

Atty Docket No.: 223920US-2SD

07-05-2002



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FORM PTO-1595
1-31-92



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Pa

102145092

Additional name(s) and address(es) attached? Yes No

1. Name of conveying party(ies):

ABB ALSTOM POWER (SWITZERLAND) LTD.

06/28/02

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: ALSTOM (SWITZERLAND) LTD

Address: Brown Boveri Strasse 7,
CH-5401 Baden, Switzerland

3. Nature of Conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: May 23, 2001

Additional name(s) and address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

6,085,514
6,073,358

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:



22850

6. Total applications and patents involved: 2

7. Total fee (37 CFR 3.41): \$80.00
 Enclosed
 Authorized to be charged to deposit account

8. Deposit account number: 15-0030
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marvin J. Spivak

Name of Person Signing

Signature

6/28/02

Date

Registration Number: 24,913

Total number of pages including this cover sheet: 5

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PATENT
REEL: 013036 FRAME: 0854

Commercial Register of the Canton of Aargau - Main Register

number	Legal constitution	Entry	Deletion	Transfer	
CH-400.3.008.848-1	Stock corporation	01.15.1990		from: 3.400.008.848 to: 400.3.008.848-1/a	1

All entries

Entry	Del.	Company Name
1		ABB Kraftwerke AG
1	34	(ABB Power Generation Ltd) (ABB Production d'Energie SA)
34		ABB ALSTOM POWER (Schweiz) AG
34	39	(ABB ALSTOM POWER (Switzerland) Ltd)
39		ALSTOM Power (Schweiz) AG
39	42	(ALSTOM Power (Switzerland) Ltd)
42		ALSTOM (Schweiz) AG
42	45	(ALSTOM (Switzerland) Ltd)
45		(ALSTOM (Suisse) S.A.) (ALSTOM (Switzerland) Ltd)

Ref.	Registered Office
1	Baden

Ref.	Capital stock (CHF)	Paid up (CHF)	Stock denomination
1	100,000,000.--	100,000,000.--	1,000,000.-- registered shares at CHF 100.--
5	101,000,000.--	101,000,000.--	1,010,000.-- registered shares at CHF 100.--

Ref.	Joint venture capital (CHF)	Paid up (CHF)	Joint venture certificates

Ref.	Address of Company
1	Haselstrasse 16 5400 Baden
48	Brown Boveri Strasse 7 5401 Baden

Entry	Del.	Object
1	34	Development, production and sale of and trading with components, systems and installations for electrical power generation, in particular of power plants of small to large capacity, direction, maintenance and repair of such installations as well as advice and training for their planning and operation; any "can purpose" can be taken from the articles.
34	42	Takeover and management of participations in companies predominantly domiciled in Switzerland as well as financing of any kind domestically and abroad; development, production, sale and trading of or with components, systems and installations for electrical power generation, in particular of power plants of small to large capacity; direction, maintenance and repair of such installations as well as advice and training for their planning and operation; can buy property and set up branches.
42	48	Development, production, sale and servicing of electrical power plants, electrical apparatus, switchgear, controls in the high and medium voltage range, components and systems for transportation equipment and takeover and management of participations and project financing; the company can buy property, set up branches and participate in other companies
48		Development, production, sale and servicing of electrical power plants, of electrical apparatus, switchgear and controls in the high and medium voltage range, of components and systems for transportation equipment, operation of a research center and takeover and management of participations and project financing; the company can buy property, set up branches and participate in other companies.

Ref.	

Entry	Del.	Notes
1		The extract transferred from a previous register card contains no facts deleted prior to the transfer, nor any miscellaneous earlier dates of articles or quotations from the journal or SHAB. These may be inspected on the commercial register card specified in the "Transferred from" box.
3		Communications to the shareholders are by letter.
34		The transferability of the registered shares is restricted pursuant to the articles.
37		Merger: By way of a merger pursuant to Art. 748 OR, the company takes over "ABB Enertech AG", Winterthur. Assets of CHF 420,394,210.-- and liabilities of CHF 414,511,408.-- are transferred to the company which already owns all the shares in the company taken over, pursuant to the merger contract dated 06.26.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking over remains unchanged.
38		Merger: By way of a merger pursuant to Art. 748 OR, the company takes over "ABB ALSTOM POWER O & M AG", Baden. Assets of CHF 464,790,710.-- and liabilities of CHF 442,995,951.-- are transferred to the company which already owns all the shares in the company taken over, pursuant to the merger contract dated 06.30.2000 and balance sheet after the merger at 01.01.2000 through universal succession. These shares are then cancelled and the share capital of the company taking over remains unchanged.

Ref.	Date of articles
1	01.10.1990; last:
	08.26.1991
3	10.13.1992
5	06.22.1993
34	09.30.1999
39	07.11.2000
42	12.22.2000
45	05.23.2001
48	12.14.2001

(Stamp of the Commercial Register Office Canton of Aargau)

Aargau, 01.03.2002 12:13

Continued on following page 2

PATENT
REEL: 013036 FRAME: 0855

Commercial Register of the Canton of Aargau - Main Register

Cl. 0.3.008.848-1	ALSTOM (Schweiz) AG	Baden	2
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All entries

Entry	Del.	Notes	Ref.	Date of articles
42		Merger: By way of a merger pursuant to Art. 748 OR, the company takes over "ALSTOM AG", Oberentfelden. Assets of CHF 260,114,619.72 and liabilities (debt capital) of CHF 166,171,037.56 are transferred to the company which already owns all the shares in the company taken over, pursuant to the balance sheet after the merger at 03.31.2000 and the merger contract dated 12.22.2000 through universal succession. These shares are then cancelled and the share capital of the company taking over remains unchanged.		
48		Merger: By way of a merger pursuant to Art. 748 OR, the company takes over "ALSTOM Power Technology Ltd", Baden. Assets of CHF 12,812,820.91 and liabilities (debt capital) of CHF 12,075,099.08 are transferred to the company which already owns all the shares in the company taken over pursuant to the merger contract dated 12.12.2001 and the balance sheet after the merger at 12.31.2000 through universal succession. These shares are then cancelled and the share capital of the company taking over remains unchanged.		

Entry	Del.	Contributions in kind, receipts in kind, special benefits, bonus certificates	Ref.	Publication medium
1		Contribution in kind: CHF 100,000,000.--	1	SHAB
5		Merger: In the increase in capital of 6.22.1993, the company takes over "ABB Power Systems AG", Baden, by merger. Assets of CHF 100,888,731.-- and liabilities of CHF 78,615,982.-- are transferred to the company pursuant to the balance sheet after the merger at 1.1.1993 through universal succession; the amount of the increase was paid up according to the merger contract. The shareholders of the company taken over receive in exchange 10,000 fully paid up registered shares in the company taking over at CHF 100.--.		

Entry	Del.	Branch	Entry	Del.	Branch	Entry	Del.	Branch	Entry	Del.	Branch

Sym- bol	Ref.	Journal No.	Journal Date	SHAB	SHAB Date	Page	Sym- bol	Ref.	Journal No.	Journal Date	SHAB	SHAB Date	Page
BR	0		(Omission)		(Omission)		BS	26	7436	11.17.1997	225	11.21.1997	8454
BR	1	1328	03.06.1992	53	03.18.1992	1232	EF	27	2884	04.14.1998	74	04.20.1998	2642
BR	2	6147	12.11.1992	250	12.24.1992	6007	SM	28	7685	10.12.1998	201	10.16.1998	7100
FR	3	312	01.01.1993	22	02.02.1993	530	SM	29	9555	12.15.1998	247	12.21.1998	8696
FR	4	3027	06.10.1993	121	06.25.1993	3306	SM	30	60	01.05.1999	6	01.11.1999	164
FR	5	3412	06.28.1993	134	07.14.1993	3676	SM	31	1705	02.03.1999	46	03.08.1999	1519
FR	6	6035	11.02.1993	223	11.16.1993	6020	EF	32	5757	08.03.1999	152	08.09.1999	5430
FR	7	7295	12.28.1993	7	01.11.1994	199	SM	33	6269	08.23.1999	166	08.27.1999	5877
FR	8	261	01.13.1994	16	01.24.1994	450	MD	34	7399	10.04.1999	196	10.08.1999	6912
FR	9	4654	07.20.1994	144	07.27.1994	4222	SM	35	456	01.13.2000	14	01.20.2000	434
SA	0	6273	09.26.1994	191	10.03.1994	5455	SM	36	3402	04.28.2000	87	05.04.2000	3002
FR	11	6632	10.11.1994	202	10.18.1994	5726	FR	37	5138	06.30.2000	130	07.06.2000	4593
FR	12	8192	12.08.1994	244	12.15.1994	6846	FR	38	5542	07.12.2000	138	07.18.2000	4903
FR	13	3417	05.29.1995	106	06.02.1995	3100	FR	39	5796	07.19.2000	143	07.25.2000	5089
FR	14	4860	07.19.1995	142	07.25.1995	4190	SM	40	7488	09.20.2000	187	09.26.2000	6577
FR	15	5657	08.24.1995	167	08.30.1995	4846	SM	41	8210	10.17.2000	206	10.23.2000	7225
GB	16	472	01.23.1996	19	01.29.1996	557	FR	42	10128	12.22.2000	1	01.03.2001	23
GB	17	3052	05.03.1996	90	05.09.1996	2678	SM	43	2607	04.12.2001	76	04.20.2001	2934
GB	18	4430	06.20.1996	122	06.26.1996	3803	BM	44	3181	05.10.2001	94	05.16.2001	3688
GB	19	6069	08.26.1996	168	08.30.1996	5231	MD	45	6629	09.19.2001	185	09.25.2001	7429
EF	20	915	02.11.1997	31	02.17.1997	1058	MD	46	N 7706	10.25.2001	N 211	10.31.2001	8513
SM	21	B 1530	03.07.1997	B 49	03.13.1997	1716	SM	47	8426	11.21.2001	230	11.27.2001	9317
SM	22	2762	05.07.1997	90	05.14.1997	3235	FR	48	9439	12.20.2001	251	12.28.2001	10257
SM	23	4027	06.25.1997	124	07.02.1997	4611							
SM	24	6285	09.24.1997	187	09.30.1997	7166							
EF	25	B 7149	11.05.1997	B 217	11.11.1997	8201							

Key: C = Chairman of VR	AB = administrative board	Ma = manager	SS = single signature
DC = Deputy chairman of VR	Liq = liquidator	r.t. = restricted to	JS = joint signature
Del. = VR delegate	GM = general manager	HO = head office	IP = individual right of procura
M = member of VR	D = director	BR = branch	JP = joint procura
S = secretary	DD = deputy director	AS = authority to sign	Dep. = deputy

Entry	Amend.	Del.	Personal Details	Position	Type of signature
32			Manfred Thumann, German national, in Ennetbaden	chairman	JS as one of two
33			Alexis Fries, from Zurich, in Pfaffhausen	delegate	JS as one of two
33		45	Jürg Amadeo Olcas, from Seelisberg, in Hausen AG	member	JS as one of two
	33	40	Felix Bodmer, from Fischingen, in Zuzwil SG	director	JS as one of two
			Fredy Häusermann, from Egliswil, in Gebenstorf		JS as one of two
			Francesco Starace, Italian national, in Adliswil		JS as one of two
35		41	Beat Haldimann, from Signau, in Heimenschwand		JS as one of two
35			Daniel de Feydeau, Canadian national, in Brugg		JS as one of two
35		45	Hans-Peter Meer, from Eriswil, in Unterbözberg		JS as one of two

PATENT
REEL: 013036 FRAME: 0856

Commercial Register of the Canton of Aargau - Main Register

CH-N J.3.008.848-1	ALSTOM (Schweiz) AG	Baden	3
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All entries

Entry	Amend.	Del.	Personal Details	Position	Type of signature
35			Willibald Rütli, from Amlikon-Bissegg und Affeltrangen, in Oberrohrdorf		JS as one of two
35			Gerhard Roth, German national, in Oberrohrdorf		JS as one of two
35			Bruno Castelli, from Onsernone, in Kloten		JS as one of two
35			Roland Egli, from Bronschhofen, in Niederlenz		JS as one of two
35			Giacomo Bolis, from Zuchwil, in Zurich		JP as one of two
35			Peter Rosa, German national, in Grafenhausen (D)		JP as one of two
35			Kurt Oswald, from Bünzen, in Würenlos		JP as one of two
35		44	Irene Scherer, from Untersiggenthal, in Untersiggenthal		JP as one of two
35			Paul Slepcevic, Austrian national, in Obersiggenthal		JP as one of two
36		44	Jim Siler, citizen of the USA, in Wiesbaden (D)		JS as one of two
36			François Peruzzi, from Sâles (Gruyères) und Vaulruz, in Böttstein		JP as one of two
36			Keith Carr, British national, in Baden		JP as one of two
40			Dieter Lewandowski, from Baden, in Freienwil	member	JS as one of two
41			Felix Vest, from Basle, in Zurich		JS as one of two
41			John Gerard Timoney, from Oberehrendingen, in Herznach		JS as one of two
41		44	Matthias Egli, from Alt St. Johann, in Effretikon		JP as one of two
41			Ernst Ganster, Austrian national, in Winterthur		JP as one of two
43			Herbert Albrecht, German national, in Leuggern		JS as one of two
43			Richard David Austin, citizen of the USA, in Adliswil		JS as one of two
43			Jan-Erik Bertilsson, Swedish national, in Baden		JS as one of two
43			Joseph Chriqui, French national, in Saint Germain-en-Laye (F)		JS as one of two
43		46	Paul Schneebeli, from Affoltern am Albis, in Aarau		JS as one of two
43			Martin Walter Wernli, from Thalheim AG, in Zurich		JS as one of two
43			Richard Wettmann, from Niederlenz, in Lenzburg		JS as one of two
43			Fabio Manzini, from Lausanne, in Ecublens VD		JS as one of two
43			Peter Morgenthaler, from Attelwil, in Oberwil-Lieli		JS as one of two
43			Willy Vouets, Belgian national, in Birr		JS as one of two
43			Gerhard Seyrling, Austrian national, in Aarau		JS as one of two
43			Peter Schmutz, from Vechigen, in Küttigen		JS as one of two
43			Peter Kauffungen, from Wittenbach, in Aarau		JS as one of two
44			Johannes Brunner, from Hochdorf, in Baden		JS as one of two
45			Paul Schneebeli, from Affoltern am Albis, in Aarau	delegate	JS as one of two
45			Peter Wollschlegel, from Dulliken, in Untersiggenthal		JS as one of two
47			Michael Barrett, British national, in Küsnacht ZH		JS as one of two
47			Robert Meier, from Obergösgen, in Zofingen		JS as one of two
47			Hans-Günther Matthews, German national, in Freienwil		JS as one of two

Aarau, 01.03.2002 12:13

This extract from the Cantonal Commercial Register is not valid without the original certification shown opposite. It contains all the entries which are currently valid for this company and entries which may have been deleted since 03.06.1992.

Certified Extract
Jan. 3, 2002
The Registrar

If specifically requested, an extract may also be prepared which contains all currently valid entries only.

[illegible signature]

[Stamp of the Commercial Register Office
Canton of Aargau]

Fee: CHF 30- [illegible]

Legalization

I hereby certify that the foregoing document is a copy of the original document.

Baden, June 17, 2002

The Notary Public:



M. Einder

Legalization

I hereby certify that the foregoing document is a copy of the original document.

Baden, June 17, 2002

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M. Binder