Substitute Form PTO-1595 Attorney Docket No.: 13149-003001 Client's Ref. No.: 14404RO

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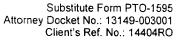
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Commissioner for Patents: Please record the attached original document(s) or copy(ies). 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): D. Gordon Knight and Cornelius C. Blaauw Nortel Networks Limited 2351 Boulevard Alfred-Nobel Additional name(s) attached? ☐ Yes ☑ No St. Laurent, Quebec, H4S 2A9 3. Nature of conveyance: 6 24.02 Canada ■ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name C Other: Additional names/addresses attached? ☐ Yes ☑ No Execution Date: 12/3/01 4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s).: B: Patent No(s).: 10/027,229 Additional numbers attached? ☐ Yes ☑ No 5. Name/address of party to whom correspondence concerning 6. Total number of applications/patents involved: 1 document should be mailed: DENIS G. MALONEY 7. Total fee (37 CFR §3.41): \$40 Fish & Richardson P.C. ☑ Enclosed 225 Franklin Street ☐ Authorized to charge Deposit Account. Boston, Massachusetts 02110-2804 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050. DO NOT USE THIS SPACE 9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Denis G. Maloney Reg. No. 29,670 Name of Person Signing Total number of pages including coversheet, attachments and document: 20444287.doc 00000194 10027229 07/02/2002 GTDN11 40.00 OP 01 FC:581 CERTIFICATE OF MAILING BY FIRST CLASS MAIL I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents. Washington, D.C. 20231. June 17, 2002 Signature Company Signature Typed Name of Person Signing Certificate





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1. Name of conveying party(ies):		Name and address of receiving party(ies):			
D. Gordon Knight and Co	ornelis Blaauw	Nortel Networks Limited			
Additional name(s) att	tached? □ Yes ເ No	World Trade Center of Worldread			
3. Nature of conveyance:		CBA St. Antoine Street vvest, our Fil.			
■ Assignment		CANADA 6			
☐ Merger		2251 Browlevant Altred-Noise 1			
☐ Security Agreement☐ Change of Name		St council, Quelec H45 AG &			
☐ Other:		CANADA			
Execution Date: 12/3/01		Additional names/addresses attached? ☐ Yes ☒ No			
4. Application number(s) or patent					
If this document is being filed v	with a new application, the execu	ution date of the application is: 12/3/01			
A. Patent Application No(s).:		B: Patent No(s).:			
		16/127-04			
	Additional numbe	ers attached? Yes No			
Name/address of party to whom correspondence concerning document should be mailed:		6. Total number of applications/patents involved: 1			
DENIS G. MALONEY		7. Total fee (37 CFR §3.41): \$40			
Fish & Richardson P.C.		☑ Enclosed			
225 Franklin Street	02440 2804	☐ Authorized to charge Deposit Account.			
Boston, Massachusetts (JZ110-200 4	8. Deposit Account No.: 06-1050			
		Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.			
 Statement and Signature: 10 to any attached copy is a true 	ne best of my knowleage copy of the original docur	and belief, the foregoing information is true and correct and ment.			
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Reg. No. 29,670 Name of Person Signing 20363362.doc 02 LMJELLER 00000135 10027229 1	RTIFICATE OF MAILING BY I reby certify under 37 CFR §1.10 the press Mail Post Office to Addressee	nat this correspondence is being deposited with the United States Postal Service as with sufficient postage on the date indicated below and is addressed to the			

ASSIGNMENT

For valuable consideration, we, <u>Grzegorz J. Pakulski</u>, of Woodlawn, Ontario, Canada, <u>D. Gordon Knight</u>, of Munster Hamlet, Ontario, Canada, <u>Cornelis Blaauw</u>, of Kanata, Ontario, Canada, hereby assign to:

Nortel Networks Limited, a Canada corporation having a place of business at:

World Trade Center of Montreal
300 St. Antoine Street West
6th Tioor
Montreal, Quoboo, H2Y 3T4

2351 Parterent Alfred-Nobel St. Laurent, Quelxe HUS 2497 CANHOA

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled HYBRID CONFINEMENT LAYERS OF BURIED HETEROSTRUCTURE SEMICONDUCTOR LASER, filed _____, and assigned U.S. Serial Number ______, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

this	day of		. 20		
					L.S.
Gr	zegorz J. Pakulski	en e			
State of		_ ;			
		: ss.			
County of		_ :			
Be	fore me this	day of		, 20	, personally
appeared <u>C</u>	<u> Grzegorz J. Pakulsk</u>	<u>i</u> known to me to b	e the person whose	name is su	bscribed to the
foregoing.	Assignment and ac	knowledged that he	e executed the same	as his free	act and deed for
the purpos	es therein containe	d.			
		<u></u>		··	
		Notar	y Public		
		My C	ommission Expires	:	
[Notary's S	Seal Here]				
In	WITNESS WHEREOR	F, I hereto set my h	and and seal at 🔼	ttawa	_ Ontario
this <u>thi</u> s	day of D	ecember	_, 20_01_		
	D bordo	no Ima	X		L.S
D.	Gordon Knight				
State of _C	Intario	_ :			
		:ss.			
County of	Oftawa	_ :			

Before me this _	day of	, 20	, personally
appeared D. Gordon Kn	ight known to me to be the person	on whose name is subse	cribed to the
foregoing Assignment a	nd acknowledged that he execut	ed the same as his free	act and deed for
the purposes therein cor	tained.		
	Notary Public	2	
	My Commiss		
[Notary's Seal Here]		-	
			1
In WITNESS WHI	EREOF, I hereto set my hand and	seal at Othowo	ante
this thind day of	December, 20	0 \	<u> </u>
Cory		mm	L.S.
Cornelis Blaauv	J		
State of anton			
State of W	<u>~0</u> :		
A-1-1-	: SS.		
County of ON	<u> </u>		
Refore me this	day of	. 20	, personally
	uw known to me to be the perso		
* *	nd acknowledged that he execut		
the purposes therein cor			
the purposes therein con	tameu.		
	Notary Public	c	
	My Commiss	sion Expires:	
[Notary's Seal Here]			

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RECORDED: 06/24/2002