

Form PTO-1595

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RECORDAT

07-03-2002

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To the Honorable Commissioner of Patents at

102142686

all documents or copy thereof.

11040 U.S. PTO
10/177114

06/21/02

1. Name of conveying party(ies):

1. Murthy V. Simhambhatla
2. Ni Ding
3. Stephen D. Pacetti

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Street Address: 3200 Lakeside Drive

City: Santa Clara

State: CA

Zip: 95054

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1.: 5/17/02; 2. and 3.: 5/20/02

4. Application number(s) or patent number(s):

10177114

If this document is being filed together with a new application, the execution date of the application is: May 17 and 20, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Cameron K. Kerrigan, Reg. No. 44,826

Address: Squire, Sanders & Dempsey L.L.P.

Street Address: One Maritime Plaza, Suite 300

City: San Francisco

State: CA

Zip: 94111

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

07-1850

(Attach duplicate copy of this page if paying by deposit account)

07/02/2002 LMUELLER 00000185 071850 10177114

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cameron K. Kerrigan

Name of Person Signing

Signature

June 21, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)	(1) Murthy V. Simhambhatla	(5)
	(2) Ni Ding	(6)
	(3) Stephen D. Pacetti	(7)
	(4)	(8)

For good and valuable consideration, receipt of which is hereby acknowledged, the undersigned agree(s) to assign, hereby do(es) assign, transfer and set over to:

(9) Insert Name of Assignee	(9) Advanced Cardiovascular Systems, Inc.
(10) Insert State of Incorporation of Assignee	(10) California
(11) Insert Address of Assignee	(11) of: 3200 Lakeside Drive, Santa Clara, CA 95054 (hereinafter designated as the Assignee) the entire worldwide right, title, interest, and patent applications and patents for every country, including divisions, reissues, continuations and all other extensions, rights and priorities in the invention known as and related to
(12) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number	(12) POLYCATIONIC PEPTIDES COATINGS AND METHODS OF MAKING THE SAME (Case No. 50623.190) (Serial No.) for which the undersigned has (have) executed an application for patent in the United States of America
(13) Insert Date of Signing of Application	(13) on May 17 and May 20, 2002

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

- 3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- 5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute any agreement in conflict herewith.
- 6) *The undersigned hereby grant(s)*

Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265; Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Victoria Nicholson, Reg. No. 47,823; Paul Meyer, Reg. No. 47,791; David A. Levine, Reg. No. 48,821; Victor Repkin, Reg. No. 45,039; Aaron Wininger, Reg. No. 45,229; Paul Durdik, Reg. No. 37,819; Fariba Sirjani, Reg. No. 47,947

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

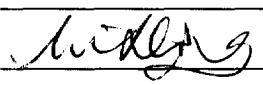
Date	<u>5-17-2002</u>	Name of Inventor	<u>Murthy Y. Sumbhambhatla</u>
Date	_____	Name of Inventor	_____
Date	_____	Name of Inventor	_____

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Date _____
 Date 5/20/02
 Date _____

Name of Inventor _____
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Date	_____	Name of Inventor	_____
Date	_____	Name of Inventor	_____
Date	<u>May 20, 2002</u>	Name of Inventor	<u>Stephen Pacetto</u>