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Form PTO-1595 (Rev. 03/01)

REC



U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

102142020

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Carlton D. Brown  
David J. Butler

06/17/02

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Alcatel

Internal Address:

Street Address: 54, rue La Boetie

City: Paris State: France Zip: 75008

Additional name(s) & address(es) attached?  Yes  No

11046 U.S. PTO  
10/173378  
06/17/02

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: June 14, 2002

4. Application number(s) or patent number(s):

10/173378

If this document is being filed together with a new application, the execution date of the application is: June 14, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jessica W. Smith

Internal Address: Intellectual Property Department

Street Address: 1000 Coit Road, MS LEGL2

City: Plano State: TX Zip: 75075

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

50-0838

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jessica W. Smith, Reg. No. 39,884

Name of Person Signing

Jessica W. Smith  
Signature

June 17, 2002

Date

07/02/2002 00000029 500030 10173378

Number of pages including cover sheet, attachments, and documents: 1  
Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

01 FC:501

40.00 CH

### ASSIGNMENT

For one dollar and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned does hereby sell, assign, and transfer to: Alcatel, société anonyme, a corporation organized and existing under the laws of France and having its principal place of business at 54, rue La Boétie, 75008 Paris, France ("Assignee"), its successors, assigns and legal representatives, the entire right, title and interest in and to any and all inventions which are described in the application for United States Patent identified below and to any and all improvements thereto.

The undersigned does hereby sell, assign, and transfer to Assignee the entire right, title and interest to all applications (including provisional, divisional, continuations, substitutions, reissues, and extensions), which have been or shall be filed in the United States and all foreign countries and under the terms of any treaties or conventions (including the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, and the European Patent Convention) relating to said invention and any of said improvements; and in and to all rights of priority resulting from the filing of any such applications.

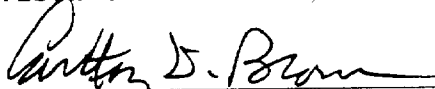
The undersigned agrees that Assignee may apply for and receive patents for said invention and improvements in its own name; and that, when requested, without charge to, but at the expense of, Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all provisional, divisional, continuations, substitutions, reissues, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to Assignee, its successors, assigns, and representatives, all facts known to undersigned relating to said inventions and improvements and the history thereof; and generally do everything possible which Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and improvements, and for vesting title to said invention and improvements, and all applications for patents and all patents on said invention and improvements, in Assignee, its successors, assigns and legal representatives.

The undersigned represents and warrants to Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

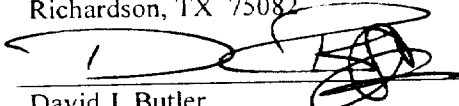
Application for U.S. Patent entitled *Optical Vestigial Sideband Transmitter/Receiver* which

- Has been executed by the undersigned on \_\_\_\_\_, \_\_\_\_
- Has been executed by the undersigned concurrently herewith,
- Which was filed on \_\_\_\_\_, \_\_\_\_\_, and assigned Serial No. \_\_\_\_\_

IN TESTIMONY WHEREOF, I have duly executed this Assignment on the date indicated below:

Signature:   
 Carlton D. Brown  
 1508 Margate Lane  
 Richardson, TX 75082

Date: 6/14/02

Signature:   
 David J. Butler  
 432 Fall Creek Drive  
 Richardson, TX 75080

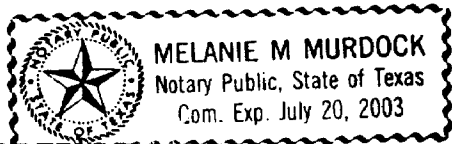
Date: 6/14/02.

STATE OF TEXAS §

COUNTY OF COLLIN §

BEFORE ME, the undersigned authority, on this 14<sup>th</sup> day of June, 2002, personally appeared Carlton D. Brown, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 14<sup>th</sup> day of June, 2002.



*Melanie M Murdock*  
Notary Public

STATE OF TEXAS §

COUNTY OF COLLIN §

BEFORE ME, the undersigned authority, on this 14<sup>th</sup> day of June, 2002, personally appeared David J. Butler, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 14<sup>th</sup> day of June, 2002.



*Melanie M Murdock*  
Notary Public