as one of two
43	1		Peter Schmutz, from Vechigen, in Küttigen		JS as one of two
43			Peter Kauffungen, from Wittenbach, in Aarau		JS as One of two
44			Johannes Brunner, from Hochdorf, in Baden	l	JS as one of two
45			Paul Schneebeli, from Affoltern am Albis, in Aarau	delegate	JS as one of two
45			Peter Wollschlegel, from Dulliken, in Untersiggenthal		JS as one of two
47			Michael Barrett, British national, in Küsnacht ZH		JS as one of two
47			Robert Meier, from Obergösgen, in Zofingen		JS as one of two
47			Hans-Günther Matthews, German national, in Freienwil		
	1	1		<u> </u>	1

Aarau, 01.03.2002 12:13

Certified Extract Jan. 3, 2002 The Registrar [illegible signature] This extract from the Cantonal Commercial Register is not valid without the original certification shown opposite. It contains all the entries which are currently valid for this company and entries which may have been deleted since 03.06.1992.

If specifically requested, an extract may also be prepared which contains all currently valid entries only.

[Stamp of the Commercial Register Office Canton of Aargau]

Fee: CHF 30- [illegible]

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I hereby certify that the foregoing document is a copy of the original document.

Baden, June 17, 2002

The Notary Public:



n. Rinde



Legalization

I hereby certify that the foregoing document is a copy of the original document.

Baden, June 17, 2002

The Notary Public:

M. Rinde

A ANDISCHER

RECORDED: 06/28/2002